



March 16, 2010

2010 Housing & Community Development Consolidated Annual Action Plan

Lake County Consortium

Lake County, Illinois
North Chicago, Illinois
Waukegan, Illinois

2010 Housing & Community Development Consolidated Annual Action Plan

Lake County Consortium

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Executive Summary

The *2010 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan will allocate the following Program Year 2010 (PY2010) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, and the Emergency Shelter Grant (ESG) Program. The program year for these funds extends from May 1, 2010 to April 30, 2011.

These community development investments will assist the Consortium members to address prioritized goals currently identified in the *2010 – 2014 Housing and Community Development Consolidated Plan*. The *Consolidated Plan* is our countywide Plan identifying housing and community development Needs, Goals, and Strategies that can be funded with HUD funds. Within the *Consolidated Plan*, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

The objectives for the use of funds from the US Department of Housing and Urban Development's Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, the Emergency Shelter Grants (ESG) Program, and the additional funds provided throughout the year from the Continuum of Care grants, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low-and moderate-income residents or for special needs housing.
- The ESG Program provides funds for the rehabilitation, renovation or conversion of emergency shelters and for maintenance and operation costs, essential services, and homeless prevention activities for homeless individuals and families.
- The SHP Program provides funds for acquisition, rehabilitation, leasing, supportive services, operating, and administrative costs of transitional housing programs for the homeless and permanent housing for homeless persons with disabilities.
- The S+C Program provides funds for rental assistance to provide housing and supportive services on a long-term basis for homeless persons with disabilities and their families.

The *Annual Action Plan*, as with the *2010 – 2014 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds.

When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

The allocations presented here are the result of a community-wide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the process have been unique to each jurisdiction, such as application review and ranking. Details on the process and opportunities for public involvement can be found in subsequent sections of this document.

Housing projects proposed under the Lake County HOME/CDBG Housing Fund are often developed at various times throughout the year and require multiple funding sources having different application deadlines and funding dates. Given limited available resources, the Lake County Consortium has established one specific round of funding for PY2010. The deadline for application submittal was October 16, 2009.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with an annual appropriation of \$300,000 from FY2000 to FY2003. In FY2004, the County Board increased its appropriation to \$500,000 for one year. In FY2005, the County Board then appropriated \$310,000 in additional funds and in FY2006, FY2007, and FY2008, the County Board appropriated an additional \$300,000 per year. For FY2009 and FY2010, the County appropriated an additional \$300,000 each year. To date, \$252,085 in “program generated funds” has been returned to Lake County for additional funding awards. In total, \$3.82 million dollars has been allocated to the Lake County Affordable Housing Program.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. Numerous County funded projects have been awarded both HOME and LCAHP funds. Although Consortium-funded housing activities benefit households at or below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.

The following tables summarize the proposed use of PY2010 HOME funds, PY2010 ESG funds for the entire Lake County Consortium, along with PY2010 CDBG funds specifically for Lake County and the Cities of North Chicago and Waukegan. Maps showing the locations of these proposed activities by project type are also provided for all three jurisdictions. In the sections that follow these tables and maps, specific projects that have been proposed for funding are individually described.

Description of Key Projects

Lake County Consortium Activities

At the present time, it is ***estimated*** that \$2,217,876 in HOME and CDBG funds will be allocated toward the 2010 Program Year, to be used for fifteen (15) separate housing projects, programs, administration and operation. Affordable housing activities include housing acquisition, rehabilitation, and new construction of rental and ownership housing as well as homebuyer assistance for low-income households. This funding includes allocations for four (4) CHDO Operating-funded activities; three (3) housing rehabilitation programs operated independently for Lake County and the Cities of North Chicago and Waukegan; and two (2) homebuyer assistance programs operated independently for Lake County and the City of Waukegan.

\$113,326 in Emergency Shelter Grant (ESG) funds will be allocated toward the 2010 Program Year, among four (4) emergency shelter projects and one (1) project that provides free legal services for persons at risk of homelessness, and administration costs. In terms of HUD funding categories, the PY2010 ESG funds are to be used toward Operations (2 projects), Essential Services (1 project), Homeless Prevention (1 project), Renovation (1 project) and Program Administration activities.

The Lake County Continuum of Care (CoC) was recently awarded Fiscal Year 2009 Supportive Housing Program and Shelter Plus Care funds in the amount of \$1,792,152. The CoC has also applied for a “permanent housing bonus project” of \$157,953, which will be used for permanent supportive housing for homeless persons with disabilities, a portion of which will be chronically homeless. The renewal funds will be used to: expand permanent supportive housing opportunities for chronically homeless individuals; support the Homeless Management Information System (HMIS); renew funding for transitional housing for persons with HIV/AIDS; renew funding for case management services in a permanent housing program for chronically homeless individuals; renew funding for housing counseling services for the homeless; renew funding to maintain a transitional housing program for homeless families; renew funding for a permanent housing program for homeless persons with developmental disabilities; renew funding to maintain a scattered site transitional housing program for homeless women with or without children; renew funding for a fixed site transitional housing program for women with children; renew funding for services at an emergency shelter for homeless individuals and families; renew funding for a safe haven housing program for chronically homeless persons; renew funding for a program that provides legal services to homeless persons; renew funding for a fixed site transitional housing program for women with children; and to renew funding for two permanent housing programs for chronically homeless persons. These projects are described more fully in the *2009 Continuum of Care Application* and the projects’ respective Annual Progress Reports (APR).

Lake County Activities

At the present time, it is ***estimated*** that \$2,574,802 in Community Development Block Grant (CDBG) funds will be allocated toward the 2010 Program Year, which will run from May 1, 2010 to April 30, 2011. Lake County proposes to spend its CDBG funds for forty-eight (48) separate activities, including public services, public infrastructure improvements, housing projects, fair housing activities, and program administration.

The various activities funded under the Lake County Consortium HOME Program, the ESG Program and the CDBG Program include the following:

- \$1,947,876 in HOME funds for 13 affordable housing projects and/or programs and unallocated PY2010 HOME funds.

- \$113,326 for 5 separate agencies involved in ESG funded emergency shelter, and homelessness prevention projects.
- \$384,922 to 11 separate agencies for public services activities, among those: employment skills training programs, food pantry services, ex-offender reintegration services, self-sufficiency programs, abuse counseling and crisis intervention services, case management and information and referral services, fair housing services.
- \$171,396 in CDBG funds for housing rehabilitation projects.
- \$60,000 in CDBG funds for operations of the Affordable Housing Commission.
- \$1,505,254 for 17 public infrastructure improvement projects across Lake County, including roadway, watermain, sanitary sewer, and storm sewer/drainage improvements.

North Chicago Activities

At the present time, it is ***estimated*** that \$304,275 in Community Development Block Grant (CDBG) funds will be allocated toward the 2009 Program Year. North Chicago proposes to spend \$304,275 in CDBG funds for sixteen (16) separate activities, including housing rehabilitation, public facility improvements, public services, economic development projects, fair housing activities, and program administration.

- \$65,000 for emergency rehabilitation of owner-occupied housing and code enforcement corrections.
- \$72,000 for infrastructure improvement projects
- \$45,000 to 9 different agencies for public services to benefit youth, seniors, substance abuse programs, homeless services and prevention programs, and to improve basic needs assistance for low to moderate income persons.
- \$32,554 for one employment assistance activity.
- \$45,000 for one economic development activity.
- \$6,000 for the purpose of ensuring fair housing and fair housing activities.

Waukegan Activities

At the present time, it is ***estimated*** that \$1,057,320 in Community Development Block Grant (CDBG) funds will be allocated toward the 2010 Program Year; Waukegan proposes to spend \$1,057,320 in CDBG funds for twenty-three (23) separate activities, including housing rehabilitation, code compliance, public services, public facility improvements, fair housing activities, and administration.

- \$823,722 (3) for substantial and emergency rehabilitation of owner-occupied housing, code enforcement activities, and administration.
- \$75,000 (1) for public facility project – neighborhood sidewalk replacement activity.
- \$158,598 (19) different agencies for public service activities to benefit at-risk youth, senior citizens, abused or neglected children, family and self-sufficiency programs, emergency food assistance, shelter the homeless and homeless prevention services, substance abuse programs, and ensure fair housing.

2010 Lake County Consortium HOME Program Funding Recommendations

Project Sponsor	Project Type	Recommended Amounts
Habitat for Humanity Lake County (a CHDO Agency)	CHDO Operating Funds	\$21,269
Highland Park Illinois Community Land Trust (a CHDO Agency)	CHDO Operating Funds	\$21,269
Lake County Residential Development Corporation (a CHDO Agency)	CHDO Operating Funds	\$20,000
YouthBuild Lake County (a CHDO Agency)	CHDO Operating Funds	\$21,269
Artspace Projects, Inc.	Acquisition/Rehabilitation – Renter	\$500,000
Highland Park Illinois Community Land Trust	Acq./Rehabilitation – Homeownership	\$325,000
City of North Chicago	Housing Rehabilitation Program	\$175,995
City of Waukegan	Housing Rehabilitation Program	\$200,526
Habitat for Humanity Lake County (a CHDO Agency)	New Construction – Homeownership	\$120,000
Affordable Housing Corporation of Lake County	Homebuyer Assistance – Lake County	\$150,000
Affordable Housing Corporation of Lake County	Homebuyer Assistance – Waukegan	\$100,000
Affordable Housing Corporation of Lake County	HOME related-Inspections	\$8,204
Lake County	HOME Program Administration	\$168,557
Unallocated PY2010 HOME funds	Rollover to PY2011 (non-CHDO Lake County Projects)	\$115,787
TOTAL		\$1,947,876

Available Sources of PY2010 HOME Funds (estimated)	
2010 HOME Entitlement Grant (estimated)	\$1,676,147
Program Income – Lake County HOME Program	\$83,463
Program Income – North Chicago HOME Program	\$0
Program Income – Waukegan HOME Program	\$8,000
Reprogrammed HOME Funds – Lake County	\$180,266
Reprogrammed HOME Funds – North Chicago	\$0
Reprogrammed HOME Funds - Waukegan	\$0
TOTAL	\$1,947,876

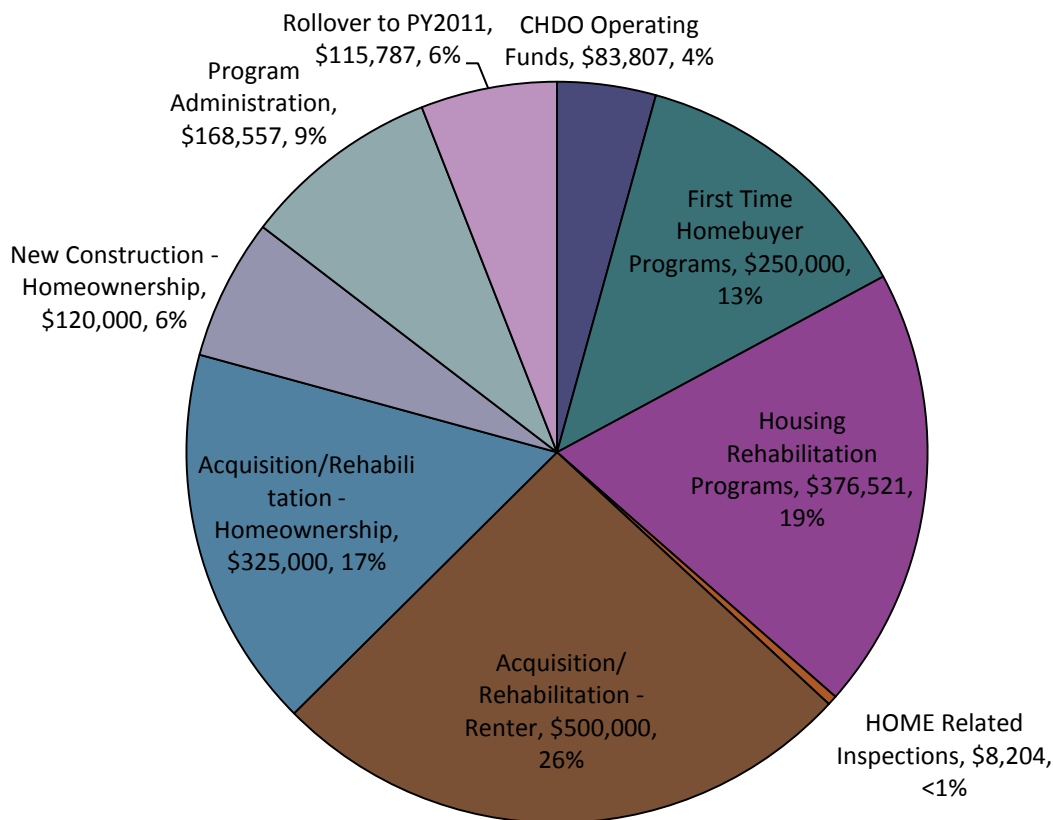
**NOTES REGARDING THE
HOME PROGRAM FUNDING RECOMMENDATION
TABLE**

NOTE: HOME CHDO Reservation Set-aside formula calculated as $\$1,676,147 \times 15\% = \$251,422.05$, distributed as CHDO Reservation Set-aside funds between full funding for the Habitat for Humanity Lake County project (\$120,000) and partial funding for the Highland Park Illinois Community Land Trust project (\$131,422.05). Remaining project costs for the Highland Park Illinois Community Land Trust project (\$193,577.95) will be supported by Lake County (regular) HOME funds.

NOTE: HOME CHDO Operating formula calculated as $\$1,676,147 \times 5\% = \$83,807.35$, distributed as CHDO Operating Funds between the Habitat for Humanity Lake County project (\$21,269), the Highland Park Illinois Community Land Trust project (\$21,269), the Lake County Residential Development Corporation project (\$20,000), and the YouthBuild Lake County project (\$21,269).

NOTE: HOME administration formula calculated as $\$1,676,147 \times 10\% = \$167,614.70 + \$9,146.30$ in allowable 10% HOME administration from Lake County Program Income ($\$83,463 + \$0 + \$8,000 \times 10\%$) received from 12/1/08 to 11/30/09 = \$176,761 (the maximum allowable Lake County HOME administration funds that can be used), distributed between the Lake County project for general program administration (\$168,557) and the Affordable Housing Corporation project for HOME-related Inspections (\$8,204).

**2010 Lake County Consortium
HOME Program Funding Recommendations**



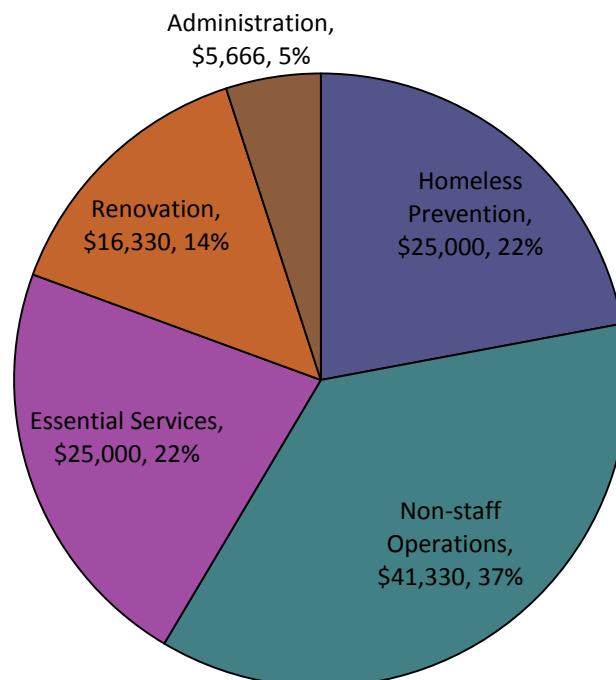
2010 Lake County Consortium ESG Program Funding Recommendations

Subrecipient	Project Type	Funding Category	Total Program Cost	Recommended Amount
Catholic Charities	Emergency Shelter	Operations	\$330,721	\$25,000
Lake County Haven	Emergency Shelter	Operations	\$212,926	\$16,330
Most Blessed Trinity	Emergency Shelter	Renovation	\$220,611	\$16,330
PADS Crisis Services	Emergency Shelter	Essential Services	\$851,234	\$25,000
Prairie State Legal Services	Homelessness Prevention	Prevention	\$107,530	\$25,000
Administration, Technical Assistance & Planning				
Lake County ESG Program	Program Administration		\$5,666	\$5,666
			TOTAL	\$113,326

NOTE: Lake County ESG entitlement funds = \$113,326.

NOTE: Lake County ESG administration formula calculated as \$113,326 X 5% = \$5,666 (the maximum allowable Lake County ESG administration funds that can be used).

2010 Lake County Consortium ESG Program Funding Recommendations



2010 Lake County CDBG Program Funding Recommendations

Total CDBG Funds Available **(estimated)** **\$2,574,802**

2010 CDBG Entitlement Grant **(estimated)** \$2,562,606

CDBG Program Income \$3,542

Reprogrammed CDBG Funds \$ 8,654

Proposed Allocation of Funds **(estimated)** **\$2,574,802**

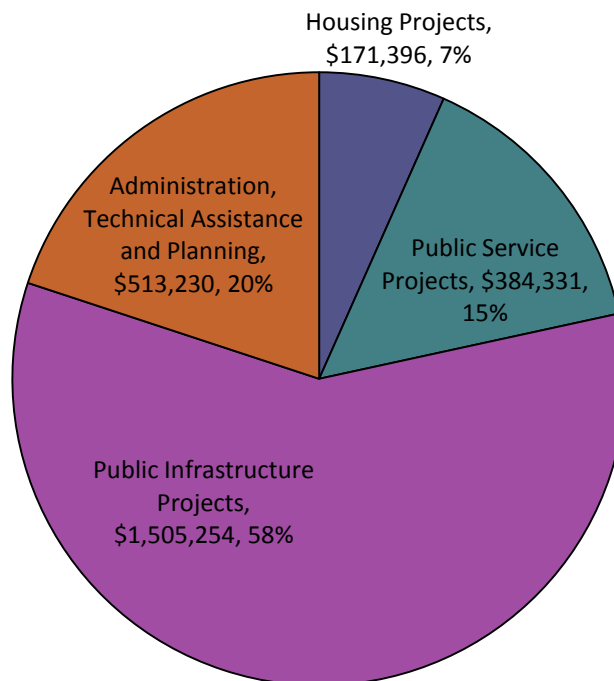
Housing Projects \$ 171,396

Public Infrastructure Projects \$1,505,254

Public Service Projects \$ 384,922

Administration, Technical Assistance and Planning \$ 513,230

*2010 Lake County
CDBG Program Funding Recommendations*



2010 Lake County CDBG Program Funding Recommendations

Subrecipient	Estimated Project Costs	Recommended Amounts	Subrecipient	Estimated Project Costs	Recommended Amounts
Public Service Projects			Housing Projects		
CASA Lake County	\$721,000	\$30,000	Affordable Housing Corporation of Lake County (Rehabilitation – Program Delivery Costs)	\$171,396	\$171,396
ChildServ	\$741,527	\$35,000			
COOL	\$240,500	\$45,000	TOTAL	\$171,396	\$171,396
CREW, Inc.	\$287,893	\$45,000			
ElderCARE@ChristChurch	\$110,150	\$25,000			
Family Service: P,E & C	\$695,475	\$25,000			
Lake Co Ctr. for Ind. Living	\$105,000	\$30,000	Public Infrastructure Projects		
Lake Co Sheriff's Office	\$268,542	\$25,000	Antioch	\$461,983	\$90,698
Lake Co State's Attorney	\$81,941	\$39,331	Antioch Township	\$236,525	\$80,820
SER Fair Housing Center (PS)	\$254,007	\$50,000	Beach Park	\$235,200	\$80,820
Tri-Con Child Care Center	\$389,332	\$35,000	Fox Lake	\$187,535	\$90,698
TOTAL	\$3,895,367	\$384,331	Grant Township	\$427,865	\$63,758
			Highwood	\$284,413	\$119,350
			Island Lake	\$238,128	\$99,975
			Lake County Administrator	TBD	\$44,900
			Lake County Public Works	\$1,812,000	\$80,820
			Mundelein	\$335,500	\$80,820
Administration, Tech. Assist. & Planning Projects			Park City	\$250,000	\$100,576
Lake County - Gen. Admin., Tech. Asst., & Plan.		\$437,608	Round Lake	\$703,430	\$99,975
Affordable Housing Corporation of Lake County		\$60,000	Round Lake Beach	\$341,500	\$80,820
SER Fair Housing Center (AP)		\$15,622	Round Lake Heights	\$191,990	\$99,975
	TOTAL	\$513,230	Round Lake Park	\$188,000	\$109,853
			Wauconda	\$257,745	\$80,820
			Zion	\$248,318	\$100,576
			TOTAL	\$6,400,132	\$1,505,254

*NOTES REGARDING THE
LAKE COUNTY CDBG PROGRAM
FUNDING RECOMMENDATION
TABLE*

NOTE: Only 20% of Lake County CDBG Program Income can be utilized for Administration and Planning calculations, 15% of Lake County Program Income can be utilized for Public Service calculations, and 65% of Program Income can be utilized for Economic Development/Housing/Public Infrastructure calculations. 100% of Reprogrammed Funds can be utilized for Economic Development/Housing/Public Infrastructure calculations.

NOTE: Lake County CDBG administration formula calculated as $\$2,562,606 \times 20\% = \$512,521.20 + \$708.40$ in allowable 20% CDBG administration from Lake County Program Income ($\$3,542 \times 20\%$) received from 12/1/08 to 11/30/09 = $\$513,229.60$ (the maximum allowable Lake County CDBG administration funds that can be used).

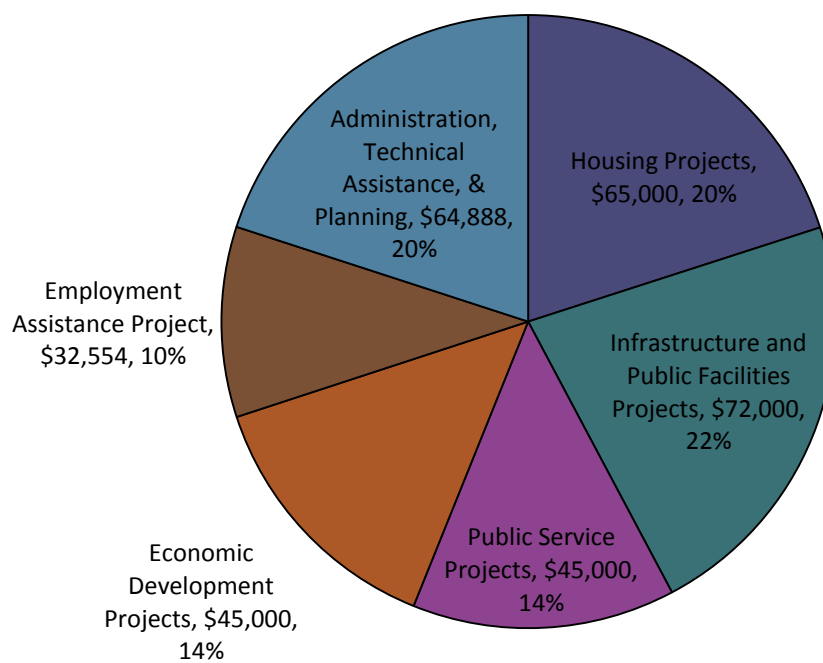
NOTE: Lake County CDBG public service formula calculated as $\$2,562,606 \times 15\% = \$384,390.90 + \$531.30$ in allowable 15% Lake County Program Income ($\$3,542 \times 15\%$) = $\$384,922.20$ (the maximum allowable Lake County CDBG public service funds that can be used).

NOTE: Lake County CDBG public infrastructure formula calculated as $\$2,562,606 \times 65\% = \$1,665,693.90 + \$2,302.30$ in allowable 65% Lake County Program Income ($\$3,542 \times 65\%$) + $\$8,654$ in CDBG reprogrammed funds - $\$171,396$ utilized for Housing projects = $\$1,505,254.20$ (the maximum allowable Lake County CDBG public infrastructure funds that can be used).

2010 Proposed CDBG Allocations for North Chicago

Total Available Funds (estimated)		\$324,442
2010 Entitlement Grant (estimated)	\$304,275	
Program Income	\$20,167	
Proposed Allocation of Funds		\$324,442
Housing Projects		\$65,000
Infrastructure and Public Facilities Projects		\$72,000
Public Service Projects		\$45,000
Employment Assistance Project		\$32,554
Economic Development Projects		\$45,000
Fair Housing Project		\$6,000
Administration, Technical Assistance and Planning		\$58,888

2010 North Chicago CDBG Program Funding Recommendations



2010 Proposed CDBG Allocations for North Chicago

Subrecipient	Project Cost	Allocation
Housing Projects		
Emergency Housing Rehabilitation Program	\$65,000	\$65,000
TOTAL	\$65,000	\$65,000
Infrastructure Improvement Projects		
Street & Alley Improvement Program	\$735,000	\$62,000
Sidewalk Program	\$20,000	\$10,000
TOTAL	\$755,000	\$72,000
Public Service Projects		
NICASA	\$705,510	\$5,000
YouthBuild Lake County	\$1,069,977	\$5,000
YWCA Lake County	\$295,749	\$5,000
Catholic Charities	\$323,052	\$5,000
Waukegan Township	\$222,750	\$5,000
I-PLUS CO-RP Program	\$225,642	\$5,000
Northern Illinois Food Bank	\$6,064,678	\$5,000
Christian Outreach of Lutherans	\$240,500	\$5,000
Daisy's Resource and Development Center	\$430,774	\$5,000
TOTAL	\$9,578,632	\$45,000
Employment Assistance Projects		
Employment Assistance Program	\$32,554	\$32,554
TOTAL	\$32,554	\$32,554
Economic Development Projects		
Façade Improvement Program	\$45,000	\$45,000
TOTAL	\$45,000	\$45,000
Administration, Technical Assistance & Planning		
General Administration		\$58,888
SER/Jobs for Progress – Fair Housing Center		\$6,000
TOTAL		\$64,888
Total 2009 North Chicago CDBG Grant		\$304,275
Program Income		\$20,167
Total Estimated Funds Available for FY 2009		\$324,442

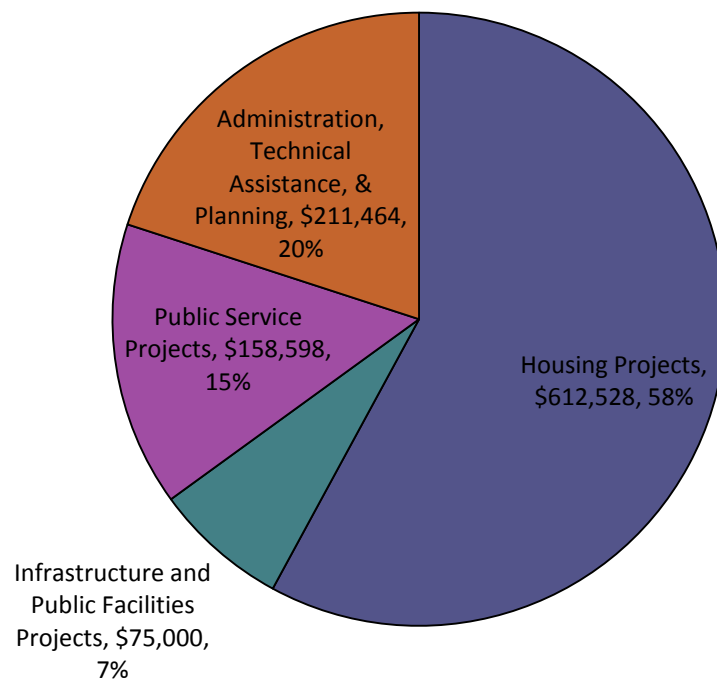
NOTE: North Chicago CDBG administration formula calculated as $\$304,275 \times 20\% = \$60,855 + \$4,033.40$ in allowable 20% CDBG administration from North Chicago Program Income ($\$20,167 \times 20\%$) = \$64,888.40 (the maximum allowable North Chicago CDBG administration funds that can be used).

NOTE: North Chicago CDBG public service formula calculated as $\$304,275 \times 15\% = \$45,641.25 + \$3,025.05$ in allowable 15% North Chicago Program Income ($\$20,167 \times 15\%$) = \$48,666.30 maximum allowable North Chicago CDBG public service funds that can be used).

2010 Proposed CDBG Allocations for Waukegan

Total Available Funds		\$1,057,320
2010 Entitlement Grant	\$1,057,320	
Program Income	\$0	
Reprogrammed Funds	\$0	
Proposed Allocation of Funds		\$1,057,320
Housing Projects/Code Compliance		\$612,528
Infrastructure and Public Facilities Projects		\$75,000
Public Service Projects		\$158,598
Administration, Technical Assistance and Planning		\$211,464

2010 Waukegan CDBG Program Funding Recommendations

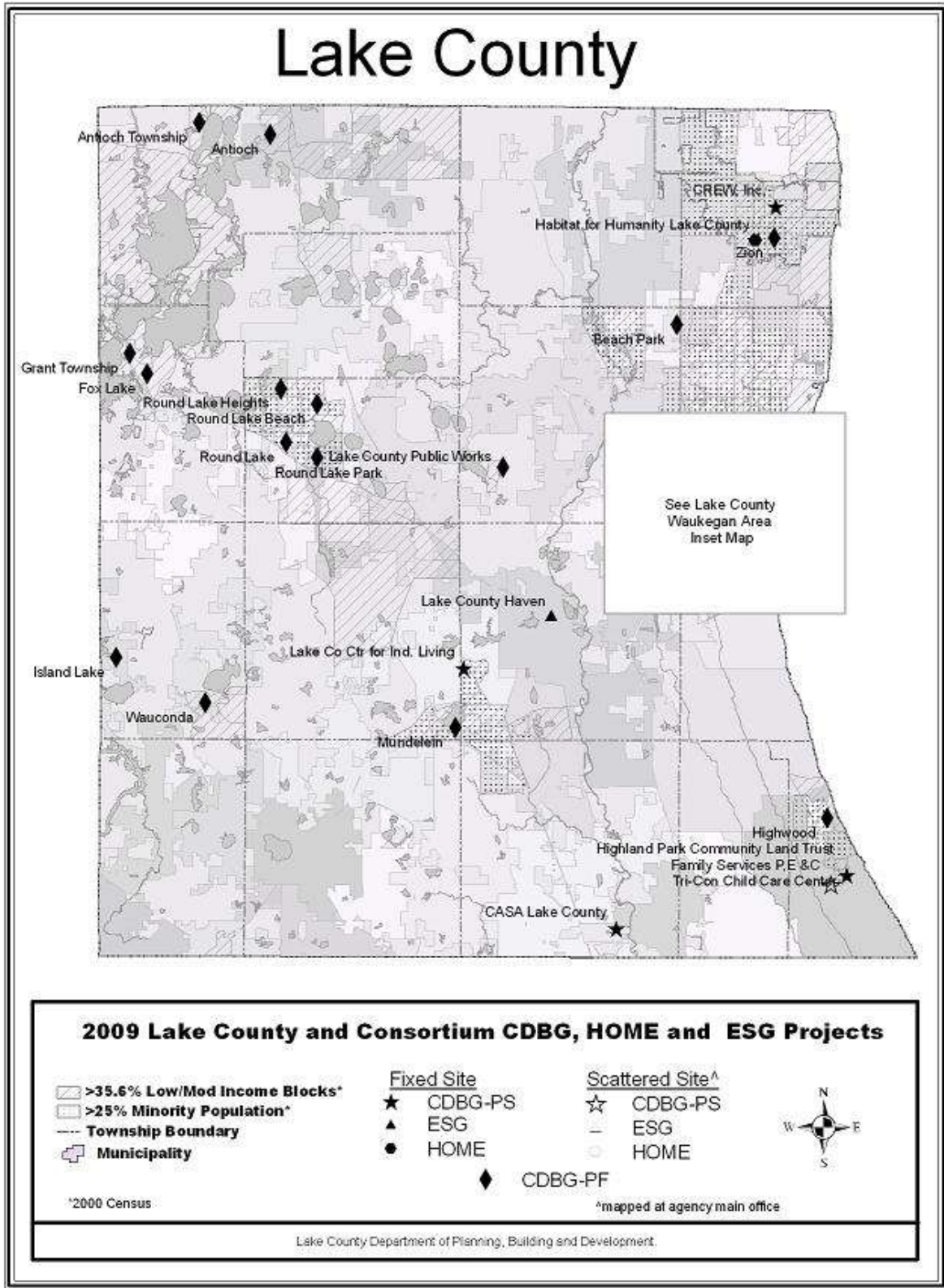


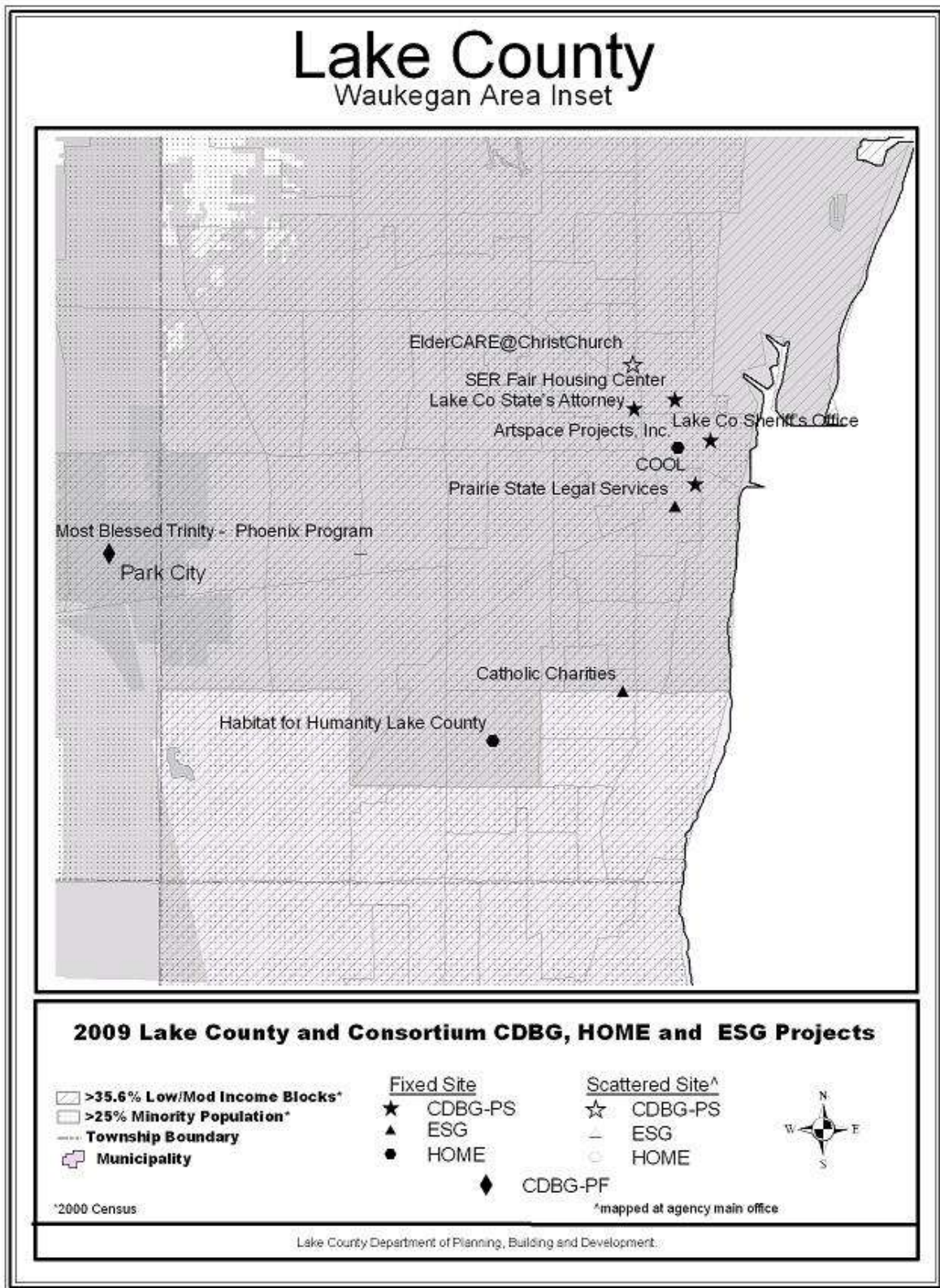
2010 Proposed CDBG Allocations for Waukegan

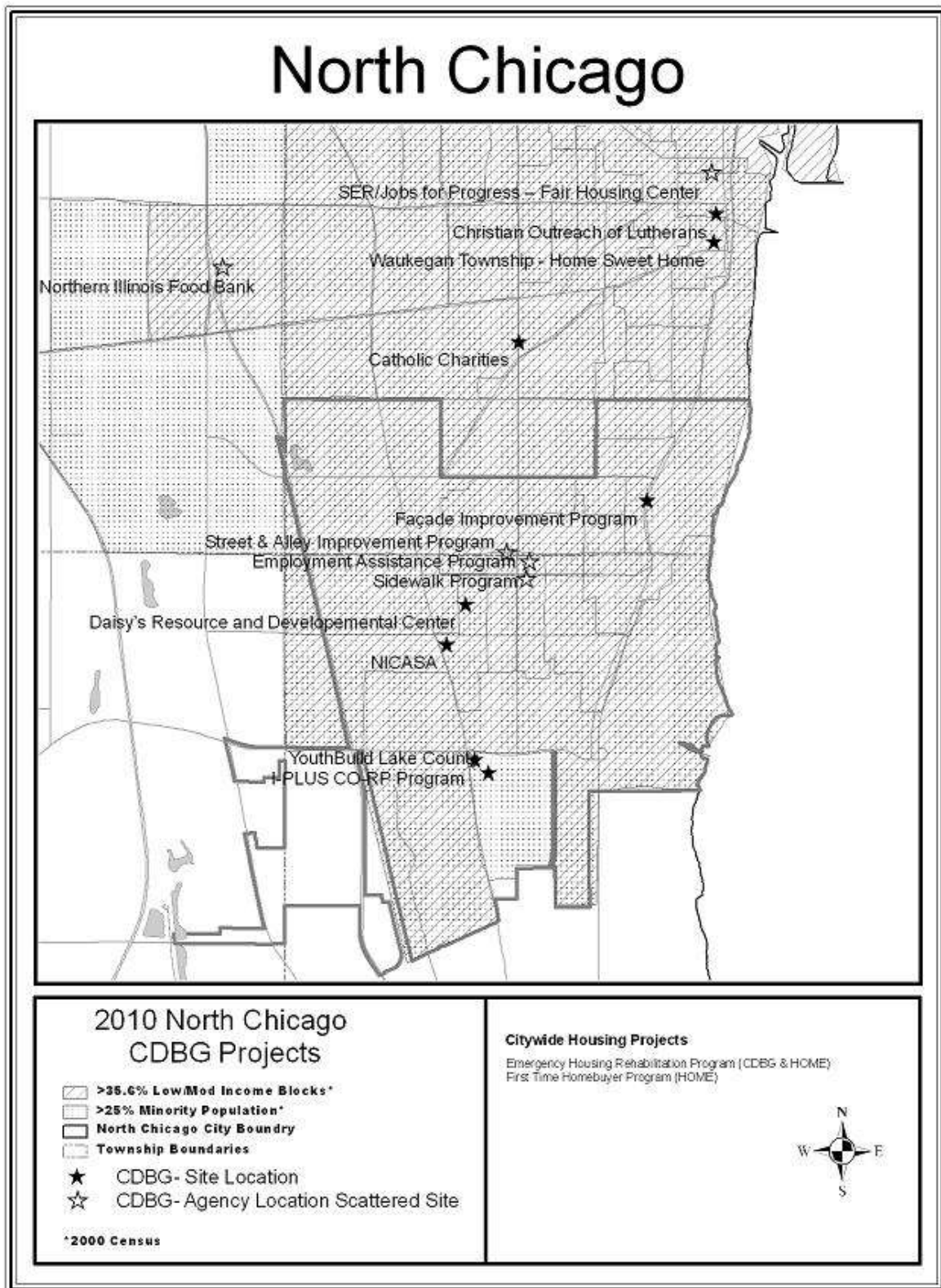
Subrecipient	Project Cost	Allocation
Public Service Projects		
A Safe Place/L.C. Crisis Center	\$693,314.00	\$5,000
CASA Lake County	\$721,000.00	\$8,598
Catholic Charities	\$746,118.00	\$6,000
Christ Church – Eldercare	\$110,150.00	\$5,000
C. O. O. L. – Food Pantry	\$240,500.00	\$6,000
CREW – MS CRADC Substance Abuse Program	\$288,500.00	\$8,000
I-Plus – CO-RP	\$239,336.00	\$9,000
Lake County Sheriff's – Adult Correction Inmate Reentry	\$268,542.00	\$5,000
Most Blessed Trinity	\$373,562.00	\$6,000
Nicasa – Teen REACH/Steps AHEAD After School Program	\$213,018.00	\$5,000
PADS – The Family Center	\$340,147.00	\$10,000
SER/Jobs for Progress – Fair Housing	\$254,007.00	\$10,000
UMMA - Urban Muslim Minority – Education Empowerment	\$62,750.00	\$5,000
Waukegan Police Department (COPP)	\$119,074.00	\$20,000
Waukegan Township – Home Sweet Home	\$210,750.00	\$20,000
Youth Conservation (YCC) – YouthBuild	\$695,713.00	\$10,000
YouthBuild L. C. - YouthBuild	\$1,069,977.00	\$10,000
YWCA L. C. – School Age/KDO Camps	\$321,101.00	\$5,000
Zacharias Sexual Assault (Was LaCASA) – Children's Advocacy	\$166,000.00	\$5,000
TOTAL	\$7,133,559.00	\$158,598
Housing Projects		
Code Enforcement Program	\$150,110	\$50,000
Housing Rehabilitation	\$562,258	\$562,258
TOTAL	\$712,368	\$612,258
Public Facilities Projects		
City of Waukegan Public Works - Sidewalks	\$410,000	\$75,000
TOTAL	\$410,000	\$75,000
Administration, Technical Assistance & Planning		
Administration, Technical Assistance & Planning	\$211,464	\$211,464
TOTAL	\$211,464	\$211,464
Total 2010 Waukegan CDBG Grant	\$1,057,320	\$1,057,320
Program Income	\$0	\$0
Reprogrammed Funds	\$0	\$0
Total Funds Available for FY 2010	\$1,057,320	\$1,057,320

NOTE: Waukegan CDBG administration formula calculated as \$1,057,320 X 20% = \$211,464 (the maximum allowable Waukegan CDBG administration funds that can be used).

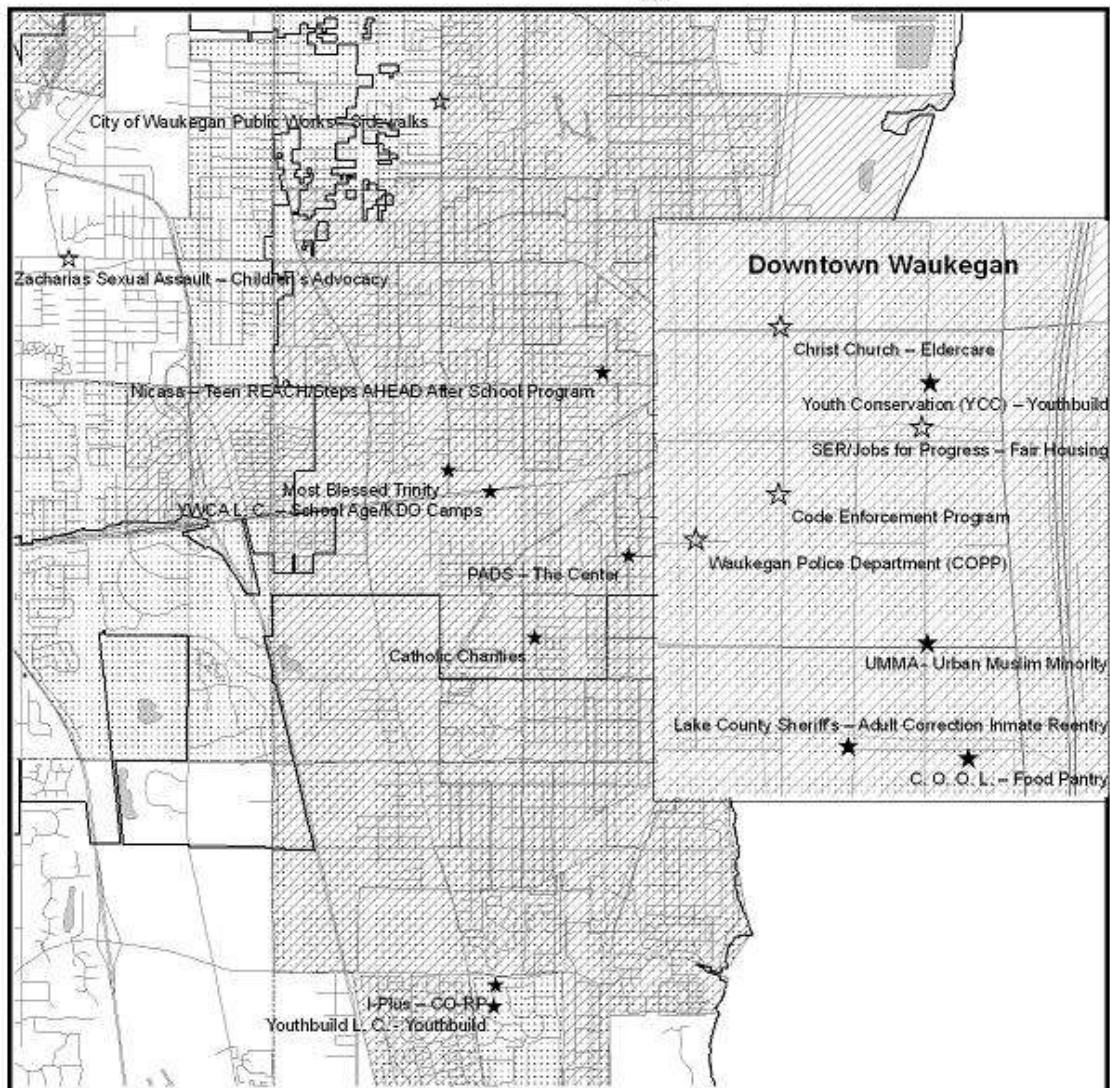
NOTE: Waukegan CDBG public service formula calculated as \$1,057,320 X 15% = \$158,598 (the maximum allowable Waukegan CDBG public service funds that can be used).







Waukegan



Overview of the Lake County Consortium Annual Action Plan

The *2010 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan (aka: “Consortium entitlement jurisdictions”) will allocate the following Program Year 2010 (PY2010) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, and the Emergency Shelter Grant (ESG) Program. The program year for these funds extends from May 1, 2010 to April 30, 2011.

These community development investments will assist the Consortium members to address selected PY2010 prioritized goals currently identified in the *2010 – 2014 Housing and Community Development Consolidated Plan*. The *Consolidated Plan* is our countywide Plan identifying housing and community development Needs, Goals, and Strategies that can be funded with HUD funds. Within the *Consolidated Plan*, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

The objectives for the use of funds from the US Department of Housing and Urban Development’s Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, the Emergency Shelter Grants (ESG) Program, and the additional funds provided throughout the year from the Supportive Housing Program (SHP) and Shelter Plus Care (S+C) Program, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low-and moderate-income residents or for special needs housing.
- The ESG Program provides funds for the rehabilitation, renovation or conversion of emergency/transitional shelters and for maintenance and operation costs, essential services, and homeless prevention activities for homeless individuals and families.
- The SHP Program provides funds for acquisition, rehabilitation, leasing, supportive services, operating, and administrative costs of transitional housing programs for the homeless and permanent housing for homeless persons with disabilities.
- The S+C Program provides funds for rental assistance to provide housing and supportive services on a long-term basis for homeless persons with disabilities and their families.

The *Annual Action Plan*, as with the *2010 – 2014 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds.

When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

In addition to the federal funds described within this *Annual Action Plan*, the Lake County Consortium has encouraged the use of a variety of other resources for meeting its identified housing and community development needs including foundation/corporate or personal contributions/grants or donations, private funds, private lending institutions, bond/tax credit funds, investment revenues, local government funding, state funding, in-kind support or services, Section 8 housing certificates and vouchers for families and individuals, special event fund raising, United Way grants/designations, and other federal grants.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with an annual appropriation of \$300,000 from FY2000 to FY2003. In FY2004, the County Board increased its appropriation to \$500,000 for one year. In FY2005, the County Board then appropriated \$310,000 in additional funds and in FY2006, FY2007, and FY2008, the County Board appropriated an additional \$300,000 per year. For FY2009 and FY2010, the County appropriated an additional \$300,000 each year. To date, \$252,085 in “program generated funds” has been returned to Lake County for additional funding awards. In total, \$3.82 million dollars has been allocated to the Lake County Affordable Housing Program.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. Numerous County funded projects have been awarded both HOME and LCAHP funds. Although Consortium-funded housing activities benefit households at or below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.

Citizen Participation and Annual Action Plan Approval Process

Annual Action Plan Approval Process

The Lake County Community Development Commission, in conjunction with the Cities of North Chicago and Waukegan, held seven Public Hearings on the *2010 Annual Action Plan* and/or the *2010-2014 Consolidated Plan*:

- Public Hearings on housing and community development needs and the 2010 funding application criteria were held on:
 - April 22, 2009
 - Public Facilities/Infrastructure Needs and Strategies Statements.
 - May 27, 2009
 - Housing Needs and Strategies Statements.
 - June 24, 2009
 - Public Services Needs and Strategies Statements.
 - July 22, 2009
 - Homeless Needs and Strategies Statements.
 - August 26, 2009
 - Approval of 2010 – 2014 Consolidated Plan Needs, Goals, and Strategies; Discussion and Approval of 2010 Application materials.
 - January 20, 2010
 - The initial Public Hearing on the proposed *Draft - 2010 Annual Action Plan*.
 - February 24, 2010
 - The final Public Hearing on the *2010 Annual Action Plan*.

These hearings were publicized through newspaper advertisements and mailed notices to various interested parties. Copies of the proposed *2010 Annual Action Plan* were made available at five libraries in different areas of the county, on the county's website, and were distributed to groups and individuals on request. They were also made available at the last two public hearings. The proposed *2010 Annual Action Plan* was available for a 30-day public comment period prior to the final public hearing.

All applicants for funding were informed of the proposed allocations prior to the first Public Hearing. As adjustments were approved by the Community Development Commission's Executive Committee, affected applicants were directly notified by staff regarding both their original recommendation amounts and their final recommendation amounts prior to the second Public Hearing.

The North Chicago City Council presented the North Chicago component of the *2010 Annual Action Plan* and the North Chicago allocation of HOME funds on January 19, 2010. The North Chicago City Council approved the *2010 Annual Action Plan* document at its City Council Meeting on February 1, 2010.

The City of Waukegan approved its portion of the *2010 Annual Action Plan* and the allocation of funds at its Finance Committee Meeting and then at the full City Council meeting on December 7, 2009. The Waukegan City Council approved the *2010 Annual Action Plan* document on March 1, 2010.

The *2010 Annual Action Plan*, including Lake County's funding strategies, was approved by:

- the Lake County Community Development Commission on February 24, 2010;
- the Lake County Board's Health and Community Services Committee on March 2, 2010;
- the Lake County Board's Financial & Administrative Committee on March 3, 2010; and

- the Lake County Board on March 16, 2010.

Grant/Loan Application Process

Applicants were provided the opportunity to apply for funds using a standardized application process. A common housing application was used for HOME and CDBG housing requests, now known as the “Lake County HOME/CDBG Housing Fund.” A consolidated application for public service requests was used for Lake County Consortium ESG, Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funding. A third application was available for Lake County public infrastructure improvements requests. Notices of funding availability were sent on multiple occasions to a broad-based mailing list, which includes previous grant applicants, funded subrecipients, and others who have expressed interest in funding or community involvement in the past.

Applicant agencies had several opportunities to learn about the various grant programs, get tips and suggestions on completing the applications, and receive individualized technical assistance. A Consolidated Application Workshop (for public services and Emergency Shelter Grant projects) and a Program Outcomes Workshop were separately held for potential applicants. Presentations were provided by staff from Lake County and the Cities of North Chicago and Waukegan. Sessions were held as follows:

Consolidated (<i>Non-Housing</i>) Application Workshop	September 9, 2009
(<i>Non-Housing</i>) Program Outcomes Workshop	October 2, 2009

Similar technical assistance workshops, conducted by Lake County staff, were provided for applicants considering housing applications through the Lake County HOME/CDBG Housing Fund on September 11, 2009 and for Lake County CDBG public infrastructure improvements applicants on September 11, 2009. In addition, technical assistance was provided to applicants in person, over the telephone, via email, and via facsimile. Several applicants took advantage of these opportunities.

Applications for Lake County for CDBG—public services were reviewed by a review panel comprised of Lake County staff and members of the Community Development Commission. Applicants for ESG funds were required to participate in a peer review panel comprised of members of the Lake County Continuum of Care. Agencies that submitted applications to the Lake County Consortium for the HOME/CDBG Housing Fund were required to make a presentation to a panel of reviewers comprised of 3 members from the Community Development Commission and 2 members from the Affordable Housing Commission. Lake County Community Development staff was also in attendance for the HOME/CDBG Housing Fund presentations. In North Chicago, applicants were required to make a presentation to the Citizen’s Advisory Committee to be considered for funding. In Waukegan, applicants were required to make a presentation to a combined panel of CDBG staff and the Stakeholder Participation Panel.

Grant/Loan Allocation Process

The funding allocations described within this document are the result of a community-wide application process, yet individualized review processes. Grant and/or loan allocations for the countywide Lake County HOME, Lake County ESG funds, and for Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funds are determined by their respective review panel processes. They are the result of a community-wide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the process have been unique to each jurisdiction, such as application review and ranking.

As previously stated, a combined panel of Community Development Commission and Affordable Housing Commission members reviewed the HOME/CDBG Housing Fund applications and made funding recommendations based on staff technical assistance. The recommendation process for housing proposals includes factors related to *Consolidated Plan* priority level, project/program location relative to area median income, number of units proposed, project readiness and feasibility, applicant capacity, program design, loan versus grant requested, and timely expenditure of past grant funds. This combined review panel made funding recommendations to the CDC Executive Committee. Upon approval by the CDC Executive Committee, final funding recommendations were also presented to the Affordable Housing Commission. The proposed HOME/CDBG Housing Fund activities were then incorporated into the proposed *2010 Annual Action Plan* and made available for public review and comment.

The Lake County Community Development Commission established a rating system for Lake County's Community Development Block Grant (CDBG) Public Service applications and the county-wide Emergency Shelter Grant (ESG) applications. These rating components and rating criteria were:

<u>Community Impact</u>	<u>Program Quality</u>	<u>Agency Capacity</u>
Community Need	Program Design	Past Performance
Lake County Impact	Staffing and Facilities	Fiscal Management
Outcomes		Sustainability

This rating system basically took into consideration the following major factors:

- the relationship between proposed activities and the needs, goals, and strategies identified in the Five-Year Consolidated Housing and Community Development Plan,
- the applicant's demonstrated capacity to carry out the proposed activity,
- the extent to which the proposed activity would benefit low and moderate-income persons,
- the activity's proposed outcomes,
- the amount of other resources that were committed to the proposed activity, and
- the effectiveness and quality of the proposed activity and the impact it would have on the local community.

In summary, the Community Development Commission and the Affordable Housing Commission recommended the PY2010 HOME and CDBG funded housing projects; the Community Development Commission recommended the PY2010 Lake County CDBG and countywide ESG projects; the North Chicago Citizen's Advisory Committee recommended the PY2010 North Chicago CDBG projects; and the Waukegan Stakeholder Participation Panel recommended the PY2010 Waukegan CDBG projects.

Geographic Distribution

To the greatest extent practicable, project activities are designed to serve low and moderate-income residents throughout Lake County. The Cities of North Chicago and Waukegan target their resources within low-income areas of their respective entitlement jurisdictions. Lake County seeks to reach all low-income residents within the County, particularly emphasizing those areas outside of North Chicago and Waukegan. However, many activities funded by Lake County are open to low-income residents from anywhere in the County, such as public service activities. Housing activities such as the Homeowner Rehabilitation Program and the First-Time Homebuyers Program are available to residents countywide, although certain HOME-funded housing programs are limited geographically to residents of the Cities of Waukegan and North Chicago because of the status of these two cities in the HOME Consortium.

The selection criterion for new housing projects encourages the development of housing in areas where the average income exceeds the County median. For Lake County, the location of public facilities activities is determined by the needs of the particular communities applying for funds, but one of the principal rating factors for public facilities applications is the number and percentage of low and moderate-income beneficiaries in the area to be served by the project.

Other Resources

The specific sources of other financial resources are identified for housing projects in the activity descriptions, as the difference between the HOME grant and the total project cost identifies the match and/or leverage amount being contributed to the project by the Project Sponsor. For public facilities projects, the total project cost is listed under each activity description, and the difference between the CDBG grant amount and the total project cost represents local funds being contributed by the subrecipient. For Waukegan, the public facilities funds are leveraged by funds from the City budget, the Public Works budget or other funding sources including public and private investments. For North Chicago, public facilities funds are leveraged by matching fees.

For public services, economic development, and homeless activities, the total project cost is listed under each activity description, and the difference between the total project cost and the CDBG or ESG grant amount represents local funds being contributed to the project by the subrecipient. Most frequently, agencies receive private dollars and United Way of Lake County support as partial leverage for the overall program cost.

Many other local agencies, such as township governments, contribute resources to help their residents through senior citizen, youth and homeless programs. Therefore, if social-services needs can be met through other resources, that investment is acting as leverage for the social service system.

Program Income

For Lake County, any unallocated program income from the CDBG or HOME programs received prior to November 30, 2008, has already been included in the *2010 Annual Action Plan* budget, except for those monies in the CDBG housing rehab revolving loan fund account. The income from CDBG housing rehab loan repayments is deposited into a revolving loan fund account and is used by those programs for new loans at the earliest opportunity. At this time, the precise amount of revolving loan program income, which may become available for the housing rehab programs during the 2010 Program Year, is not known. Additional program income received after November 30, 2009 from activities other than rehab loans will be allocated to specific activities in the 2011 Program Year, unless there is a pressing need to amend the *2010 Action Plan* either to fund a new activity or to provide additional funding to an existing activity.

Waukegan's program income figure is an estimate of program income to be received during the program year from repayments on past awarded economic development loans, and housing rehabilitation loans and liens.

North Chicago's program income figure is generated from the repayment of revolving business, micro and housing rehabilitation loans. The income is reallocated to provide additional projects to CDBG eligible activities.

The following sections of the *2010 Annual Action Plan* detail the specific funding strategies and projects recommended for the 2010 Program Year. These projects are organized according to the five-year priority Goals previously identified in the *2010 - 2014 Housing and Community Development Consolidated Plan*.

These projects are further subdivided for each HUD entitlement funded program for Lake County, North Chicago, and Waukegan.

Minority Outreach Program

The Lake County Consortium makes every effort to encourage the use of minority business and women enterprises in connection with HOME funded activities. All project sponsors must specify the outreach actions they will take to ensure the inclusion, to the maximum extent possible, of minority and women owned enterprises in all contracts. Lake County reviews the HOME funded project sponsor's efforts and success in soliciting MBE/WBE participation as part of the routine monitoring and reporting process.

Performance Measurement

The Performance Measurements for Lake County and the Cities of North Chicago and Waukegan are included in this document as Appendix D. These measurements are based on the HUD Community Planning and Development Outcome Performance Measurement Framework, the Outcomes Measures guidance published in the Federal Register Notice dated March 7, 2006, and materials from the HUD sponsored Performance Measurement Training Sessions. The table in Appendix D includes the Lake County Consortium's five-year Consolidated Plan Goals, PY2010 projects and funded allocations, associated objectives and outcomes, and the proposed outcome indicators for Lake County and the Cities of North Chicago and Waukegan based on the following outcomes/objectives matrix:

	Outcome 1: Availability/Accessibility	Outcome 2: Affordability	Outcome 3: Sustainability
Objective #1 Suitable Living Environment	Enhance <u>Suitable Living Environment</u> Though Improved/New <u>Accessibility</u>	Enhance Suitable Living Environment Though Improved/New <u>Affordability</u>	Enhance Suitable Living Environment Though Improved/New <u>Sustainability</u>
Objective #2 Decent Housing	Create Decent Housing with Improved/New <u>Availability</u>	Create <u>Decent Housing</u> with Improved/New <u>Affordability</u>	Create Decent Housing with Improved/New <u>Sustainability</u>
Objective #3 Economic Opportunity	Provide Economic Opportunity Through Improved/New <u>Accessibility</u>	Provide Economic Opportunity Through Improved/New <u>Affordability</u>	Provide <u>Economic Opportunity</u> Through Improved/New <u>Sustainability</u>

Proposed 2010 Consortium Activities

(Note: The descriptions of these proposed activities serve the purpose of HUD Table 3.)

Unallocated PY2010 HOME Funds (to be allocated in / rolled over to PY2011)

- \$115,787 in 2010 Consortium HOME funds are carried over and reserved for non-CHDO Lake County (regular) HOME funded low/moderate income affordable housing projects.
- PY2011 HOME applications for use of these funds will determine whether the individual project funds a **High Priority** need or a **Medium Priority** need for Lake County as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.

1.0 Consortium Housing Activities

Community Housing Development Organization (CHDO) Operating Funds

Lake County Consortium 2010 HOME CHDO Operating funds are allocated among the following four CHDO agencies: Habitat for Humanity Lake County, Highland Park Illinois Community Land Trust, Lake County Residential Development Corporation, and YouthBuild Lake County. All CHDO Operating funds will be used for necessary operating expenses related to the rehabilitation of existing housing (*Consolidated Plan* Goal 1.2) and the development of new housing (*Consolidated Plan* Goal 1.3). Project-specific information is described within each of the recommended projects.

Habitat for Humanity of Lake County

- 2010 Lake County HOME – CHDO Operating Funds: \$21,269
- CHDO funds are requested to support a portion of the salaries of the Office/Volunteer Coordinator and the Family Services Coordinator associated with work related to the development of decent, safe, and sanitary affordable housing units for eligible very low-income households earning less than 60% of area median income. This grant-funded project is in connection with the HOME-funded Carter Crossing Development new construction project located in Waukegan, IL and the new construction single-family home development project in Zion, IL.
- This project funds a **Medium Priority** need for the Lake County Consortium, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 315 North Martin Luther King Jr. Avenue, Waukegan, IL 60085

Highland Park Illinois Community Land Trust

- 2010 Lake County HOME – CHDO Operating Funds: \$21,269
- CHDO funds are requested to support a portion of staff salaries related to the development of decent, safe, and sanitary affordable ownership housing units for eligible low-income households earning less than 80% of area median income in connection with future affordable housing developments under the scattered site program. This is a grant-funded project.
- This project funds a **High Priority** need for the Lake County Consortium, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 400 Central Avenue, Highland Park, IL 60035

Lake County Residential Development Corporation

- 2010 Lake County HOME – CHDO Operating Funds: \$20,000
- CHDO funds are requested to support a portion of staff salaries related to the development of decent, safe, and sanitary affordable rental housing units for eligible low-income households earning less than 80% of area median income in connection with future affordable housing developments as indicated below:
 - Rehabilitation of 21 Allen Street rental housing project site in Grayslake; partial support of Program Manager and Construction Manager positions
 - Development of unidentified rental housing project site in Gurnee; partial support (30%) of Executive Director position
 - Development of unidentified rental housing project site in Grayslake; partial support (30%) of Executive Director position

This is a grant-funded project.

- This project funds **High and Medium Priority** needs for the Lake County Consortium, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 1280 Blackburn Street, Gurnee, IL 60031

YouthBuild Lake County

- 2010 Lake County HOME – CHDO Operating Funds: \$21,269
- CHDO funds are requested to support the salaries of the Construction Staff associated with work related to the development of decent, safe, and sanitary affordable housing units for eligible low-income households earning less than 80% of area median income. This grant-funded project is in connection with the HOME-funded new construction project located at 2129 Honore in North Chicago, IL and other future affordable housing developments.
- This project funds **Medium Priority** needs for the Lake County Consortium, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 3001 North Green Bay Road, North Chicago, IL 60064

Subrecipient/Project Sponsor	CHDO Operating Funds
Habitat for Humanity Lake County	\$21,269
Highland Park Illinois Community Land Trust	\$21,269
Lake County Residential Development Corporation	\$20,000
YouthBuild Lake County	\$21,269
TOTAL	\$83,807

1.1 Fair Housing

Affirmatively Furthering of Fair Housing

The Lake County Consortium will affirmatively further fair housing practices in Lake County by supporting awareness of fair housing laws and ensuring that persons experiencing housing discrimination will have their complaints successfully mediated or filed with HUD. Consortium members will also continue to implement the recommendations of the most recent Lake County Fair Housing Analysis. In addition, project sponsors of housing containing five or more units are required to specifically document their efforts to affirmatively market their project. Lake County will review the actions taken to affirmatively market HOME funded housing projects as part of our routine monitoring and reporting process.

Lake County, along with the Cities of North Chicago and Waukegan, will utilize CDBG monies to fund the activities of the Fair Housing Center of Lake County, as described below:

SER / Jobs for Progress - Fair Housing Center

- 2010 Lake County CDBG – Public Service: \$50,000
- 2010 Lake County CDBG – Administration: \$15,622
- 2010 North Chicago CDBG – Administration: \$6,000
- 2010 Waukegan CDBG – Public Service: \$10,000
- Total Program Cost: \$254,007 (including other funding sources).
- Other sources include: Contributions and Fair Housing Initiatives Program (FHIP) grant.
- The Fair Housing Center provides education and outreach regarding the Fair Housing Act in order to promote fair housing practices throughout Lake County. Services include: testing, investigation, advocacy, conflict mediation, and referrals to legal services for those who believe they are victims of housing discrimination.
- The Fair Housing Center anticipates serving 160 households clients in PY2010. CDBG funds are requested for salaries, benefits, payroll taxes, professional fees, telephone, printing and publications, travel, office supplies, insurance and occupancy associated with the Fair Housing Center.
- Proposed Program Outcomes:
 - At least 24 paired tests indicate unfair treatment to members of a protected class under the Fair Housing Act, Illinois Human Rights Act, and other fair housing laws.
 - 112 Fair housing cases are resolved to the benefit of the client.
 - Lake County residents will have an increased awareness of their rights under the Fair Housing Act.
- This project funds a **High Priority** need for the County Consortium and the Cities of North Chicago and Waukegan, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod Income clientele criteria.
- Agency Location: 204 N Genesee Street, Suite 220, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	Lake County CDBG	North Chicago CDBG	Waukegan CDBG
SER/Jobs for Progress - Fair Housing Center	\$254,007	\$50,000 (PS) \$15,622 (AP)	\$6,000 (AP)	\$10,000 (PS)

1.2 Rehabilitation

Affordable Housing Corporation of Lake County

- 2010 Lake County CDBG – Rehabilitation: \$171,396
- Total Project Cost: \$786,000 (including other funding sources).
- Other sources include: The Affordable Housing Corporation of Lake County Lender Consortium.
- Affordable Housing Corporation of Lake County will provide program administrative services including staff salary and benefit costs associated with Lake County's Rehabilitation Program. Services provided targeting new program participants will include general implementation of the Housing Rehabilitation Program, evaluation of applications for rehabilitation assistance, housing inspections and work write-ups, provision of rehabilitation assistance, monitoring of rehabilitation work, approval of contractor payments, and maintenance of case files and other records. Additional services targeting previous program participants will include loan servicing and housing compliance inspections.
- Affordable Housing Corporation of Lake County will provide, as indicated above, emergency rehabilitation and/or substantial rehabilitation assistance for owner-occupied, single-family homes to ensure the provision of decent, safe, and sanitary affordable ownership housing units for eighteen (18) eligible low-income Lake County homeowner households earning less than 80% of area median income. This assistance may come in the form of a deferred payment loan (valued up to \$50,000) or an amortized loan (valued up to \$25,000). This is a grant-funded project.
- This project funds a **High Priority** need for the Lake County Consortium, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod Income clientele criteria.
- Project Location: Scattered sites throughout Lake County to be determined.
- Agency Location: 3701 West Grand Avenue, Gurnee, IL 60031

Artspace Projects, Inc.

- 2010 Lake County HOME – Rehabilitation: \$500,000
- Total Project Cost: \$14,636,774 (including other funding sources).
- Other sources include: JP Morgan Chase, IFF (formerly the Illinois Facilities Fund), City of Waukegan, Artspace Sponsor Loan, and RBC Capital Markets.
- Artspace Projects, Inc. will acquire and rehabilitate the historic Karcher Hotel located in downtown Waukegan. This loan-funded project will include a total of 38 decent, safe, and sanitary affordable rental housing units (16 HOME-assisted floating units) for eligible very low-income households earning less than 60% of area median income. 20% of the units will be reserved for persons at 30% of area medium income and below.
- This project funds a **High Priority** need for the Lake County Consortium, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod Income clientele criteria.
- Project Location: 405 Washington Street, Waukegan, IL 60085
- Agency Location: 250 Third Ave. N., Suite 500, Minneapolis, MN 55401

City of North Chicago

- 2010 Lake County HOME – Rehabilitation: \$175,995
- 2010 North Chicago CDBG – Rehabilitation: \$65,000
- Total Project Cost: \$175,995 (including other funding sources).
- Leverage sources: all CDBG funding

- Administered by the Department of Community Development and Planning and the Building and Health Department, this program provides deferred loans up to \$10,000 for the emergency repair of major structural or critical system defects or the correction of a lead-based paint hazard in owner-occupied single-family homes for low-income families. The expenses are borne by the recipient in the form of installment payments or deferred loans of (0%) zero percent interest rate due upon selling the home or refinancing of the home when financial equity is being taken out of the property. Houses rehabilitated through this program can be located anywhere within the city limits if the homeowner qualifies as low to moderate-income.
- A second component of this program cover exterior Code Enforcement corrections to include but not limited to: painting outbuildings, fences and small structure demolitions. The expenses will be paid on behalf of low to moderate income seniors and persons with disabilities. The repairs will be completed by qualified contractors licensed with the City of North Chicago. These funds will be provided in the form of a grant.
- Proposed Project Outcomes:
 - The proposed benefit will be the rehabilitation of at least 5 housing units.
 - Clients will reside in decent, safe and sanitary housing.
 - The proposed benefit will be the correction of 10 code enforcement citations which would prevent costly court sanctioned fees.
- This project funds a **High Priority** need for the Lake County Consortium, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod Income clientele criteria.
- Project Location: Scattered sites throughout the City of North Chicago to be determined.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064

City of Waukegan

- 2010 Lake County HOME – Rehabilitation: \$200,526
- 2010 Waukegan CDBG – Rehabilitation: \$562,258
- Total Project Cost: \$200,526 (including other funding sources).
- Other sources include: City of Waukegan Community Development Block Grant entitlement allocation.
- City of Waukegan will provide emergency rehabilitation and/or substantial rehabilitation assistance valued up to \$37,552) for owner-occupied, single-family homes or acquire, rehabilitate, and resell vacant or foreclosed homes to ensure the provision of decent, safe, and sanitary affordable ownership housing units for five (5) eligible low-income Waukegan homeowner or homebuyer households earning less than 80% of area median income. This assistance may come in the form of a deferred payment, partially forgivable (50% over a specified period of time) loan or a ten-year amortized loan dependent upon the borrower's specific rehabilitation needs and ability to pay. This is a grant-funded project.
- This project funds a **High Priority** need for the Lake County Consortium, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod Income clientele criteria.
- Project Location: Scattered sites throughout the City of Waukegan to be determined.
- Agency Location: 100 North Martin Luther King, Jr. Avenue, Waukegan, IL 60085

Highland Park Illinois Community Land Trust

- 2010 Lake County HOME – Rehabilitation: \$325,000
- Total Project Cost: \$1,400,000 (including other funding sources).
- Other sources include: First Midwest Bank and City of Highland Park Housing Trust Fund.
- Highland Park Illinois Community Land Trust will acquire and rehabilitate decent, safe, and sanitary affordable ownership housing units at scattered sites throughout Highland Park for sale to five (5) eligible low-income first-time homebuyer households earning less than 80% of area median income. Affordability of HOME-assisted units will be maintained in perpetuity through a community land trust model, using either a long-term deed restriction or ground lease. This is a grant-funded project.
- This project funds a **High Priority** need for the Lake County Consortium, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod Income clientele criteria.
- Project Location: Scattered sites throughout the City of Highland Park to be determined.
- Agency Location: 400 Central Avenue, Highland Park, IL 60035

Subrecipient/Project Sponsor	Total Project Cost (excluding program income)	North Chicago CDBG	Waukegan CDBG	HOME Funds	Lake County CDBG	Proposed Outcomes (HOME/CDBG Assisted Units)
Affordable Housing Corporation of Lake County	\$786,000				\$171,396	18 of 18 units
Artspace Projects, Inc.	\$14,636,774			\$500,000		16 of 38 units
City of North Chicago	\$175,995	\$65,000		\$175,995		6 of 6 units
City of Waukegan	\$200,526		\$562,258	\$200,526		5 of 5 units
Highland Park Illinois Community Land Trust	\$1,400,000			\$325,000		5 of 5 units
TOTAL	\$17,199,295			\$1,201,521	\$171,396	50 of 72 units

1.3 New Construction

Habitat for Humanity Lake County

- 2010 Lake County HOME – New Construction: \$120,000
- Total Project Cost: \$529,608 (including other funding sources).
- Other sources include: Habitat Sponsors, Liberty Bank and Trust, and the Lake County Affordable Housing Program (LCAHP).
- Habitat for Humanity Lake County will construct four (4) decent, safe, and sanitary single-family ownership affordable housing units that will be sold to households earning less than 60% of the area median income. This grant-funded project will include a 3-unit home in the HOME-funded Carter Crossing subdivision located in Waukegan and a 1-unit detached home in Zion.
- This project funds a **Medium Priority** need for the Lake County Consortium, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod Income clientele criteria.

- Project Location: Southwest corner of 11th Street and Washington Park, Waukegan, IL 60085 and 3002 Gilead, Zion, IL 60099
- Agency Location: 315 North Martin Luther King Jr. Avenue, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	HOME Funds	Proposed Outcomes
Habitat for Humanity Lake County	\$529,608	\$120,000	4 units
TOTAL	\$529,608	\$120,000	4 units

1.4 Homebuyer Assistance

Affordable Housing Corporation of Lake County - City of Waukegan

- 2010 Lake County HOME – Homebuyer Assistance: \$100,000
- Total Project Cost: \$2,400,000 (including other funding sources).
- Other sources include: Illinois Housing Development Authority (IHDA) and the Affordable Housing Corporation of Lake County Lender Consortium.
- Affordable Housing Corporation of Lake County will provide up to \$5,000 in homebuyer assistance loan funds in connection with First Time Homebuyer Assistance (FTHB) funds and up to \$10,000 in connection with Affordable Plus Mortgage (APM) funds related to the purchase of decent, safe, and sanitary affordable ownership housing units for fifteen (15) eligible low-income Waukegan first-time homebuyer households earning less than 80% of area median income. These homebuyer assistance funds will be in the form of no-interest, deferred payment loans for down payment and closing costs due upon sale, title transfer, or cash-out refinance.
- Affordable Housing Corporation of Lake County will also utilize HOME funds for program delivery costs to support expenses valued up to \$1,000 per HOME-assisted unit in connection with FTHB funds and up to \$2,000 per HOME-assisted unit in connection with APM funds, related to program income eligibility verification, outreach, and other related program delivery charges. This is a grant/loan-funded project.
- This project funds a **Medium Priority** need for the Lake County Consortium a, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod Income clientele criteria.
- Project Location: Scattered sites throughout the City of Waukegan to be determined.
- Agency Location: 3701 West Grand Avenue, Gurnee, IL 60031

Affordable Housing Corporation of Lake County

- 2010 Lake County HOME – Homebuyer Assistance: \$150,000
- Total Project Cost: \$6,850,000 (including other funding sources).
- Other sources include: Illinois Housing Development Authority (IHDA), Affordable Housing Corporation of Lake County Lender Consortium
- Affordable Housing Corporation of Lake County will provide up to \$1,000 in homebuyer assistance loan funds related to the purchase of decent, safe, and sanitary affordable ownership housing units for fifty (50) eligible low-income Lake County first-time homebuyer households earning less than 80% of area median income leveraged with up to \$14,000 in Illinois Housing Development Authority (IHDA) funds and up to \$35,000 in private fixed-interest rate loan funds through the Affordable Plus Mortgage (APM) program. These homebuyer assistance funds will be

in the form of no-interest, deferred payment loans for down payment and closing costs due upon sale, title transfer, or cash-out refinance.

- Affordable Housing Corporation of Lake County will also utilize HOME funds for program delivery costs to support expenses valued up to \$2,000 per HOME-assisted unit, related to program income eligibility verification, outreach, and other related program delivery charges. This is a grant/loan-funded project.
- This project funds a **Medium Priority** need for the Lake County Consortium, as referenced in the *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod Income clientele criteria.
- Project Location: Scattered sites throughout Lake County to be determined.
- Agency Location: 3701 West Grand Avenue, Gurnee, IL 60031

Subrecipient/Project Sponsor	Total Project Cost	HOME Funds	Proposed Outcomes
Affordable Housing Corporation of Lake County – City of Waukegan	\$2,400,000	\$100,000	15 units
Affordable Housing Corporation of Lake County	\$6,850,000	\$150,000	50 units
TOTAL	\$9,250,000	\$250,000	65 units

Other Housing-Related Activities

Other Housing-Related Activities that occur in Lake County and the Cities of North Chicago and Waukegan (including Public Housing Resident Initiatives, Strategies to Address Affordable Housing Barriers, Lead-based Paint Hazard Mitigation Strategy, and Fostering Decent Housing) that are not specifically related to a CDBG, HOME, SHP, S+C, or ESG funded project through the Lake County Consortium, are identified below:

Public Housing Authority (PHA) Capital Fund Program

During PY 2010, the Lake County Housing Authority, North Chicago Housing Authority and Waukegan Housing Authority will initiate the improvements listed below, utilizing funding from the HUD Capital Fund Program:

Lake County Housing Authority

During PY 2010, the Lake County Housing Authority (LCHA) will implement \$1,244,834 in improvements in PHA-owned and managed affordable housing units including:

- Marion Jones Townhomes – replace unit light fixtures, replace tile floor, vacant unit turnaround, landscaping
- John Kuester Manor – interior rehabilitation
- Millview Manor – interior rehabilitation
- Orchard Manor – interior rehabilitation
- Various PHA-wide improvements – miscellaneous rehabilitation, vacant unit turnaround, replace/repair/seal building parking lots, replace stove gas lines, cyclical painting of units, security alarm systems, tree trimming, replacement of vehicles (lawn and snow removal equipment)

North Chicago Housing Authority

During PY 2010, the North Chicago Housing Authority will implement the remaining \$153,000 of additional Stimulus funding from 2009 for improvements in PHA-owned and managed affordable housing units. Together with HUD Capital Fund Program funding from FY2007, 2008 and 2009 the improvements being planned will include:

- RFP's for various PHA-wide improvements – replacing patio screen doors, new heat valves, hallway painting, replace thermostat, repair canopy, replace unit carpeting, painting units, repair sewer, erosion repair, curb appeal, installing efficient shower heads and toilet fill valves, replacing unit vinyl flooring, replacing bi-fold closet doors, purchasing new truck, re-surfacing parking lots, adding parking spaces, adding a parking lot fence and gate and continue security guard service at both buildings.
- RFP for painting all interior hallways at Kukla Tower which consists of (6 floors) & Thompson Manor which consists of (4 floors).
- RFP for carpeting all interior hallway floors of Kukla Tower & Thompson Manor.
- RFP for purchasing a van with wheel chair capacity for the residents of the North Chicago Housing Authority.

Waukegan Housing Authority

During PY 2010, the Waukegan Housing Authority will implement \$640,009 in improvements in PHA-owned and managed affordable housing units including:

- Barwell Manor – replace mansard siding, renovate units
- Armory Terrace – replace stairwell doors, install new disabled accessible interior first floor door
- Poe Manor – replace stairway doors, renovate units
- Various PHA-wide improvements – renovate units

Public Housing Resident Initiatives

The three housing authorities will undertake the resident initiatives listed below:

Lake County Housing Authority

- Continue to have a Resident Commissioner on the Board.
- Involve residents in its planning process.
- Encourage residents to participate in housing counseling for referral to first time homebuyer programs.
- Provide notice of entry-level employment opportunities at the Authority to residents.
- Publish a quarterly newsletter for tenants that include information related to economic self-sufficiency.
- Through the Section 8 Program the Authority provides an in-house Family Self-Sufficiency Program as well as works with Catholic Charities' FSS Program.
- Create homeownership opportunities for residents and enhance their capacity for homeownership. Provides the following services to potential homebuyers for the purpose of offering a continuum of housing options for its constituency: First Time Homebuyer Counseling, Mortgage Default Counseling/Foreclosure Intervention, Predatory Lending, Home Equity Conversion Mortgages, Cash Management, and Credit Counseling.

North Chicago Housing Authority

- Continue to have a Resident Commissioner on the Board.
- Continue to have tenant councils in each of the two complexes operated by the North Chicago Housing Authority. Members of the tenant councils participate in Housing Authority Board meetings and plan activities for the residents.
- Continue SSDC program, a Self Sufficiency Development Program 501(c) purchasing property within the North Chicago area for ownership and improvements of homes. Self sufficiency for the families and individuals that participate in its housing program. Incorporate SSDC with the Section 8 Homeownership Program, and continue other programs and activities to encourage resident involvement, including Family Unification Program.

Waukegan Housing Authority

- Continue to have a Resident Commissioner on the Board.
- Continue a Resident Council Program.
- Continue other programs and activities to encourage resident involvement.
- Encourage homeownership opportunities through the Family Self-Sufficiency Program.

Strategies to Address Affordable Housing Barriers

- The Affordable Housing Commission will continue with educational presentations and improved marketing efforts aimed at local government officials, housing developers, financial institutions, and the general public, which will include discussion of barriers to affordable housing.
- The Lake County Board has also allocated \$300,000 or more annually (for eleven consecutive years) for affordable housing activities through the Lake County Affordable Housing Program (LCAHP).

Lead-based Paint Hazard Mitigation Strategy

Lake County and the Cities of North Chicago and Waukegan will carry out the following strategy aimed at reducing lead-based paint hazards:

1. Continue to implement the HUD regulations on lead-based paint hazards that became effective for the Consortium on January 10, 2002. The following steps will be taken in connection with the implementation of these regulations, which will apply to all CDBG and HOME-funded housing rehabilitation and homebuyer programs involving housing constructed prior to 1978:
 - A. Housing Rehabilitation Programs
 - Find qualified contractors.
 - Provide additional financial assistance for lead-based paint treatment in addition to that normally permitted for rehab loans.
 - Paint testing and risk assessment by certified inspectors.
 - Lead hazard reduction carried out by certified contractors.
 - Safe work practices, including occupant protection (possibly temporary relocation), worksite preparation and cleanup.
 - Clearance testing.
 - B. First-Time Homebuyers Program
 - Utilize only trained inspectors to do visual assessments, or have program Rehab Specialist do assessments.
 - Provide list of certified contractors to sellers/buyers where paint stabilization is required.

- Paint stabilization by certified contractor before unit is occupied. Additional safeguards to reduce the risk of lead dust.
 - Safe work practices (same as those listed above).
 - Clearance testing.
2. Provide all applicants for housing rehab loans and first-time homebuyer assistance with information about the dangers of lead poisoning.
 3. Work with the County Health Department to develop a system to ensure that, in cases where the existence of defective paint surfaces in homes with children under 7 years of age have been discovered, lead screening of such children is performed and environmental inspections are done where appropriate.
 4. Utilize the resources of the County Health Department for the following services:
 - Lead screening for children. State law now requires children between 6 months and 6 years of age in high-risk areas to have a blood test for lead poisoning before entering a childcare facility or school. (The only high-risk area identified in Lake County is Zip Code 60040 in Highwood).
 - Environmental inspections of the homes of children with elevated blood level of 20 mcg/dl or higher.
 - Information and consultations for families of other children with elevated blood lead levels, in accordance with State law.
 - Educational programs.
 5. In cases where lead-based paint hazards are discovered as a result of Health Department inspections, the County and Cities will, depending on the availability of funds, offer to use CDBG or HOME rehab funds for abatement in those cases where families meet the HUD income qualifications.

Annual Goal: The number of units that are expected to be evaluated and possibly receive mitigation measures for the reduction of lead-based paint hazards is 20.

Fostering Decent Housing

Lake County and the Cities of North Chicago and Waukegan, through their CDBG and HOME funded programs, fund numerous housing activities that are designed to meet the housing goals of the *2010 – 2014 Housing and Community Development Consolidated Plan*. These housing programs are specifically designed to foster decent, safe, and sanitary housing for Lake County residents. Consortium funded housing activities for each of these three entitlement communities are described in Section 1.0 of this Plan.

2.0 Consortium Homeless Activities

Area Policy Statement

To combat homelessness in Lake County, Continuum of Care stakeholders are committed to providing a coordinated, efficient and effective Continuum of Care that:

- prevents first-time and ongoing cycles of homelessness (including multigenerational homelessness),
- promotes and supports the attainment of self-sufficiency and community reintegration to the greatest extent possible for those who are at risk of, experiencing or recovering from homelessness, and
- assesses the effectiveness of the system in achieving its goals.

2.1 Emergency Shelter

Catholic Charities - Samaritan House Emergency Shelter

- 2010 ESG: \$25,000; Total Program Cost: \$330,721
- Matching Sources include: Contributions, Foundation & Corporate Grants, Other Governmental Fees and Grants, and the United Way.
- Provides 90 days of emergency shelter through 28 beds for 135 homeless women with children. Services include, but are not limited to nights of shelter, housing counseling, employment counseling, case management, life skills, individual counseling, parenting groups, and budget management. Intensive case management, with a focus on securing affordable permanent housing is provided to assist the family in breaking the cycle of homelessness.
- ESG funds are designated for Operational costs.
- Proposed Program Outcomes:
 - 70% of clients will secure permanent or transitional housing.
 - 50% of adult residents will obtain and/or maintain a stable source of income.
 - 75% of women will demonstrate an awareness of alternative parenting by improving their score on the parenting rating scale assessment.
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 671 S. Lewis, Waukegan, IL 60085
- Site Location: 600 10th Street, North Chicago, IL 60064

Lake County Haven - Emergency Shelter

- 2010 ESG: \$16,330; Total Program Cost: \$212,926
- Matching Sources include: Contributions, Special Events, Foundation and Corporate Grants, Other Governmental Grants, Program Service Fees, and the United Way.
- Provides 3-5 months of emergency shelter for 50 homeless women and children via a 4-bedroom home located in Libertyville. The program offers shelter, food, clothing, transportation, job and life-skills training, childcare and other assistance necessary for daily life, along with comprehensive case management which includes clinical services and individualized service plans.
- ESG funds are designated for Operational costs.
- Proposed Program Outcomes:
 - 75% of participants will increase their income.

- 90% of women who enter the program will be better prepared for independent living via the acquisition of one or more job or life skills.
 - 60% of residents will be living in a safe, stable and independent living situation 6 months after leaving the program.
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on the presumed benefit category for homeless.
- Agency Location: Libertyville, IL 60048
- Site Location: Libertyville, IL 60048

Most Blessed Trinity - Phoenix Program

- 2010 ESG: \$16,330; Total Program Cost: \$220,611
- Matching Sources include: Contributions, Foundation and Corporate Grants, Program Service Fees, and the United Way.
- Provides emergency shelter and supportive services to women and children affected by domestic violence. It adds capacity to a service in great demand, and branches out to multi-lingual and multi-cultural services.
- ESG funds are designated for renovation to the shelter.
- Proposed Program Outcomes:
 - 80% of families fleeing domestic violence will avoid future homelessness
 - 80% of women will receive services necessary to break the cycle of violence.
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on the presumed benefit category for homeless.
- Agency Location: 450 Keller Avenue, Waukegan, IL 60085
- Site Location: Address suppressed

PADS Crisis Services - PADS Emergency Shelter

- 2010 ESG: \$25,000; Total Program Cost: \$851,234
- Matching Sources include: Contributions, Special Events, Foundation and Corporate Grants, Other Governmental Fees and Grants, and the United Way.
- Provides emergency shelter and supportive services to 970 homeless individuals, including families with children, living in Lake County. This is done through a fixed-site, year-round emergency shelter, a daytime drop-in facility, and 14 rotating site shelters located in area churches from October 1 through April 30. Supportive services to be provided include assessment of need, development of individual service plans, life skills training, food and hygiene supplies, and case management as well as shower, mail, and laundry facilities.
- ESG funds are designated for Essential Services (transportation costs).
- Proposed Program Outcomes:
 - 100% of clients served by the program will receive transportation to shelter sites.
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 3001 Green Bay Rd, Building #5, North Chicago, IL 60064.
- Site Location: Scattered Site

Subrecipient/Project Sponsor	Total Project Cost	2010 ESG
Catholic Charities	\$330,721	\$25,000
Lake County Haven	\$212,926	\$16,330
Most Blessed Trinity	\$220,611	\$16,330
PADS Crisis Services	\$851,234	\$25,000
TOTAL	\$1,615,492	\$82,660

2.2 Permanent Supportive Housing

2010 CDBG/ESG/HOME funds were not used for Permanent Supportive Housing. However, a number of projects were funded through the Continuum of Care. More information is available in the 2009 Continuum of Care application and their respective Annual Progress Reports.

2.3 Rapid Re-Housing

2010 CDBG/ESG/HOME funds were not used for Rapid Re-Housing. However, a project was funded using the Homelessness Prevention & Rapid Re-Housing Program (HPRP) as part of the American Recovery & Reinvestment Act (ARRA). More information is available in the HPRP application and Quarterly Performance Reports.

2.4 Transitional Housing

2010 CDBG/ESG/HOME funds were not used for Transitional Housing. However, a number of projects were funded through the Continuum of Care. More information is available in the 2009 Continuum of Care application and their respective Annual Progress Reports.

2.5 Homelessness Prevention & Outreach

Prairie State Legal Services - Access to Housing Project (Homeless Prevention Program)

- 2010 ESG: \$25,000; Total Program Cost: \$107,530
- Matching Sources include: Foundation & Corporate Grants, Other Governmental Fees & Grants, and the United Way.
- Provides legal advice and representation to 140 low/moderate income residents of Lake County who are homeless, who were homeless and may return to the streets if legal services are not provided, and low-income residents at risk of homelessness due to the denial of permanent affordable housing. Services will include representing persons illegally denied housing in violation of Fair Housing Laws, persons wrongly denied permanent housing or housing subsidies, and persons denied permanent housing for reasons which can be overcome with legal assistance.
- ESG funds are designated for Homeless Prevention Activities.
- Proposed Program Outcomes:
 - The program will achieve a significant legal objective for 15 homeless Lake County individuals or families, which will generally be obtaining the means to secure permanent housing.
 - The program will achieve a significant legal objective for 12 formerly homeless families or individuals in matters which impact their ability to sustain their housing, and objectives will address an issue which threatens to cause the family to lose permanent housing.
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 325 W. Washington #100, Waukegan, Illinois 60085
- Site Location: 325 W. Washington #100, Waukegan, Illinois 60085

Subrecipient/Project Sponsor	Total Project Cost	2010 ESG
Prairie State Legal Services	\$107,530	\$25,000
TOTAL	\$107,530	\$25,000

2.6 Supportive Services for the Homeless

2010 CDBG/ESG/HOME funds were not used for Supportive Services for the Homeless. However, a number of projects were funded through the Continuum of Care. More information is available in the 2009 Continuum of Care application and their respective Annual Progress Reports.

3.0 Lake County Community Development Activities

3.1 Public Infrastructure

3.1.1 Roadway Improvements

Antioch

- 2010 CDBG: \$90,698; Total Project Cost: \$461,983
- Rehabilitate and resurface approximately 5,073 LF of roadway on Johelia Drive, Joren Trail, Donin Drive, Donin Court.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT 8608.06/BG 1; LMI 40%

Beach Park

- 2010 CDBG: \$80,820; Total Project Cost: \$235,200
- Rehabilitate and resurface approximately 4,155 LF of roadway on Chaplin Avenue, Holdridge Avenue, and Geraghty Avenue.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT 8606.00/BG 2; LMI 39.1%

Fox Lake

- 2010 CDBG: \$90,698; Total Project Cost: \$187,535
- Rehabilitate and resurface approximately 3,245 LF of roadway on Hillside Court, Cathryn Street, Scenic Road and Scenic Lane, Marvin Street and Cross Street.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT 8609.03/BG 2; LMI 56.7%

Park City

- 2010 CDBG: \$100,576; Total Project Cost: \$250,000
- Reconstruction of 750 LF of 7th Street from Chestnut to Knight Avenue. The curb, gutter, and storm sewer structures will be replaced and a sidewalk added to the south side of the street.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT8626.05/BG 3; LMI 85.3%

Round Lake Beach

- 2010 CDBG: \$80,820; Total Project Cost: \$341,500
- Rehabilitate and resurface approximately 3,400 LF of roadway including curb repair and sidewalk installation on Meadowhill Lane, Westview Lane, Normandie Lane, Countryside Lane in the Countryside Hills subdivision.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT8612.01 /BG 1 ; LMI 40.5%

Wauconda

- 2010 CDBG: \$80,820; Total Project Cost: \$257,745
- Rehabilitate and resurface approximately 2,800 LF of roadway including spot curb upgrades and drainage improvements on Ridge Avenue and Kimball Avenue.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT 8642.06/BG 1; LMI 49%

Zion

- 2010 CDBG: \$100,576; Total Project Cost: \$248,318
- Rehabilitate and resurface approximately 2,900 LF of roadway on Gilboa Avenue, Gideon Avenue, and Gilead Avenue.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT 8605.00 /BG4; LMI 61%

Subrecipient	Total Project Cost	CDBG
Antioch	\$461,983	\$90,698
Beach Park	\$235,200	\$80,820
Fox Lake	\$187,535	\$90,698
Park City	\$250,000	\$100,576
Round Lake Beach	\$341,500	\$80,820
Wauconda	\$257,745	\$80,820
Zion	\$248,318	\$100,576
TOTAL	\$1,982,281	\$625,008

3.1.2 Water Supply System Improvements*Highwood*

- 2010 CDBG: \$119,350; Total Project Cost: \$284,413
- Installation of approximately 950 LF of new 8" water main on Burchell Avenue.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT 8652.00/BG 3; LMI 49.6%

Island Lake

- 2010 CDBG: \$99,975; Total Project Cost: \$238,128
- Installation of approximately 1,340 LF of 12" water main on Burnett including 3 fire hydrants and 2 water main valves.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT 8642.02/BG 2; LMI 46% (survey)

Lake County Public Works

- 2010 CDBG: \$80,820; Total Project Cost: \$1,812,000
- Installation of approximately 9,000 LF of 8" water main with appurtenant valves and hydrants on Mill Road.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT8616.08 /BG 2; LMI 37.3%

Subrecipient	Total Project Cost	CDBG
Highwood	\$284,413	\$119,350
Island Lake	\$238,128	\$99,975
Lake County Public Works	\$1,812,000	\$80,820
TOTAL	\$2,334,541	\$300,145

3.1.3 Sanitary Sewer Improvements

Round Lake Park

- 2010 CDBG: \$109,853; Total Project Cost: \$188,000
- Reline approximately 5,792 LF of 8" sanitary sewer main on Fairlawn Drive, Grandview Drive, and Prospect Drive.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT 8614.04/BG 3; LMI 55.5%

Subrecipient	Total Project Cost	CDBG
Round Lake Park	\$188,000	\$109,853
TOTAL	\$188,000	\$109,853

3.1.4 Drainage System Improvements

Antioch Township

- 2010 CDBG: \$80,820; Total Project Cost: \$236,525
- Installation of 3,730 LF of roadway surface and improving storm drainage on Forest Drive, Hickory Lane, Linden Lane, Oak Lane, Park Drive, Beach Street, Lake Street and a connecting alleyway.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT 8608.05/BG 1; LMI 38.3%

Grant Township

- 2010 CDBG: \$63,758; Total Project Cost: \$427,865
- Reconstruction of approximately 1,200 LF of roadway on N. Marine Drive, including new storm sewer structures.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT 8609.04/BG 1; LMI 45%

Mundelein

- 2010 CDBG: \$80,820; Total Project Cost: \$335,500
- Reconstruction of 1,400 LF of roadway and 350 LF of storm sewer, as well as improve stormwater drainage along Shadydell Avenue.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT 8640.02/BG 4; LMI 58%

Round Lake

- 2010 CDBG: \$99,975; Total Project Cost: \$703,430
- Reconstruction of approximately 750 LF of roadway on Maple Lane including water main, curb and gutter, and storm sewer.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT8614.03/BG 2; LMI 39.2%

Round Lake Heights

- 2010 CDBG: \$99,975; Total Project Cost: \$191,990
- Installation of an emergency pump station on Arrowhead Pond at Rollins Road and the installation of an 8" force main that will discharge the storm water downstream.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT8613.01/BG 1; LMI 44.2%

Subrecipient	Total Project Cost	CDBG
Antioch Township	\$236,525	\$80,820
Grant Township	\$427,865	\$63,758
Mundelein	\$335,500	\$80,820
Round Lake	\$703,430	\$99,975
Round Lake Heights	\$191,990	\$99,975
TOTAL	\$1,895,310	\$425,348

3.x.x Goal To Be Determined

Lake County Administrator

- 2010 CDBG: \$44,900 ; Total Project Cost: TBD
- Urgent Need Grant Program: provision of (1) safe drinking water (2) stormwater drainage systems; (3) services or systems to dispose of wastewater in emergency situations in Lake County.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- CT/BG/LM%: TBD

Subrecipient	Total Project Cost	CDBG
Lake County Administrator	TBD	\$44,900
TOTAL	TBD	\$44,900

3.2 Physiological Needs

3.2.1 Basic Food and Nutrition Needs

COOL - COOL Food Pantry

- 2010 Lake County CDBG: \$45,000; Total Program Cost: \$240,500
- Supplies food for 12 balanced meals (4 days) to 19,200 unduplicated clients, as well as food for specialty diets (cardiac and diabetic patients) to promote health and reduce medical bills. Also supplied are baby items, ethnic foods, and foods for those with allergies.
- CDBG funds are designated for salary and payroll taxes for the Pantry Coordinator, and for occupancy costs
- Proposed Program Outcomes:
 - 4,500 households will report having their food needs met through the food pantry increasing the accessibility of a suitable living environment
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency Location: 127 W. Water Street, Waukegan, IL 60085
- Site Location: 123 W. Water Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	2010 CDBG
COOL	\$240,500	\$50,000
TOTAL	\$240,500	\$50,000

3.2.2 Basic Health Needs

2010 CDBG/ESG/HOME funds were not allocated for Basic Health Needs.

3.3 Safety & Security Needs

3.3.1 Security of Employment

Lake County Center for Independent Living - Employment Readiness

- 2010 Lake County CDBG: \$30,000; Total Program Cost: \$105,000
- Provision of employment readiness skills to 80 persons with disabilities, including: basic/advanced computer skills, basic business etiquette, interviewing and preparation techniques, and provision of assistive/adaptive technology that will enable participants to compete in the job market.
- CDBG funds are designated for staff salaries and occupancy expenses.
- Proposed Program Outcomes:
 - 75% of participants demonstrate competency in the use of office equipment
 - 75% will learn the job search process including completing resumes, applications, and interview skills
 - 65% will learn employment rights for people with disabilities and appropriate work skills/habits
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on presumed benefit criteria for severely disabled clientele.
- Agency & Site Location: 377 Seymour Avenue, Mundelein, IL 60060

Subrecipient/Project Sponsor	Total Project Cost	2010 CDBG
Lake Co. Ctr. for Independent Living	\$105,000	\$30,000
TOTAL	\$105,000	\$30,000

3.3.2 Access To Services

ElderCARE@ChristChurch

- 2010 Lake County CDBG: \$25,000; Total Program Cost: \$110,150
- Provision of escorted transportation to medical appointments, grocery shopping, and social visits for 130 homebound persons 65 years of age and older. Volunteers provide these services.
- CDBG funds are designated for salaries, phone, and insurance
- Proposed Program Outcomes:
 - 80% of those served by program will report that receiving the services contributed to their being able to live independently
 - 80% will report that receiving the services helped them feel more connected to their healthcare providers
 - 80% will report that receiving the services was their only reasonable means of accessing healthcare.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on presumed benefit criteria for elderly persons.
- Agency Location: 410 Grand Avenue, Waukegan, IL 60085
- Site Location: Scattered site

Subrecipient/Project Sponsor	Total Project Cost	2010 CDBG
ElderCARE@ChristChurch	\$110,150	\$25,000
TOTAL	\$110,150	\$25,000

3.3.3 Security of Family & Social Stability

CASA Lake County - Program Expansion

- 2010 Lake County CDBG: \$30,000; Total Program Cost: \$721,000
- Program recruits, trains, and supervises volunteers to advocate for 540 of the county's abused and neglected children in juvenile court. Judges appoint CASA volunteers to research best possible outcomes for the future of these children. An "amicus curiae" (friend of the court) program, CASA is a local affiliate of a national program.
- CDBG funds are designated for staff salaries.
- Proposed Program Outcomes:
 - 95% of the children served by CASA will not experience abuse or neglect this year
 - 20% of the children served will achieve permanency this year.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on presumed benefit criteria for abused children.
- Agency Location: 1020 North Milwaukee Avenue, Deerfield, IL 60015
- Site Location: 1020 North Milwaukee Avenue, Deerfield, IL 60015

ChildServ - Family Child Care Homes (FCCH)

- 2010 Lake County CDBG: \$35,000; Total Program Cost: \$741,527
- Program provides a support network of affordable, high-quality child care homes to 250 low-income Lake County families. Program works with families to find child care (often by assisting non-English speaking families), and then monitors those programs to ensure top-quality services.
- CDBG funds are designated for staff salaries.
- Proposed Program Outcomes:
 - 90% of parents retain same day care home provider
 - Parents use more than 90% of programs days of care
 - 80% of children meet developmental milestones when screened.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency Location: 8765 W Higgins, Suite 450, Chicago, IL 60631
- Site Location: Scattered site

Lake County Sheriff's Office - Inmate Reentry Services

- 2010 Lake County CDBG: \$25,000; Total Program Cost: \$268,542
- Program provides individual inmate assessment, case management and referral to a wide variety of social and community services, so that 1200 ex-offenders can successfully reintegrate into society. At the time of discharge, they are linked to agencies and services for housing, jobs, behavioral health and skills training services in the community.
- CDBG funds are designated for salaries for a part-time reentry specialist positions.
- Proposed Program Outcomes:
 - 75% of 1200 inmates with identified reentry needs will report they feel better prepared for reintegration to the community.
 - 75% of 1200 inmates with identified reentry needs will report they have developed reentry goals and action plans.

- 80% of 360 inmates with identified reentry needs will report that the programs have resulted in their improvement in their ability to adjust to successful community living after release from custody.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on the Low/Mod Income clientele criteria.
- Agency Location: 20 S. County Street, Waukegan, IL 60085
- Site Location: 20 S. County Street, Waukegan, IL 60085

Lake County State's Attorney's Office - Gang Prevention & Enforcement

- 2010 Lake County CDBG: \$39,331; Total Program Cost: \$81,941
- Program enhances existing Gang Prevention program by providing gang awareness information to areas of Lake County where gang activity has been documented as part of a previous intelligence gathering component of program. Goal is to empower communities to recognize gang activity and build relationships of trust with law enforcement. Program aims to serve 1000 clients in PY2010.
- CDBG funds are designated for staff salaries
- Proposed Program Outcomes:
 - 90% of all parent participants to report increased awareness about gang activity, gang structure, local gang crimes, gangs' relationship to the drug and violence problem, and effects of a gang on families and communities
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on the Low/Mod Income clientele criteria.
- Agency Location: 18 N. County Street, Waukegan, IL 60085
- Site Location: 18 N. County Street, Waukegan, IL 60085

Tri-Con Child Care Center - Child Day Care

- 2010 Lake County CDBG: \$35,000; Total Program Cost: \$389,332
- Program provides child care to children, ages 3-7, who are considered to be "at risk" due to the lack of opportunities that foster learning. Child care is affordable to families of limited financial resources. Program anticipates serving 60 people in PY2010.
- CDBG funds are designated for staff salaries
- Proposed Program Outcomes:
 - 80% of children will demonstrate gains in age appropriate school readiness skills within 9 months
 - 80% of parents will experience job stability during the fiscal year
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on the Low/Mod Income clientele criteria.
- Agency Location: 425 Laurel Avenue, Highland Park, IL 60035
- Site Location: 425 Laurel Avenue, Highland Park, IL 60035

Subrecipient/Project Sponsor	Total Project Cost	2010 CDBG
CASA Lake County	\$721,000	\$30,000
ChildServ	\$741,527	\$35,000
Lake County Sheriff's Office	\$268,542	\$25,000
Lake County State's Attorney's Office	\$81,941	\$39,331
Tri-Con Child Care Center	\$389,332	\$35,000
TOTAL	\$2,202,342	\$164,331

3.3.4 Behavioral Health

CREW, Inc. - Substance Abuse Counseling

- 2010 Lake County CDBG: \$45,000; Total Program Cost: \$287,893
- Provides Level I & Level II outpatient substance abuse treatment for 500 adults and adolescents. Education for alcohol and/or other substance abuse issues is also provided.
- CDBG funds are designated for staff salaries.
- Proposed Program Outcomes:
 - 65% of clients who attend a minimum of a 10 hour program will be able to demonstrate knowledge of the effects of alcohol and other drugs on the mind/body
 - 50% of those clients that are contacted who completed 30 or more hours of substance abuse treatment will remain abstinent for 12 months after discharge
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency & Site Location: 1632 23rd Street, Zion, IL 60099

Family Service: Prevention, Education & Counseling - Individual and Family Mental Health Services

- 2010 Lake County CDBG: \$25,000; Total Program Cost: \$695,475
- Program targets behavioral health needs of children, youth, adults and seniors experiencing social, emotional, and/or psychological issues. Services provided include: family life education; referral and linkage; client advocacy; case management; and counseling services. Program aims to serve 1000 clients.
- CDBG funds are designated for staff salaries.
- Proposed Program Outcomes:
 - 85% of clients will rate their overall service as "excellent" or "good" on a satisfaction survey (at least 10% of clients served will return survey)
 - 80% of clients receiving service for three or more months will report improvement in their self-identified counseling objectives - no more than 15% of client objectives will remain unchanged and 5% of client objectives will be rated lower than at the start
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's *2010 - 2014 Housing and Community Development Consolidated Plan*.
- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency Location: 777 Central Avenue, Highland Park, IL 60035
- Site Location: Various locations throughout Lake County

Subrecipient/Project Sponsor	Total Project Cost	CDBG
CREW, Inc.	\$287,893	\$50,000
Family Service: PE&C	\$695,475	\$25,000
TOTAL	\$983,368	\$75,000

3.4 Administration and Planning

3.4.1 Program Administration and Planning

Lake County Department of Planning, Building, and Development - CDBG Program Administration

- 2010 Lake County CDBG – Administration Funds: \$437,608
- These funds will be used primarily for the general management, oversight and coordination of the Community Development Block Grant Program.
- A portion of these funds will also be used for planning costs in connection with the development and certification of the *Annual Action Plan*, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective CDBG Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.
- These funds will also be used to distribute and provide program advocacy regarding the *2010 – 2014 Housing and Community Development Consolidated Plan* and its respective program needs statements, goals, and strategies.

Lake County Department of Planning, Building, and Development - HOME Program Administration

- 2010 Lake County Consortium HOME – Administration Funds: \$168,557
- These funds will be used primarily for the general management, oversight and coordination of the HOME Program.
- A portion of these funds will also be used for planning costs in connection with the development and certification of the *Annual Action Plan*, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective HOME Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.
- These funds will also be used to distribute and provide program advocacy regarding the *2010 – 2014 Housing and Community Development Consolidated Plan* and its respective program needs statements, goals, and strategies.

Lake County Department of Planning, Building, and Development - ESG Program Administration

- 2010 Lake County ESG – Administration Funds: \$5,666
- These funds will be used primarily for the general management, oversight and coordination of the Emergency Shelter Grant Program.
- A portion of these funds will also be used for planning costs in connection with the development and certification of the *Annual Action Plan*, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective ESG Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.

- These funds will also be used to distribute and provide program advocacy regarding the *2010 - 2014 Housing and Community Development Consolidated Plan* and its respective program needs statements, goals, and strategies.

Affordable Housing Corporation of Lake County

- 2010 Lake County Consortium HOME – Administration Funds: \$8,204
- These funds will be used to conduct HUD-required compliance inspections of existing HOME-funded rental housing projects throughout Lake County, including support of a portion of the Rehabilitation Specialist staff salary, related travel expenses, and related overhead expenses.

3.4.2 Education, Advocacy and Outreach

Affordable Housing Corporation of Lake County

- 2010 Lake County CDBG – Administration Funds: \$60,000
- These funds will be used to provide administrative support to the Lake County Affordable Housing Commission (AHC), whose role is to promote the development of affordable housing opportunities in Lake County, and to provide information and technical assistance to parties interested in the development of affordable housing. Two major projects of the AHC are the Lake County Housing Action Coalition (LCHAC) and the Preservation Initiative.

North Chicago Community Development Activities

4.1 Public Facilities

4.1.1 Recreation Facilities

- 2010 funds were not allocated for Recreation Facilities.

4.1.2 Senior Centers

- 2010 funds were not allocated for Senior Centers.

4.1.3 Community Safety Equipment

- 2010 funds were not allocated for Community Safety Equipment.

4.2 Infrastructure Improvement

4.2.1 Public Facilities Improvements

Project 10-3.1: Street & Alley Improvement Program

- 2010 North Chicago CDBG: \$62,000; Total Program Cost: \$735,000
- Leverage: State Motor Fuel Tax Funds
- Administered by the City Engineer and the Department of Community Development and Planning, this program will pay for the direct cost of engineering, removal, and resurfacing of streets and alleys in areas designated as primarily low- to moderate-income. It will include the following streets: Park Avenue and Foss Park Avenue
- Proposed Project Outcomes:
 - The reconstruction and resurfacing of streets and alleys located in low- to moderate-income areas throughout the community.
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 1850 Lewis Avenue, North Chicago, Illinois 60064
- Site Location: Citywide.

Project 10-3.2: Sidewalk Program

- 2010 North Chicago CDBG: \$10,000; Total Program Cost: \$20,000
- Administered by the Street Department and the Department of Community Development and Planning, this program will pay for the direct cost of engineering, removal, and construction of sidewalks in areas designated as primarily low- to moderate-income. Moreover, this program will address trip hazard removal, giving preferential consideration to areas of the city with higher population density or pedestrian traffic counts.
- Proposed Project Outcomes:
 - Construction or repair of sidewalks in low- to moderate-income areas.
 - Enhance both the walk-ability and aesthetic character of these areas.
 - Bring nonconforming sidewalks into ADA compliance.
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 1850 Lewis Avenue, North Chicago, Illinois 60064
- Site Location: Citywide

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Community Development & Planning	\$735,000	\$60,000
Community Development & Planning	\$20,000	\$10,000
TOTAL	\$485,000	\$60,000

4.2.2 Handicap Accessibility Improvements

- 2010 funds were not allocated for Handicap Accessibility Improvements.

4.3 Public Services

4.3.1 Health Services

- 2010 funds were not allocated for Health Services.

4.3.2 Substance Abuse Services

Project 10.453: NICASA

- 2010 North Chicago CDBG: \$5,000; Total Program Cost: \$705,510
- Leverage: Contributions, Special Events, Other Governmental Fees & Grants, United Way of Lake County
- NICASA Women's Services-East Program targets women with serious substance abuse issues. In addition to substance abuse treatment and counseling, it provides them with comprehensive supportive services at no charge so they can get all aspects of their lives on the right track. These supportive services include childcare, parent education, transportation, court advocacy, health care and mental health referrals, meals, job and computer skills training and housing assistance. Intensive case management and individualized treatment plans help staff provide each woman with the treatment and resources she needs.
- Proposed Program Outcomes:
 - 80% (131 of 175) of women who enter Women's Services and receive treatment will abstain from substance use while in treatment.
 - At the time of successful completion of the program, 75% (45 of 61) of the women who received treatment services will be employed or enrolled in an education or job training program.
- The project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Agency Location : 2031 Dugdale Road, North Chicago, Illinois 60064

Subrecipient/Project Sponsor	Total Project Cost	CDBG
NICASA	705,510	\$5,000
TOTAL	\$705,510	\$5,000

4.3.3 Youth Services

Project 10-4.28: YouthBuild Lake County

- 2010 North Chicago CDBG: \$5,000; Total Program Cost: \$1,069,977
- Leverage: Contributions, Foundation & Corporate Grants, Other Governmental Fees & Grants, Miscellaneous
- YouthBuild Lake County provides basic education and employment skills by helping unemployed and underemployed young people learn marketable construction skills (including green construction) to help them secure employment. On average, 55% of our trainees are ex-offenders

and many, at some point in their lives, have been involved in gangs. YBLC provides viable options to gang violence by presenting a bridge to employment. The program will provide basic employment skills every day on the construction site and in the classroom.

- Proposed Program Outcomes:
 - 80% of trainees will graduate from the YouthBuild program with the following skills: Model Office Certificate, Home Builder's Institute Certificate or Job Readiness Completion Certificate, thereby increasing their chances of securing employment.
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 3001 N. Green Bay Road, Building #1, 3rd Floor, North Chicago, IL 60064

Project 10.4.42 YWCA of Lake County

- 2010 North Chicago CDBG: \$5,000 Total Program Cost: \$295,749
- Leverage: Program Service fees.
- The YWCA School Age program provides full-day special programming to over 125 North Chicago low- and moderate-income children during summer and seasonal breaks from school. The program is designed to provide at-risk and underserved children in kindergarten through eighth grade the opportunity for positive academic, recreational, cultural, and social experiences.
- Proposed Program Outcomes.
 - 65% of program participants will show improved academic performance in subjects that require reading comprehension and writing performance.
 - 60% of program participants will gain understanding of the cultural arts (drama, music, art, and dance).
 - 60% of the program participants will show improved academic performance in math (addition, subtraction, multiplication and division).
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*
- Agency Location: 2133 Belvidere Road, Waukegan, IL 60085
- Project Location: 1500 Kemble Avenue, North Chicago, IL 60064

Subrecipient/Project Sponsor	Total Project Cost	CDBG
YouthBuild Lake County	\$1,069,977	\$5,000
YWCA Lake County	\$295,749	\$5,000
TOTAL	\$1,365,726	\$10,000

4.3.4 Parental Supportive Services

Project 10-4.12: Catholic Charities Family Self-Sufficiency Program

- 2010 North Chicago CDBG: \$5,000; Total Program Cost: \$323,052
- Leverage: Contributions, Special Events, Foundations & Corporate Grants, Other Governmental Fees & Grants, United Way of Lake County
- This program will be administered by Catholic Charities. The program provides comprehensive case management and employment services to low-income single-parent families in North Chicago. Parents gain training, support and resources to provide the basic needs for their children including food, income and safe housing that break the cycle of poverty and give their children opportunities to excel in school and for the families to become assets to their community.
- Proposed Project Outcomes:

- 70% of clients successfully completing the program will be independent from public cash assistance for 12 months prior to outtake.
- 50% of clients will increase their marketable skills through education/job training and/or experience.
- 70% of clients will retain employment for six months or longer.
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 671 South Lewis Avenue, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Catholic Charities	\$323,052	\$5,000
TOTAL	\$323,052	\$5,000

4.3.5 Early Childhood Intervention

- 2010 funds were not allocated for Early Childhood Intervention.

4.3.6 Senior Services

Project 10-4.15: Waukegan Township - Home Sweet Home

- 2010 North Chicago CDBG: \$5,000; Total Program Cost: \$222,750
- Leverage: Contributions, Other Governmental Fees & Grants
- The Home Sweet Home is a “no-cost” lawn maintenance and snow removal program designed to assist older adults in remaining self-sufficient. Home Sweet Home provides year-round yard maintenance service to Waukegan Township residents, age 55 or older. Lawns are maintained in the summer, leaves are raked and removed in the fall, and snow is shoveled in the winter. Waukegan Township does not charge a fee for this service. This activity will be located in Waukegan Township of the City of North Chicago.
- Proposed Project Outcomes:
 - 95% of the seniors served will remain in their own homes.
- This project funds a **Medium Priority** need for North Chicago as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Location: 149 South Genesee Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Waukegan Township	\$222,750	\$5,000
TOTAL	\$222,750	\$5,000

4.3.7 Basic Needs Assistance

Project 10-4.13 I-PLUS CO-RP Program

- 2010 North Chicago CDBG: \$5,000 Total Program Cost: \$225,642
- Leverage: Contributions, Foundation & Corporate Grants, Other Governmental Fees & Grants and Program Service fees
- I-PLUS is a non-profit agency who works with chronically homeless disabled individuals who are suffering from mental illness and/or drug/alcohol additions. I-PLUS receives the clients disability checks from the VA and Social Security Administration and gets them off the streets, out of the shelters and into housing and treatment by micromanaging their money along with

strict requirements for participation in treatment plans that make a sustainable recovery and permanent housing possible.

- Proposed Project Outcomes
 - 124 or 100% clients will be placed in housing within 60 days of the I-PLUS receiving their income.
 - 105 or 85% of the projected 124 clients will be treatment compliant within six months.
 - To increase our service capacity to include an additional 24 clients. If we are able to take 24 new clients off our waiting list, these clients will also be housed within 60 days of becoming an I-PLUS client and will be treatment compliant within six months.
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 3001 Green Bay Road, Building 9, Room 100, North Chicago, IL 60064

Project 10-4.19 Northern Illinois Food Pantry

- 2010 North Chicago CDBG: \$5,000 Total Program Cost: \$6,064,678
- Leverage: Contributions, Special Events, Foundation & Corporate Grants and Investment revenue
- The Northern Illinois Food Bank supports our Lake County Branch located at 473 Keller Drive, Park City, Illinois, which services seven locations in the City of North Chicago. The Northern Illinois Food Bank is requesting funding to support an open after-school meal program that would be available to North Chicago High School students participating in sports and other extra-curricular activities.
- Proposed Project Outcomes
 - 400 students attending sports or extra-curricular activities at North Chicago High School will improve their performance in these activities by receiving a nutritional supper after school.
 - 400 students taking part in sports or extra-curricular activities at North Chicago Community High School will improve their intake of nutritious food and lessen their risk of obesity and other diet-related diseases.
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 473 Keller Drive, Park City, Illinois 60085

Project 10-4.20 Christian Outreach of Lutherans

- 2010 North Chicago CDBG: \$5,000 Total Program Cost: \$240,500
- Leverage: Contributions, Special Events, Foundation & Corporate Grants and Investment revenue
- The purpose of COOL is to give comprehensive assistance to families in need, by reducing hunger. The COOL Food Pantries East and West are emergency food sources for Lake County residents in a crisis situation. The COOL Pantries offer foods in nutritionally balanced meals that also address the needs of those with cardiac or diabetic conditions. Food for homeless guests are chosen to be prepared with cooking. About half the food distributed goes to children under the age of eighteen. COOL served over 28,400 individuals with food enough for four days in 2009.
- Proposed Project Outcomes
 - 4500 households will report having their food needs met through the food pantry, increasing the accessibilities and availability of a suitable living environment.
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.

- Agency Location: 127 West Street, Waukegan, IL 60085

Project 10-4.63 Daisy's Resource Development Center

- 2010 North Chicago CDBG: \$5,000 Total Program Cost: \$430,774
- Leverage: Contributions.
- The purpose of Daisy's Resource and Development Center is to offer an integrated array of services for your adult females through its North Chicago Transitional Living Program. DRDC's objective is to provide specialized services, including residential housing, educational and vocational training, and other wrap-a-round specialized services needed to move this population towards becoming self-sufficient and financially independent.
- Proposed Project Outcomes
 - 7 of 10 will obtain appropriate housing. Client will no longer be homeless, but socially stable.
 - 7 of 10 clients will receive educational (Diploma attainment or High School Equivalency) certification. Participant will become more marketable and increase her potential to earn a living wage.
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 1919 Sherman Avenue, North Chicago, IL 60064

Sub recipient/Project Sponsor	Total Project Cost	CDBG
I-PLUS CO-RP Program	\$225,642	\$5,000
Northern Illinois Food Bank	\$6,064,678	\$5,000
Christian Outreach of Lutherans Bank	\$240,500	\$5,000
Daisy's Resource & Development Center	\$430,774	\$5,000
TOTAL	\$6,961,594	\$20,000

4.3.8 Employment Assistance

Project 10-4.32: Employment Assistance Program

- 2010 North Chicago CDBG: \$32,554; Total Program Cost: \$32,554
- Administered by the Department of Community Development and Planning, this program will provide grants for unemployed residents of the City of North to obtain job training that will assist those residents in becoming gainfully employed.
- Proposed Outcome:
 - 80% of the participants will obtain new skills that will enable them to obtain and/or retain employment that would pay a living wage.
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's *2010-2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Employment Assistance Program	\$32,554	\$32,554
TOTAL	\$32,554	\$32,554

4.4 Economic Development

4.4.1 Real Estate Development

Project 10-2.3: Façade Improvement Program

- 2010 North Chicago CDBG: \$45,000; Total Program Cost: \$45,000
- Leverage: Private Owners Funds
- Administered by the Department of Community Development and Planning, this program provides grants, installment loans and deferred loans to new or existing businesses to improve and rehabilitate deteriorating commercial and industrial building exteriors.
- Proposed Project Outcomes:
 - The completion of 1 façade rehab projects
 - The completion of 1 conceptual drawings for designated façade rehab projects
- This project funds a **Medium Priority** need for North Chicago as referenced in the Lake County Consortium's *2010 - 2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064
- Site Location: Sheridan Road

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Façade Improvement Program	\$45,000	\$45,000
TOTAL	\$45,000	\$45,000

4.4.2 Business Education

- 2010 funds were not allocated for Business Education.

4.5 Planning and Administration

4.5.1 Planning and Administration

Project 10-5.0: General Administration

- 2010 North Chicago CDBG – Administration Funds: \$58,888
- This activity will pay the direct costs related to planning and execution of community development activities in North Chicago including program staff and operating expenses.
- Other expenses under this activity include: publication and legal notice fees, single audit expenses, necessary equipment and supplies, training and educational expenses, and professional services.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064

Project 10-5.1: SER/ Jobs for Progress - Fair Housing Center

- See Goal Section #1.1, beginning on page 33 of this document, regarding North Chicago's CDBG funding for this project ("Fair Housing").

5.0 Waukegan Community Development Activities

5.1 Public Facilities

5.1.1 Downtown Revitalization Area

- 2010 funds were not allocated for Downtown Revitalization – no application received.

5.1.2 Infrastructure Improvements

City of Waukegan Public Works Dept. - Sidewalk Replacement Program

- 2010 Waukegan CDBG: \$75,000; Total Program Cost: \$410,000, city will operate additional sidewalk replacement program throughout the community.
- Leverage sources: City of Waukegan's Public Works budget
- Program Description: Replacement of deteriorated sidewalk squares in low-income residential areas designated by CDBG.
- Use of CDBG Funds: material and labor
- Proposed Program Outcomes:
 - Increased pedestrian safety on public sidewalks
 - In PY2010, the program proposed to serve direct benefit to 500 households, 100% in target area (community as a whole/people generally).
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency Location: 1700 N. McAree Road, Waukegan, IL 60085
- Site Location: Target areas throughout the City of Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
City of Waukegan/Sidewalks	\$410,000	\$75,000
TOTAL	\$410,000	\$75,000

5.1.3 Recreational and Service-Oriented Facilities

- 2010 funds were not allocated for Recreational and Service-Oriented Facilities – no application received.

5.1.4 Accessibility for People with Disabilities

- 2010 funds were not allocated for Accessibility for People with Disabilities – no application received.

5.2 Public Services

5.2.1 Youth Services

A Safe Place - Residential Children's Services

- 2010 Waukegan CDBG: \$5,000; Total Program Cost: \$693,314
- Leverage sources: contributions, special events, foundation and corporate grants, other governmental fees and grants, Lake County ESG and United Way Funding.
- Program Description: Provides comprehensive services for victims of domestic violence with the goal of increasing their immediate and ongoing safety. Counseling and advocacy services for children victimized by domestic violence. Uses various therapeutic techniques to help children deal with what they have been through and help them to find new, healthier ways to live.
- Use of CDBG Funds: salaries, payroll taxes and benefits.
- Proposed Program Outcomes:
 - 70% of clients in the residential children's program will have the knowledge of new steps to take and resources to rely on in the event that they witness a new incident of domestic violence.
 - 85% of school-age children in the residential program will benefit from not having their schooling interrupted any longer than one week when leaving an abusive home.
 - 70% of parents with children in the residential children's program will increase their understanding of the effects that witnessing domestic violence has had on their children.
- This program funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- In PY2010, the program proposes to serve 71 at-risk Waukegan youth.
- Agency and site location suppressed.

CASA of Lake County-Court Appointed Special Advocate Training

- 2010 Waukegan CDBG: \$8,598; Total Program Cost: \$721,000
- Leverage sources: contributions, special events, foundation & corporate grants, Investment Revenue, and United Way.
- Program Description: Recruit, train, and supervise volunteer advocates who will represent abused and neglected Waukegan children in the Lake County judicial system.
- Use of CDBG Funds: salaries, benefits, payroll taxes
- Proposed Program Outcomes:
 - 95% of the children served by CASA Lake County will experience no further abuse and/or neglect this year.
 - 20% of the children served by CASA Lake County will achieve permanency this year.
- In PY2010, the program proposes to serve 131 abused Waukegan youth.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 1020 Milwaukee, Suite. 312, Deerfield, IL 60015
- Site location: various court and residential locations

Nicasa -Youth REACH/Steps AHEAD After School Program

- 2010 Waukegan CDBG: \$5,000; Total Program Cost: \$213,018
- Leverage sources: contributions, special events, other governmental fees & grants.
- Program Description: This is an after-school program serving at-risk students at Miguel Juarez Middle school and the Waukegan High School's Washington Campus which provides tutoring and

enrichment activities, health and drug education, life skills development, recreational activities and a safe, supportive environment with a positive peer group.

- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
 - 85% (43 of 50) of students participating in the program will maintain or improve their grades,
 - 85% (43 of 50) of students participating in the program will maintain or improve their attendance.
- In PY2010, the program proposes to serve 43 at-risk Waukegan youth.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 31979 N. Fish Lake Road, Round Lake, IL 60073
- Site location: Miguel Juarez Middle School & Waukegan High School's Washington Campus

Youth Conservation Corps (YCC) - Youth Build

- 2010 Waukegan CDBG: \$10,000; Total Program Cost: \$695,713
- Leverage sources: contributions, special events, foundation & corporate grants, other governmental fees & grants, miscellaneous and United Way.
- Program Description: Provide low-income, unemployed high school dropouts, ages 16-24 with work experience, education and community-involved development activities. (Clients will earn GED/HSD, while gaining work experience in the fields of construction, disaster preparedness education, environmental conservation, technology, finance/insurance, manufacturing, and transportation/logistics.)
- Use of CDBG Funds: salaries, benefits, payroll taxes
- Proposed Program Outcomes:
 - 70% of clients will obtain employment that promotes economic self-sufficiency .
 - 30% of clients will continue with post-secondary or secondary education.
- The program proposes to serve 29 Waukegan at-risk youth in PY2010.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 221 N. Genesee Street, Waukegan, IL 60085
- Site location: 221 N. Genesee Street, Waukegan, IL 60085

Youth Build Lake County - Youth Build

- 2010 Waukegan CDBG: \$10,000; Total Program Cost: \$1,069,977
- Leverage sources: contributions, special events, foundation & corporate grants, other governmental fees & grants, United funding.
- Program Description: Provides education and job training to low-income clients, ages 17-24. Program has five key components: academic, construction, life skills/leadership, job readiness, and counseling services. YBLC helps client earn GED; learn basic employment and readiness skills.
- Use of CDBG Funds: salaries and payroll taxes
- Proposed Program Outcomes:
 - 80% of trainees will graduate from YouthBuild program with at least one of the following: a) Earn a GED certification; b) increase their skills by at least 2 grade levels; c) earn a Model Office Certificate; or d) earn an HBI certification.
- In PY2010, the program proposes to serve 12 Waukegan at-risk youth.

- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 3001 N Green Bay Road, Bldg 1, 3rd FL, North Chicago, IL 60064
- Site location: 3001 N Green Bay Road, Bldg 1, 3rd FL, North Chicago, IL 60064

YWCA L. C. - Waukegan School Age Programs and KDO Camps

- 2010 Waukegan CDBG: \$5,000; Total Program Cost: \$321,101
- Leverage sources: foundation & corporate grants, other governmental fees & grants, program service fees, and United Way Funding.
- Program Description: Provide children kindergarten through eighth grades with a variety of academic, recreational, cultural, and social experiences. These include summer camp, winter/spring camp, and full days during school year.
- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
 - 70% of program participants will have increased understanding and respect for their own culture identity and for the culture of others.
 - 40% of program participants will improve academic performance as a result of homework assistance and tutoring.
- In PY2010, the program proposes to serve 222 Waukegan youth.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 2133 Belvidere Road, Waukegan, IL 60085
- Site location: 2133 Belvidere Road, Waukegan, IL 60085

Zachariah - Sexual Assault Victim Advocacy (formally LaCASA)

- 2010 Waukegan CDBG: \$5,000; Total Program Cost: \$166,000
- Leverage sources: contributions, special events, foundation and corporate grants, other governmental fees and grants, program service fees, investment revenue, and United Way Funding.
- Program Description: Provides 24-hour a day, 365 days a year, and crisis interventions services to survivors of sexual assault and abuse.
- Use of CDBG Funds: salaries, benefits
- Proposed Program Outcomes:
 - 85% of professionals working with sexual assault/abuse victims, receiving training from the Advocacy team, will report a greater understanding of victim sensitivity and emotional issues to treatment of medical staff in hospital ER settings.
 - 20% of the medical Advocate clients will choose to enter the Children's Counseling Program for sexual assault/abuse treatment.
 - 40% of the victims of sexual assault/abuse, as listed in the Lake County Court's Indictment List and contacted by Legal Advocates, will choose to utilize the LaCasa legal Advocacy services.
- In PY2010, the program proposes to serve approx. (16 Child Survivors) Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 4275 Old Grand Avenue, Gurnee, IL 60031
- Site location: 4275 Old Grand Avenue, Gurnee, IL 60031, various courts, hospitals, and residential locations.

Subrecipient/Project Sponsor	Total Project Cost	CDBG
A Safe Place	\$693,314	\$5,000
CASA of Lake County	\$721,000	\$8,598
Nicasa	\$213,018	\$5,000
Youth Conservation Corps (YCC)	\$695,713	\$10,000
YouthBuild Lake County	\$1,069,977	\$10,000
YWCA	\$321,101	\$5,000
Zacharias Sexual Assault Formerly LaCASA	\$166,000	\$5,000
TOTAL	\$3,880,123	\$48,598

5.2.2 Senior Services

Christ Church-ElderCare

- 2010 Waukegan CDBG: \$5,000; Total Program Cost: \$110,150
- Leverage sources: contributions, special events, foundation & corporate grants, other governmental fees and grants, investment revenue, and United Way Funding.
- Program Description: Provides escorted transportation to medical appointments, friendly visits, and grocery shopping aid to low-income, homebound elders in an effort to help seniors remain self-sufficient.
- Use of CDBG Funds: salaries, payroll taxes
- Proposed Program Outcomes:
 - 80% of clients receiving services, who participate in the annual survey, will report that receiving services from ElderCare contributed to their being able to remain in their own home.
- In PY2010, the program proposes to serve approx. 92 Waukegan senior citizens.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 410 Grand Avenue, Waukegan, IL 60085
- Site location: Various residential addresses of senior citizens throughout the community.

Waukegan Township-Home Sweet Home

- 2010 Waukegan CDBG: \$20,000; Total Program Cost: \$210,750
- Leverage sources: contributions, special events, foundation & corporate grants, other governmental fees and grants, Program fees, investment revenue.
- Program Description: Home Sweet Home provides year-round yard maintenance service to Waukegan Township senior citizens free of charge, in an effort to help seniors remain self-sufficient. Services include lawn maintenance and snow removal as needed.
- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
 - 94% of the seniors served will remain in their own homes, while maintaining self-sufficiency.
- In PY2010, the program proposes to serve approx. 531 Waukegan senior citizens.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 149 S. Genesee Street, Waukegan, IL 60085
- Site location: Various residential addresses of senior citizens throughout the community.

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Christ Church – Elder Care	\$110,150	\$5,000
Waukegan Township – Home Sweet Home	\$210,750	\$20,000
TOTAL	\$320,900	\$25,000

5.2.3 Basic Needs Assistance

Christian Outreach of Lutherans (C.O.O.L.) - Food Pantry

- 2010 Waukegan CDBG: \$6,000; Total Program Cost: \$240,500
- Leverage sources: contributions, special events, foundation and corporate grants, Lake County CDBG, investment revenue, and United Way Funding.
- Program Description: Provides emergency food assistance through food pantry to low-income individuals and families in need of food.
- Use of CDBG Funds: salaries
- Proposed Program Outcome:
 - 4500 households will report having their food needs met through the food pantry, increasing the accessibilities and availability of a suitable living environment.
- In PY2010, the program proposes to serve approximately 8,766 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 127 W. Water Street, Waukegan, IL 60085
- Site location: 127 W. Water Street, Waukegan, IL 60085

Catholic Charities - Food Pantry

- 2010 Waukegan CDBG: \$6,000; Total Program Cost: \$746,118
- Leverage sources: contributions, special events, foundation and corporate grants, and other governmental fees and grants.
- Program Description: Provides emergency food assistance through food pantry to low-income individuals and families in need of food.
- Use of CDBG Funds: salaries & payroll taxes
- Proposed Program Outcome:
 - 90% of households receiving food who return their surveys will report that their immediate hunger needs are met.
- In PY2010, the program proposes to serve approximately 5642 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 671 Lewis Avenue, Waukegan, IL 60085
- Site location: 671 Lewis Avenue, Waukegan, IL 60085

Most Blessed Trinity - Food Pantry

- 2010 Waukegan CDBG: \$6,000; Total Program Cost: \$373,562
- Leverage sources: contributions, Lake County CDBG and United Way Funding.
- Program Description: Provides emergency food assistance through food pantry to low-income individuals and families in need of food.
- Use of CDBG Funds: specific assistance to individuals
- Proposed Program Outcome:

- 100% of clients will obtain, at each pantry visit, sufficient food resources to be able to provide one week of nutritionally balanced meals for each household member.
- 70% of clients will gain resources and tools and acquire knowledge necessary to be self-sufficient.
- In PY2010, the program proposes to serve approximately 26,114 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 450 Keller Avenue, Waukegan, IL 60085
- Site location: 450 Keller Avenue, Waukegan, IL 60085

I-Plus - Representative Payee

- 2010 Waukegan CDBG: \$9,000; Total Project Cost: \$239,336
- Leverage sources: contributions, special events, foundations & corporate grants, North Chicago CDBG, program service fees, other government fees and grants and United Way Funding
- Program Description: Clients of I-Plus receive Social Security and/or Veterans benefits. Program micromanages funds to insure that all clients' basic needs are met, such as housing, food, and utilities.
- Use of CDBG Funds: salaries
- Proposed Program Outcome:
 - 100% clients will be placed in housing within 60 days of I-Plus receiving their income.
 - 80% of clients will be treatment compliant within 6 months.
- In PY2010, the program proposes to serve approx. 56 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*
- Agency location: 3001 Green Bay Road, Bldg. 9 RM 100, North Chicago, IL 60064
- Site location: 3001 Green Bay Road, Bldg. 9 RM 100, North Chicago, IL 60064

PADS Crisis Services - The Center

- 2010 Waukegan CDBG: \$10,000; Total Program Cost: \$340,147
- Leverage sources: contributions, special events, foundation & corporate grants. Program Description: The Center site is a year-round overnight shelter which can sleep 42 people per night and has been full nightly since opening in November 2004. This supportive service program targets and helps homeless individuals and families move towards self-sufficiency by providing case management and basic needs services 365 days per year.
- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
 - 100% of families served will have safe, warm, temporary shelter; food and stabilization until other housing options become available and self-sufficiency is realized.
 - In PY2010, program proposes to serve approx. 64 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 3001 Green Bay Road, Bldg 5, North Chicago, IL 60064
- Site location: 914 8th Street, Waukegan, IL 60085

SER/ Jobs for Progress - Fair Housing Center

- See Goal Section #1.1 of this document regarding Waukegan's CDBG funding for this project ("Fair Housing").

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Christian Outreach of Lutherans (COOL) – Food Pantry	\$240,500	\$6,000
Catholic Charities – Food Pantry	\$746,118	\$6,000
Most Blessed Trinity – Food Pantry	\$373,562	\$6,000
I-Plus – Representative Payee	\$239,336	\$9,000
PADS Crisis Services – The Family Center	\$340,147	\$10,000
SER/Jobs for Progress – Fair Housing Center	\$254,007	\$10,000
TOTAL	\$2,193,670	\$47,000

5.2.4 Community Safety

Waukegan Police Department - Community Oriented Policing Project

- 2010 Waukegan CDBG: \$20,000; Total Program Cost: \$119,074
- Leverage sources: Waukegan Police Department Budget
- Program Description: Police officers address community and public service needs through crime awareness and problem solving in conjunction with the residents in an attempt to build a community-police partnership in CDBG designated low- to moderate-income areas through the FUSION Program.
- Use of CDBG Funds: salaries and benefits
- Proposed Program Outcomes:
 - Increase the environmental quality of apartments and homes.
 - Eliminate nuisance homes.
 - Reduce gang and drug activity.
- In PY2010, program proposes to serve 10,970 (8677L/M) neighborhood Waukegan residents – Census Tracts Numbers.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 420 Robert V. Sabonjian Place, Waukegan, IL 60085
- Site location: Various sites in CDBG Designated Areas

Lake County Sheriff's Adult Corrections Facility - Inmate Reentry Services

- 2010 Waukegan CDBG: \$5,000; Total Program Cost: \$268,542
- Leverage sources: LC CDBG, Other Governmental Fees & Grants
- Program Description: Provide extensive educational and behavioral health programs in cooperation with other jail programming such as individual inmate assessment, case management, and referral to a wide variety of social and community services.
- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
 - 75% of the 1200 inmates with identified reentry needs will report they feel better prepared for reintegration to the community after being assessed, referred and linked to community resources by the jail reentry specialist, have developed reentry goals and action plans, and
 - 80% of 360 inmates with identified reentry needs who were enrolled in the jail reentry program dorm will report that, because of these programs, they have improved their ability to adjust to successful community living after release from custody.
- In PY2010, program proposes to serve 204 Waukegan residents.

- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 20 S. County Street, Waukegan, IL 60085
- Site location: 20 S. County Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Waukegan Police Department (COPP)	\$119,074	\$20,000
Lake County Sheriff's Adult Corrections Facility – Inmate Reentry Services	\$268,542	\$5,000
TOTAL	\$387,616	\$25,000

5.2.5 Other Public Services

CREW (Community Resource for Education & Wellness) - Substance Abuse Treatment Program

- 2010 Waukegan CDBG: \$8,000; Total Program Cost: \$288,500
- Leverage sources: foundation & corporate grants, other governmental fees and grants, and program fees
- Program Description: A substance abuse Level 1 & Level 2 outpatient treatment program comprised of assessment, counseling, education, and alternatives to substance abuse/alcohol and exposure to a 12-step program for adults and adolescents ages 12-17.
- Use of CDBG Funds: Professional fees
- Proposed Program Outcomes:
 - 65% of clients, who attend a minimum of a 10 hour program, will successfully complete their substance abuse classes and will be able to demonstrate knowledge of the effects of alcohol and other drugs on the mind/body.
 - 50% of those clients that CREW is able to contact, who complete 30 or more hours of substance abuse treatment, will remain abstinent for 12 months after discharge.
- In PY2010, program proposes to serve approx. 99 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 1632 23rd Street, Zion, IL 60099
- Site location: 1632 23rd Street, Zion, IL 60099

UMMA-Urban Muslim Minority Alliance - Education Empowerment

- 2010 Waukegan CDBG: \$5,000; Total Program Cost: \$62,750
- Leverage sources: Contributions, and other governmental fees & grants.
- Program Description: Will address three main areas of education that prevent the low-income community from being self sufficient. The areas are general education, computer literacy, and financial literacy.
- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
 - 80% of students enrolled in the education empowerment will successfully complete one class and enroll in an education career path (e.g. college or a trade career path).
- In PY2010, the program proposes to serve approx. 215 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.

- Agency location: 130 Washington Street, Waukegan, IL 60085
- Site location: 130 Washington Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
CREW (Community Resource for Education and Wellness)	\$288,500	\$8,000
UMMA – Education Empowerment	\$62,750	\$5,000
TOTAL	\$351,250	\$13,000

5.3 Code Enforcement

Code Enforcement Program

- 2010 Waukegan CDBG: \$50,000; Total Program Cost: \$150,110
- Leverage sources: Waukegan's City Budget
- Program Description: Enforce housing, zoning, and health codes as they relate to dwellings, dwelling units, rooming units, and all other properties within the CDBG designated low- to moderate-income areas. Correct and prevent the existence of adverse conditions and promote public safety, health, and welfare of neighborhood.
- Use of CDBG Funds: salaries, benefit and payroll taxes
- Proposed Program Outcomes:
 - All addresses visited by inspector will be 100% within the target area ensuring and promoting public safety, health, and welfare of clients.
- In PY2010, program proposes to serve 11,500 Waukegan residents (Census Tracts #'s are 50952 with L/M of 35236).
- Code Enforcement, as a component of housing rehabilitation activities, is a **High Priority** need for Waukegan as referenced in the Lake County Consortium's *2010 – 2014 Housing and Community Development Consolidated Plan*.
- Agency location: 100 N. Martin Luther King, Jr. Avenue, Waukegan, IL 60085
- Site location: Various sites in CDBG Designated Areas

Subrecipient/Project Sponsor	Total Project Cost	CDBG
City of Waukegan – Code Enforcement	\$150,110	\$50,000
TOTAL	\$150,110	\$50,000

5.4 Program Oversight and Administration

City of Waukegan CDBG - Program Administration

- 2010 Waukegan CDBG: \$211,464
- Leverage sources: all CDBG funding
- Program Description: General oversight and management of CDBG funds and activities.
- Agency location: 100 N. Martin Luther King, Jr. Avenue, Waukegan, IL 60085

Anti-Poverty Strategy

The *2010-2014 Housing and Community Development Consolidated Plan* outlines the multifaceted approach that the Lake County Consortium is taking to combat poverty within its jurisdiction. The Area Policy Statement in the *Consolidated Plan* provides detailed background information on the Consortium's Anti-Poverty Strategy along with the Five-Year Goal.

The Lake County Consortium will support, directly and indirectly, a network of services available to low-income persons and families with the goal of alleviating poverty. The Consortium will work with existing agencies which serve persons in poverty in order to evaluate their needs, coordinate the delivery of services, and determine if funding assistance should be made available through HOME, CDBG and/or ESG programs in cases where there are insufficient resources to meet the identified needs.

This strategy includes the following elements:

1. To continue to work within the Consortium to coordinate community development efforts with integrated planning.
2. To continue to work within the Continuum of Care process and other coordinating councils to reduce duplication, improve integration, and identify and address unmet service needs.
3. To expand coordination among local funding entities to streamline the application process, and to maximize the impact of those resources.
4. To establish and support a framework for systems and program evaluation, including continued training and technical assistance using outcomes.
5. To provide letters of support and other documentation to agencies in support of their fundraising efforts.
6. To continue to enhance public access to information about community services, through initiatives like the FindHelpLakeCounty.org project, which brings Information & Referral tools to the general public and provider community.

Annual Goal

The Consortium's projected goal for the number of persons moving out of poverty over the next year is 100.

Monitoring Standards and Procedures

The Community Development Division of the Lake County Department of Planning, Building and Development, the City of North Chicago's Community Development and Planning Department, and the City of Waukegan's Community Development Department each have responsibility for monitoring project activities funded under their separate Community Development Block Grant programs, the Emergency Shelter Grant program, the Supportive Housing Program, or the HOME program. Each of these departments is responsible for monitoring their general progress under the *Consolidated Plan*.

The monitoring standards and procedures to be used in these efforts will be the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. The monitoring functions will include:

1. Pre-Application Workshops/Technical Assistance Sessions for all pending subrecipients prior to application submittal, to explain all applicable rules, regulations, and procedures.
2. Careful evaluation of funding applications to ensure that they comply with all applicable federal regulations, that they clearly describe the proposed activity, and that they fully delineate the cost of the project.
3. Assignment of risk factors to determine monitoring strategy and technical assistance needs of subrecipients.
4. Post-award conferences (either group or individual) held prior to project implementation to outline federal regulatory compliance requirements and local government operational guidelines.
5. Preparation of subrecipient agreements which clearly set forth the activities to be carried out, a time schedule for completion of the project's activities, the reporting and compliance requirements, and the rules, regulations, and procedures to be followed by the subrecipient.
6. The establishment of internal project management systems for tracking the progress of all funded activities.
7. Establishment of scheduled reporting procedures, including those with long-term compliance requirements.
8. Periodic reviews of all activities, including desk reviews, project file and financial reviews, and on-site field visits.
9. Formal on-site monitoring of all projects at least once annually, including written reports to subrecipients with written responses, as appropriate.
10. Withholding of payments to subrecipients found to be in non-compliance with rules, regulations, or procedures.
11. Receipt and review of Quarterly Reports from funded Subrecipients.
12. Preparation of annual reports covering all activities.

Administration

Community Development staff from Lake County, North Chicago, and Waukegan will continue their regular administrative reviews of open funded projects to:

- encourage more timely draw downs of HUD funds by their subrecipients;
- speed up the expenditure levels of currently operating projects;
- financially close out recently completed projects;
- regularly update internal and HUD-related project databases; and
- expeditiously reprogram un-obligated balances of completed projects for future re-use in subsequent award cycles.

Community Development staff from Lake County, North Chicago, and Waukegan will develop and monitor monthly expenditure benchmarks to direct their progress on timely expenditures of HUD funded activities. Staff will aim for a 10% monthly expenditure of program entitlements beginning June 1st of the program year, such that 90% of the annual program allotments will be expended by the following February. Progress toward this goal depends on:

- the subrecipients' ability to manage their project activities successfully;
- the timely submission of properly documented payment requests;
- weather-related constraints for housing and public facility projects; and
- quarterly progress reports from individual subrecipient projects.

Summary of Performance Measurements

The Lake County Consortium has established housing and homeless goals for New Construction, Rehabilitation, Homebuyer Assistance, Emergency Shelter, Transitional Housing, and Permanent Supportive Housing in terms of the number of units affected. Each goal area indicates a specific number of units to be achieved under the "Five-Year Goal" by the completion of the *Consolidated Plan*. In the grant application process, subrecipients project the number of units that they intend to make available during the program year. Subrecipients report their progress quarterly, as they work toward meeting their goal. At the end of the project year, the total number of units is measured as Housing Outputs, included in the Consolidated Annual Performance Evaluation Report (CAPER), to determine the effectiveness of each project and the progress being made toward the Five-Year Goals.

Consortium members developed outcomes for each non-housing community development activity area to indicate the desired level of benefit or change for individuals or populations during or after participation in the program. These anticipated benefits or changes, along with the projected numbers of beneficiaries, are identified under the Five-Year Goals. Applicants project numbers of beneficiaries they intend to serve and identify one to three program outcomes that address the Five-Year Goals. An outcomes workshop is provided in order to assist applicants in improving the quality of their outcomes, which they submit in their applications. Once funded, subrecipients provide quarterly reports throughout the program year, reporting the numbers of beneficiaries and their progress toward attaining their outcomes. The year-end results in each activity area are evaluated in the Consolidated Annual Performance Evaluation Report (CAPER). Client Outcomes are based on what has been accomplished and levels of beneficiary involvement.

Housing Program Procedures

All Housing-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of Housing-funded projects shall include written correspondence to Project Sponsors with written responses filed in the appropriate project file.

Lake County will conduct a Housing Risk Assessment that will assign a risk rating to each funded Project Sponsor based on the existence of the following risk factors:

- 1st or 2nd Housing Fund Allocation
- Award in excess of \$250,000
- High level of staff turnover/inexperience or change in the organization's goals and directions
- Lack of progress in spending past Housing Fund allocations

- History of Housing Fund violations, inaccurate/incomplete compliance reports or letters of complaint
- On-site monitoring not conducted in past three years
- High program/project complexity (i.e. multiple funding sources, large number of units, multiple partners)

Lake County Housing Fund Project Sponsors will be selected for monitoring based on these factors. If three or more risk factors are present, the Project Sponsor is considered a “high risk”. Two risk factors warrant a rating of “moderate risk”. If one or no risk factors are identified, the Project Sponsor is considered “low risk”. Any Project Sponsor that has not had formal on-site monitoring in either of the two years prior to the current award will be automatically considered a “high risk”. Lake County Staff will annually develop a monitoring schedule based on these risk levels. At a minimum, all “high risk” Project Sponsors will receive an on-site monitoring during the upcoming *Action Plan* program year.

The following steps will also be taken to ensure long-term housing compliance:

- Each Project Sponsor and program administrator will receive a “Lake County HOME/CDBG Housing Fund Management Guide” and its companion piece, “Lake County HOME/CDBG Housing Fund Monitoring Compliance Guide.” These would be distributed at a mandatory required attendance Post-Award Conference. The guidebooks are intended to be used by Project Sponsors as a reference guide for federal regulatory compliance during the construction and post-completion phases of the project. In addition, each Project Sponsor is assigned a staff person to provide individualized technical assistance on the general administration of their Housing Fund project.
- During the project’s construction/rehabilitation period, periodic on-site monitoring visits of all Housing Fund projects will be conducted by Community Development staff.
- All newly-completed housing projects, both new construction and rehabilitation, will be inspected at the time of completion by appropriate local building officials and will be required to have a valid certificate of occupancy. In addition, HOME-funded projects and County CDBG-funded projects will be regularly inspected by the Affordable Housing Corporation of Lake County’s Rehabilitation Specialist or other qualified inspector.
- All HOME rental projects will be inspected in accordance with the requirements specified in the HOME Regulations. The inspection schedule mandated by the HOME Regulations will be followed for the duration of the required affordability period. The Affordable Housing Corporation of Lake County’s Rehabilitation Specialist, who is under contract with the County, will conduct these inspections.
- The County will maintain records to monitor federal regulatory compliance during a rental project’s post-completion period of affordability. Annual reports will be submitted by project sponsors to certify tenant income and unit rent eligibility. In addition, HOME-assisted rental units will be subject to periodic on-site inspections according to the following guidelines:

<u>Number of Units in Project</u>	<u>Frequency of Inspection</u>
1 to 4 units	Every 3 years
5 to 25 units	Every 2 years
26 or more units	Annually

Homeless Program Procedures

In addition to the above monitoring procedures, the Continuum of Care Advisory Planning Group (APG) developed the *Technical Assistance and Monitoring Guide for Homeless Programs*. The guide is

distributed to all homeless programs that receive federal funding and includes information about federal program rules and regulations as well as Continuum of Care policies and priorities concerning beneficiaries, program design and policies, supportive services, housing, staffing, financial capacity, outcomes, and general administration. Lake County uses the Technical Assistance and Monitoring Guide to prepare homeless programs for the on-site monitoring visits conducted by the Lake County Department of Planning, Building & Development and the Continuum's Technical Assistance Committee, which sends three members to conduct on-site peer-monitoring reviews for all programs seeking Continuum of Care funding. In addition to identifying strengths and weaknesses of programs at an early stage, the monitoring guide and site visits:

- Ensure homeless funding is used effectively to assist homeless individuals and families to achieve self-sufficiency.
- Verify compliance with federal regulations and program requirements in order to maintain and expand funding.
- Build grantee capacity and improve program performance to end homelessness in Lake County.

All ESG-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of ESG-funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

Therefore, all subrecipients of ESG funds shall also be subject to annual monitoring by the Continuum of Care Advisory Planning Group's (APG) Technical Assistance Committee (TAC), in conjunction with Lake County staff. For ESG-funded projects, the TAC shall reference applicable portions of the *Technical Assistance and Monitoring Guide for Homeless Programs* that was developed for the homeless programs that receive funding through the Continuum of Care. Lake County staff shall also reference ESG regulations to ensure compliance with ESG Program requirements.

CDBG Program Procedures

All CDBG-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of CDBG-funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

Lake County

Lake County will conduct a CDBG Public Services Risk Assessment that will assign a risk rating to each funded subrecipient based on the existence of the following risk factors:

- First-time CDBG Subrecipient/first CDBG award in the past three years.
- Award that exceeds 80% of the highest CDBG Public Service award.
- High level of staff and/or Chief Executive turnover/inexperience.
- History of repetitive CDBG Program compliance issues/violations.
- High Program/Project complexity.

Lake County CDBG Public Service subrecipients will be selected for monitoring based on these factors. If three or more risk factors are present, the subrecipient is considered a "high risk". Two risk factors warrant a rating of "moderate risk". If one or no risk factors are identified, the subrecipient is considered

“low risk”. Any CDBG Public Services subrecipient that has not had formal on-site monitoring in either of the two years prior to the current award will be automatically considered a “high risk”. Lake County Staff will annually develop a monitoring schedule based on these risk levels. At a minimum, all “high risk” subrecipients will receive an on-site monitoring during the upcoming *Action Plan* program year.

North Chicago

North Chicago conducts on-site monitoring of all Public Service activities at least once a year.

Waukegan

Waukegan conducts on-site monitoring of all Public Service activities at least once a year.

Institutional Structure and Coordination of Resources

The Lake County Consortium, with its participating jurisdictions of Lake County and the Cities of North Chicago and Waukegan, has been collaborating extensively for a number of years with a broad range of for-profit or not-for-profit agencies, governmental bodies, and local citizens.

Lake County Consortium

Three jurisdictions in Lake County receive Community Development Block Grant (CDBG) funds under the entitlement allocation: Lake County, as an urban county, and the Cities of North Chicago and Waukegan, each as independent entitlements. These three CDBG jurisdictions regularly coordinate with one another and collaborate on planning and implementation of CDBG-funded community development activities. These three units of local government have also formed a HOME Consortium for the purposes of carrying out housing activities funded under the HOME Investment Partnerships Program.

Lake County serves as the coordinating unit and Lead Agency for the administration of the Lake County Consortium. The Lake County Community Development Division administers the HOME Program. The Affordable Housing Commission, an entity created by the Lake County Board to stimulate affordable housing, also serves as an advisory commission on housing matters to the County Board.

The Consortium also formally governs the administration of the Emergency Shelter Grant Program and the Continuum of Care grants. The Cities of North Chicago and Waukegan collaborate with Lake County on countywide homeless planning and grant administration activities.

Lake County

The Lake County Board, under the auspices of its Health and Community Services (HCS) Committee, implements and governs the Lake County portions of the *2010 – 2014 Consolidated Plan*. The Board relies heavily on planning guidance and funding recommendations from the county Community Development staff and numerous advisory groups, as described below.

The Lake County Board receives annual entitlement grants from the Community Development Block Grant (CDBG) and Emergency Shelter Grant (ESG) Programs. The Lake County Board also executes contracts with HUD for the annual HOME entitlement grant on behalf of the HOME Consortium. Lake County staff facilitates countywide homeless services planning, and applies annually for Continuum of Care homeless funding, on behalf of Lake County and the Cities of North Chicago and Waukegan.

For the CDBG program, the Lake County Urban County jurisdiction includes all portions of the County, except for the Cities of North Chicago and Waukegan. North Chicago and Waukegan are both entitlement communities and, therefore, they each allocate their own CDBG funds.

Lake County Board

The Lake County Board consists of 23 elected members, who are elected every four years on a staggered basis by district. The terms for Board members are 2 – 4 – 4, 4 – 2 – 4, or 4 – 4 – 2 years and are commensurate with Census redistricting each decade. Board members serve as individual representatives for their Lake County Districts. Board Agendas, Committee Agendas, and Committee Schedules are posted in the Board Office and are available on the County's webpage at <http://www.lakecountyil.gov/CountyBoard>. The Board meets regularly on the second Tuesday of each month in the Lake County Administration Building, 10th Floor. They also approve the *2010 – 2014 Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.

County Board Committees

The County Board now has seven standing Committees that meet on a regularly scheduled basis. The Board also meets as a “Committee of the Whole” on the Friday prior to the Board Meeting. HUD-funded and County-funded community development programs report to two Committees prior to any Board action: the Health and Community Services (HCS) Committee and the Financial and Administrative (F&A) Committee.

The HCS Committee is comprised of seven members of the Lake County Board. This Committee was reformed in December 2006 through the blended activities of the Community and Economic Development Committee and the Health and Human Services Committee, to include County Board policies and projects involving health, community development, housing, tourism, and economic development activities. Their first meeting occurred on January 9, 2007. The Committee expressed interest in homelessness and human service planning, particularly in relation to the County's land use, economic development, and transportation infrastructure planning. The Committee now meets the first and second Tuesdays prior to the County Board meeting, usually meeting on the first and last Tuesday of each month.

The F&A Committee is comprised of seven members of the Lake County Board. This long-standing Committee addresses financial and administrative issues involving budgeting appropriations, contracts, litigation, employee relations, capital improvements, and general operating expenses. It is in the realm of contract approvals and budgetary adjustments that HUD-funded or County-funded community development projects are brought before this Committee. The F&A Committee continues to meet the first and second Wednesdays prior to the County Board meeting, usually meeting on the first and last Wednesday of each month.

County Board Commission Structure

Given the extensive demands on the County Board at the Committee level, the Board often looks to its Commission structure to conduct its non-governmental matters. Of particular importance for the *2010 – 2014 Consolidated Plan* and each *Annual Action Plan*, are the Community Development Commission and the Affordable Housing Commission, which advise the Board on community development and housing matters. Activities of both these commissions will report frequently to the Health and Community Services Committee. A third commission for economic development issues disbanded in December 1998 and formed a public-private partnership, called the Lake County Partnership for Economic Development (Lake County Partners), which is still in existence today. The Lake County Partners will also report to the Health and Community Services Committee.

Community Development Commission

According to its by-laws, The Community Development Commission (CDC) acts as a representative body of elected officials and citizens in ascertaining facts, preparing plans and programs, coordinating activities, setting priorities for funding, and undertaking such other activities as are necessary or appropriate, under the U.S. Housing and Community Development Act of 1974, as amended. The CDC cooperates with Lake County and other units of local government to undertake, or assist in undertaking, essential community development and housing activities, including urban rehabilitation and renewal, and publicly- assisted housing for persons of low- and moderate-income, including the elderly and handicapped.

The CDC is composed of 15 members (five County Board members; five Mayors, Village Presidents, or Township Supervisors; and five citizen representatives). The Commission has primary responsibility for developing and carrying out the County's community development policies, which are contained within

the *2010 – 2014 Consolidated Plan*. Each year, the Commission is responsible for guiding the development of the *Annual Action Plan* and advising the Health and Community Services Committee and the Lake County Board regarding its formal acceptance. The Commission seeks public involvement, whenever possible, to better inform themselves on housing and community development needs. The full CDC meets monthly, depending on its needs, to discuss housing and community development issues, annual grant allocations, on-going grant oversight, and other related issues.

Lake County Coalition for the Homeless

The Continuum of Care Committee of the Lake County Coalition for the Homeless, formerly called the Advisory Planning Group (APG), was originally convened in January 1998 to oversee the county's Continuum of Care process under the auspices of the Community Development Commission and the Lake County Board. In January 2009, it reconvened as a committee of the Lake County Coalition for the Homeless, centralizing all issues related to homelessness under one body. The group is comprised of approximately 30 community agencies administering homeless services, community development activities, health care, human services, mental health care, housing, and veteran services as well as advocates, local governments, formerly homeless, churches, funders, banks and other community stakeholders. The CoC Committee functions as an advisory arm of the Community Development Commission, keeping the Commission informed of its ongoing activities, and bringing pivotal decisions back to the Commission for input and approval. The committee envisions its charge broadly as working on multiple levels to strengthen Lake County's Continuum of Care through:

- Ongoing needs assessment and analysis,
- Provision of technical assistance,
- Increased stakeholder participation,
- Development of strategies for addressing identified needs,
- Monitoring of progress toward strategic objectives and action steps,
- Coordination of various organizational structures related to homelessness, and
- Coordination of the annual CoC NOFA application.

Affordable Housing Commission

The Affordable Housing Commission is the leading Lake County governmental agency assisting county, township, and municipal governments in advocating for more affordable housing. On behalf of the Lake County Board, the Affordable Housing Commission advocates to the public for more affordable housing and collaborate with county government in setting public policy and priorities for affordable housing in Lake County. The Affordable Housing Commission is the advisory board to the Community Development Commission and the Lake County Consortium regarding the federal Lake County Consortium Home Investment Partnerships (HOME)/Community Development Block Grant (CDBG) housing fund and the local Lake County Affordable Housing Program (LCAHP) fund. The Affordable Housing Commission is comprised of representatives from the County Board, housing authorities, the real estate industry (including homebuilders, for-profit and non-profit developers, lenders, and real estate agents), and the general public. The Executive Committee of the Commission meets the first Tuesday of every month and the full Commission meets the third Tuesday of every other month. The Lake County Board created the Affordable Housing Commission on November 12, 1991. In December of 1992, the Affordable Housing Commission created, and currently maintains, a non-profit organization, the Affordable Housing Corporation of Lake County (AHC). The AHC works to increase and preserve affordable housing opportunities throughout Lake County via high integrity, high impact services and partnerships that protect and empower consumers and communities. The AHC aims to become Lake County's most agile, responsive, and impactful programming resource for affordable housing needs that are unmet by the private market.

Lake County Housing Authority

The Lake County Housing Authority is organized pursuant to State of Illinois Law. The Lake County Board Chairman, with the approval of the Lake County Board, appoints Housing Authority Board Members. The Housing Authority administers the Section 8 voucher program for Lake County, excluding the Cities of North Chicago and Waukegan.

City of North Chicago

The City of North Chicago, through its Community Development and Planning Department, directly administers the North Chicago entitlement Community Development Block Grant. The City uses Citizens Advisory Committee recommendations and City Council actions to govern the allocation and use of CDBG funds.

North Chicago City Council

North Chicago follows a Mayor-Council form of government with seven political wards. The City Council meets the first and third Monday of the month. First discussed in Community Development Committee Meetings where aldermen have the opportunity to ask staff questions, the City Council is the final governmental body to review recommendations as submitted by the Citizen's Advisory Committee (CAC). They also approve the *Consolidated Plan* and each *Annual Action Plan* prior to its submission to HUD.

Citizen's Advisory Committee (CAC)

The Citizens Advisory Committee (CAC) is composed of five (5) local residents and three (3) representatives from the business / industrial community. These members are responsible for reviewing all submitted applications and deciding on the Community Development Block Grant(CDBG) funding levels for each activity in all five (5) program areas of CDBG. These five areas include: Housing (housing administration and monitoring, regular rehabilitation, emergency rehabilitation, housing education and training), Economic Development, Public Improvements (streets and alleys, sidewalk improvements, streetscape improvements, public facilities), Public Services (social service agencies), and General Administration.

The intent of the Citizens Advisory Committee (CAC) is to find a cross section of the community so that the needs of North Chicago residents are better met. The CAC meets approximately 4-5 times per program year.

North Chicago Housing Authority

The organizational relationship between the City of North Chicago and the North Chicago Housing Authority (NCHA) is one where the Mayor of the City of North Chicago actually appoints the commissioners of the NCHA Board of Directors. However, the North Chicago Housing Authority has its own Executive Director who oversees the daily operations of the Housing Authority. The North Chicago Housing Authority administers the Section 8 voucher program for the City of North Chicago. Future Housing Authority redevelopment plans and property modernization efforts are available for review and comment by local citizens and the City of North Chicago.

City of Waukegan

The City of Waukegan directly administers the Waukegan entitlement Community Development Block Grant through its Community Development Department. The City Council is responsible for the oversight of these funds. The Stakeholder Participation Panel acts in an advisory capacity regarding CDBG funding.

Waukegan City Council

The City of Waukegan is structured as a Mayor-Council form of government. The Mayor's position is a full-time position, elected at-large for a four-year term. The City Council is comprised of nine aldermen, elected from within their separate wards as a part-time, four-year position. The Mayor's office is located within City Hall, and the City Council meets the first and third Monday evening of each month.

The Mayor and City Council have final approval of all decisions regarding the allocation of CDBG funds. They also approve the *Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.

Stakeholder Participation Panel

The City of Waukegan CDBG Office also maintains a Stakeholder Participation Panel (SPP). This panel is comprised of interested citizens who help conduct public meetings and hearings, review applications for funding, conduct interviews with representatives of applicant agencies, and make recommendations for funding to the Mayor and City Council. The size of the SPP varies, but averages about 17 citizens.

Waukegan Housing Authority

The Mayor, with the approval of the City Council, appoints the Waukegan Housing Authority commissioners. There is also a memorandum of agreement between the City and the Housing Authority, which provides for the utilization of City police in the protection of Housing Authority properties. The Waukegan Housing Authority administers the Section 8 voucher program for the City of Waukegan.

Coordination with Housing Authorities

The three governmental entities comprising the Lake County Consortium are also afforded the opportunity to review and comment on the Housing Authority Agency Plans prior to their submission to HUD. Lake County reviews the housing authorities' Annual and Five-Year Plans, and provides annual Certificates of Consistency with the *2010 – 2014 Consolidated Plan* to the housing authorities for submission to HUD in connection with these plans. Periodic joint meetings are scheduled, to the extent feasible, during the course of the program year in order to ensure a continuing dialogue between local government and the housing authorities.

In addition, each of the housing authorities are encouraged to become involved as part of the general membership of the Affordable Housing Commission. Presently, the Executive Director of the Lake County Housing Authority participates in this manner.

Coordination with Other Assisted-Housing Providers

Representatives of the housing development industry, including assisted-housing developers, sit on the Affordable Housing Commission. Prior to the *2005 – 2009 Consolidated Plan*, the Executive Director of the AHC met with developers to discuss potential housing projects, joined on numerous occasions by local government staff. However, due to federal funding reductions, Lake County staff now directs and maintains contact with HOME and CDBG project owners and developers throughout the application, funding, and administration cycles. The Executive Director of the AHC and local government staff join the County in these meetings as appropriate.

Coordination with Private and Governmental Health, Mental Health, and Service Agencies

Many health and social service agencies are participants in the Continuum of Care planning process and in the Lake County Consolidated Application process. Many meet regularly throughout the year as a group, or in smaller groups, with Consortium staff members. Many of the issues discussed at these meetings have application beyond homeless and other social services, and have proved helpful in raising issues related to the development of the *2010 - 2014 Consolidated Plan* and each *Annual Action Plan*.

Since many of these agencies receive funding from Consortium members, regular contact is maintained with them throughout the year.

The numerous agencies applying for Consortium funding are interviewed by each of the Consortium members' citizen advisory bodies regarding their program activities. This process ensures that agencies coordinate with other health, mental health, and service agencies on a regular basis.

Appendix A: Summary of Public Comments on the Proposed Annual Action Plan

Public hearings were held on the following dates to comply with HUD citizen participation requirements:

LAKE COUNTY CONSORTIUM / LAKE COUNTY

PUBLIC COMMENTS

The following public comments were received:

January 20, 2010 - Lake County Administration Building, Waukegan, IL

Lake County Community Development Commission, Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the *Draft 2010 Annual Action Plan* for review and comment.

- Mr. Rob Anthony, of Highland Park Illinois Community Land Trust (HPICLT), expressed appreciation on behalf of HPICLT and its board of directors for the CDC's continued support of his agency and of affordable housing throughout Lake County. HPICLT's recommended PY2010 HOME funds will be used to add five units of housing that will remain affordable in perpetuity. Mr. Anthony added that his agency will change its name to Community Partners for Affordable Housing this year and plans to partner with other communities in order to bring the successful "land trust model" to other Lake County areas.
- Ms. Lisa Tapper, of the Affordable Housing Corporation (AHC), thanked the CDC and Staff for their support of AHC's homeowner rehabilitation and homebuyer assistance programs, which help provide and maintain affordable housing for Lake County residents. Ms. Tapper was very grateful for the funds recommended for the Affordable Housing Commission.
- Ms. Lu Bailey, of YouthBuild Lake County, thanked the Cities of North Chicago and Waukegan for their CDBG funding recommendations and Lake County for its CHDO funding recommendation, as well as the many years of HOME and Public Services support. While expressing disappointment that YouthBuild's HOME and CDBG applications did not receive funding recommendations by Lake County, she said that her agency understood the reality of increased competition for the decreasing federal funds. Ms. Bailey stated that YouthBuild hopes that there will be a return to presentations during the review process, as she believes that the personal element adds to the application.
- Ms. Phyllis DeMott, of A Safe Place, voiced disappointment that the agency did not receive an ESG funding recommendation. She presented information about A Safe Place and its role as a provider of shelter to women and children who suffer domestic violence. A recent study provided information that domestic violence shelters serve a critical need for survivors of domestic violence. With the State of Illinois currently five months behind on payments to the shelter and the economic situation negatively affecting donations, A Safe Place remains in need of additional funding. Ms. DeMott requested reconsideration of A Safe Place's application.
- Ms. Sonia Mares, of Maristella, asked for funding reconsideration, stating that she is working to improve Maristella's program. The program will include a self-sufficiency component and a strategic path for client achievement. Ms. Mares had contacted many local agencies and planned to contact more, to coordinate community efforts for their clientele.
- Mr. John Bauters, of Prairie State Legal Services, offered thanks on behalf of Michael O'Connor, Executive Director, and Larry Smith, Managing Attorney, for the agency's funding recommendation. Prairie State provides homelessness outreach and legal services to help prevent

homelessness. The agency also works to help citizens obtain the financial assistance to which they are entitled.

- Mr. Joe Howard, of Zacharias Sexual Abuse Center, distributed a handout with information about the agency and thanked the City of Waukegan for funding the Center's Children's Advocacy program. Mr. Howard spoke about the Center's clientele, program, and the continued need for federal funding, asking the CDC to reconsider its application.
- Mr. Wayne Kulick, of Countryside Association for People with Disabilities, said that the agency helps citizens with disabilities to find employment opportunities. Mr. Kulick presented information on the employment support needs of this highly disadvantaged population, which has an unemployment rate of 70%. Several positive developments had recently improved the situation for the County's disabled, including an expansion of the para-transit services, Countryside's collaboration with the Lake County Center for Independent Living (Center) for employment support services, and Countryside's new Lake Villa office. Mr. Kulick appreciated the funding recommendation for the Center and requested that Countryside receive any additional funding that might become available.
- Ms. Heather Barcal, of the Lake County Center for Independent Living (Center), thanked the CDC for its continued support of the Center's employment readiness program. Ms. Barcal shared her personal experience with the difficulties she faced, due to her blindness, when applying for jobs. Her current position allows her to create and supervise programs to help people with disabilities to overcome the challenges and the discrimination that she faced.
- Ms. Megan Harrington, of SER-Fair Housing Center, extended SER's gratitude for the CDC's continued support, as evidenced by the agency's funding recommendation. SER will use the federal funds for its Fair Housing Act outreach, education, and enforcement programs.
- Mr. Phil Carrigan, of Waukegan Township Staben Center, addressed the CDC while Ms. Nancy Netherton distributed a handout. Mr. Carrigan stated that Staben Center provides up to six months of shelter and supportive services for homeless men. Ms. Netherton said that Staben Center is the only Lake County transitional shelter for men. Due to a change in federal regulations, the ESG program may no longer fund transitional housing, so Staben Center management was informed that they would have to apply for CDBG funding. Ms. Netherton stated that the peer review process for the ESG program worked more fairly than the scoring method used to review CDBG Public Service applications. She then indicated the sections of Staben Center's handout that contested the points received during the review of its application. Ms. Netherton said that Staben Center works with many area agencies and requested that Lake County work with her agency.
- Rabbi James Gordon, of Team Spirit Institute, requested reconsideration for his agency's program. He appreciated that the Institute's program was eligible for funding under the 2010 - 2014 Consolidated Plan, but wished that the review process included a site visit to witness the program in action. Rabbi Gordon provided Chairman Dietz with supportive documents that demonstrate the impact of the Institute's program, Lifting the Human Spirit by Visiting the Sick.
- Ms. Suzanne Willet, of the Lake County State's Attorney's Office (SAO), thanked the CDC for the funding recommendation for the SAO's new Gang Prevention and Enforcement program, which will focus on the County's youth. Gangs are recruiting children as young as 9 – 10 years of age, so the program will provide education to the County's children, parents, and teachers, to stop children from becoming gang members. A recent study, performed by Project Safe Neighborhood's gang-crime analyst, provided the SAO with useful information about the County's gang and drug-crime situation. Ms. Willet stated that the gang problem has spread throughout the County and that working with the youth is one way in which the SAO and the Sheriff's Office

hopes to raise awareness of this problem. Further, Ms. Willet asked the Cities of Waukegan and North Chicago for funding reconsideration.

- Ms. Liz Heneks, of ChildServ, gave thanks for the funding recommendation for her agency's program, which provides high-quality affordable childcare and support services for low-income families. ChildServ also provides enrichment programs, to prepare the children for kindergarten.
- Ms. Mary Ellen Saunders, of ElderCare @ Christ Church, thanked the CDC for its funding recommendation, explaining that the agency provides transportation services for 450 homebound, low-income senior citizens. Rides are provided to medical appointments and to the grocery, thereby allowing the elderly to remain in their homes. Ms. Saunders stated that the need for ElderCare's services continues to grow, with a 40% increase in requests realized during 2009.
- Mr. David Manges, of Youth Conservation Corps (YCC), distributed and read a handout detailing the agency's request for CDBG Public Service funding reconsideration. The handout compared YCC's PY2010 scores with its PY2009 scores, adding that the project and agency had not changed during the interim; therefore YCC believes that its scores should not have been reduced.
- Ms. Jean Wallace-Baker, of Highland Park Community Nursery School and Day Care Center (Day Care Center), thanked the CDC for the difficult task that the review process entails. She distributed a handout and then spoke of the numerous low-income families living in the Highland Park area, adding that many children attending the Day Care Center's state-funded Preschool for All program come from areas throughout the County. Unfortunately, not only have public funds been reduced, but the state is seven months behind on payments. Ms. Wallace-Baker asked the CDC to please consider the low-income families in Highland Park in the future.
- Ms. Donna Morask, of NorthPointe Resources, thanked the CDC for past CDBG awards, adding that NorthPointe will continue to apply for funding. She said that employment services for people with disabilities or with mental health issues remain a critical need, as these groups suffer from a very high unemployment rate. Ms. Morask stated that employment helps stabilize clients with mental health issues, thereby helping to prevent relapses. Ms. Morask requested that the CDC reconsider NorthPointe's application.
- Ms. Kathryn Lord, a volunteer at NorthPointe Resources, said that she was in attendance to represent all of the clients served by NorthPointe Resources, the Lake County Center for Independent Living, and Countryside Association, as they are not able to be present.
- Chief Jennifer Witherspoon, of the Lake County Jail, thanked the CDC for its support of the Jail's Reentry program. She introduced Mr. Mark McCorley, the Jail's reentry specialist, who helps ex-offenders reintegrate into society. Mr. McCorley also thanked the CDC and the City of Waukegan for recommending the Jail's program for funding. He stated that it is essential to assist the ex-offenders with their multiple social service needs in order to reduce recidivism, thereby improving Lake County for the public at large.

February 24, 2010 - Lake County Administration Building, Waukegan, IL

Lake County Community Development Commission, Final Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the *2010 Annual Action Plan* for final review, comment, and approval.

- Ms. Megan Harrington and Ms. Rosa Reyes-Prosen, of SER-Fair Housing Center, offered their thanks for the agency's proposed grant, which would be used to provide education, outreach, advocacy, and enforcement of the Fair Housing Act.
- Mr. Larry Smith, of Prairie State Legal Services, thanked the CDC for its past support and for the agency's current funding recommendation, requesting that they approve the Action Plan. Prairie State's project would provide legal services to those who are homeless or are at risk of

homelessness for a variety of reasons, including issues related to wrongful denial of public benefits.

- Ms. Laurel Tustison, of YouthBuild Lake County, and Mr. Fred Williams, of Youth Conservation Corps (YCC), distributed a letter, which was read into the record. The letter addressed their concerns with the CDBG application review and scoring process and the resultant recommendations. Ms. Tustison voiced her opinion that her agency had made recent improvements that were not reflected in the review panel's score, which she deemed inconsistent with YouthBuild's PY2009 score. She had several other concerns, including the fact that Public Service agencies were not permitted to make presentations to the review panel. Mr. Fred Williams said that non-profit agencies generally apply for 40 to 60 grants yearly, as they do not have a tax-based budget or receive program fees. He stated that it is the belief of both YCC and YouthBuild that CDBG funds should only support non-profit organizations, excluding any governmental entities.
- Rabbi James Gordon, of Team Spirit Institute, hoped that the CDC would reconsider funding his agency's project, as it helps both the hospitalized senior citizens and the youth that visit them.

March 16, 2010 - Lake County Administration Building, Waukegan, IL

Lake County Board approval of the *2010 Annual Action Plan*.

- Appreciative comments regarding this year's application and review process were provided by County Board members.

OTHER CORRESPONDENCE

Other correspondence received by Lake County Community Development staff and the Cities of North Chicago and Waukegan, during the thirty-day public comment period, included the following.

The following individuals submitted written correspondence to Lake County regarding the Community Development Commission's funding recommendations:

- Ms. Mary Ellen Saunders wrote a letter of thanks pertaining to ElderCare @ ChristChurch's initial positive funding recommendation for \$25,000. The 2009 summary of evaluations, which she enclosed, contains information about the program, a sampling of their client's comments, as well as a sampling of their volunteer's comments. Letters of Support from ElderCare's volunteers were received from the following people: Nancy Kreuser, Pat Huesing, Elizabeth and Bob Forster, Patricia Sharp, Cathy Longfield, Jane Asperheim, Jan Hairrell, Ken Hairrell, and Marcy Good.
- Mr. David Ross, President of the COOL Board of Directors, wrote a letter thanking the Community Development Commission for the initial funding recommendation for COOL's food pantries.
- Ms. Diane Weber, Executive Director of COOL, sent an email thanking the Community Development Commission for the funding recommendation, as there has been a great increase in the requests for aid from area food pantries, while the economic climate has caused diminishing contributions.
- Mr. Remar Jurado sent an email thanking the Community Development Commission for supporting COOL, writing that the organization has helped a lot of people in our community.
- Mr. Terri Greenberg, Executive Director of CASA Lake County, Inc. wrote a letter of thanks for the agency's initial funding recommendation. CASA provides court-appointed volunteers as advocates to assist children who have suffered neglect, abandonment, or physical, psychological, or sexual abuse. CASA plans to use the grant, if approved, to train 50 to 60 new volunteers to advocate for 80 to 125 additional children.

- Ms. Suzanne Willet, Drug Prosecutions Division Chief and Project Safe Neighborhood Initiative Program Director of the Lake County States' Attorney's Office, submitted a letter thanking the Community Development Commission for an initial funding recommendation for the Gang Prevention and Enforcement Program. Ms. Willet stated that the funds would be used to benefit low-income families whose youth struggle with gang involvement and victimization.

The following individuals submitted written correspondence to North Chicago regarding North Chicago's funding recommendations:

- *No other citizen comments or correspondence were offered regarding the North Chicago component of the 2010 Annual Action Plan*

The following individuals submitted written correspondence to Waukegan regarding Waukegan's funding recommendations:

- *No other citizen comments or correspondence were offered regarding the Waukegan component of the 2010 Annual Action Plan*

NORTH CHICAGO

PUBLIC COMMENTS:

The following public comments were received:

January 19, 2010 - North Chicago, IL

North Chicago City Council Meeting to present, review and approve the funding recommendations and narrative statements for the North Chicago component of the *Draft 2010 Annual Action Plan*.

- *No citizen comments or correspondence were offered at the North Chicago City Council Meeting regarding the North Chicago component of the 2010 Annual Action Plan*

January 20, 2010 - Lake County Administration Building, Waukegan, IL

Joint Public Hearing with Lake County and the City of Waukegan presenting the *Draft 2010 Annual Action Plan* for review and comment.

- *North Chicago was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

February 24, 2010 - Lake County Administration Building, Waukegan, IL

Final Joint Public Hearing with Lake County and the City of Waukegan presenting the *2010 Annual Action Plan* for final review, comment, and approval.

- *North Chicago was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

March 1, 2010- North Chicago, IL

North Chicago City Council Meeting to approve the North Chicago and the HOME funding components of the *2010 Annual Action Plan*.

- *No citizen comments or correspondence were offered at the North Chicago City Council Meeting regarding the North Chicago component of the 2010 Annual Action Plan*

WAUKEGAN**PUBLIC COMMENTS:**

The following public comments were received:

December 21, 2009 - Waukegan, IL

Waukegan City Council reviewed and approved the funding recommendations and narrative statements for the Waukegan component of the *2010 Annual Action Plan*.

- *No citizen comments or correspondence were offered at the Waukegan City Council Meeting regarding the Waukegan component of the 2010 Annual Action Plan*

January 21, 2009 - Lake County Administration Building, Waukegan, IL

Joint Public Hearing with Lake County and the City of North Chicago presenting the *Draft 2010 Annual Action Plan* for review and comment.

- *Waukegan was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

February 24, 2010 - Lake County Administration Building, Waukegan, IL

Final Joint Public Hearing with Lake County and the City of Waukegan presenting the *2010 Annual Action Plan* for final review, comment, and approval.

- *Waukegan was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

March 1, 2010 - Waukegan, IL

Waukegan City Council Meeting to approve the *2010 Annual Action Plan*.

- *No citizen comments or correspondence were offered at the Waukegan City Council Meeting regarding the Waukegan component of the 2010 Annual Action Plan*

Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers

These guidelines apply when a homebuyer or developer is assisted with HOME Investment Partnerships Program funds and the homebuyer sells or transfers the assisted property subsequent to the initial HOME-assisted purchase.

Period of Affordability

Consistent with 24 CFR Part 92.254(a)(4), the following minimum period of affordability shall be enforced:

<u>HOME amount per unit</u>	<u>Minimum Period of Affordability</u>
Under \$15,000	5 years
\$15,000 to \$40,000	10 years
Over \$40,000	15 years

The Lake County Consortium may use HOME Program funds to provide homeownership assistance. The forms of subsidy to be used to assist homebuyers and/or developers include down payment assistance, interest subsidy, development cost subsidy, direct loan, project grant, or some combination of these methods. Lake County shall determine, based upon the type of subsidy, form of ownership, and type of market in which the property is located, whether the period of affordability shall be enforced by either Resale or Recapture provisions.

Resale Provisions

- A. Activity Types - Resale provisions as outlined in 24 CFR Part 92.254(a)(5)(i)(A) and (B) shall be required or encouraged in the following cases:
 - Resale provisions shall be required when the HOME subsidy is provided in the form of a development subsidy in which HOME funds are divided among each HOME-assisted unit and not provided as a direct subsidy to the homebuyer.
 - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer and a Community Land Trust or other similar entity maintains ownership of the HOME-assisted property to ensure its continued affordability in perpetuity.
 - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer and it is determined that the property is located in a highly appreciating market for the purpose of maintaining the unit's affordability throughout the entire period of affordability.
- B. Methods – The resale option ensures that the HOME-assisted unit remains affordable over the entire period of affordability. All HOME-assisted units “designated as affordable units” shall meet the following criteria:
 - The new purchaser must be low-income, meeting the HOME Program definition, and occupy the property as the family's principle residence.

- The sales price must be “affordable” to the new purchaser. In this instance, affordability of the new purchaser is defined as the income-eligible household’s maximum mortgage capacity as defined by a mortgage lender using generally-accepted underwriting criteria.
 - Net proceeds from the sale must provide the original homebuyer, now the home seller, a “fair return” on their investment (including any downpayment and capital improvement investment made by the owner since purchase).
- C. Enforcement Mechanisms - Resale requirements shall be enforced through deed restriction, covenant, land use restriction agreement, or other similar mechanism filed with the Lake County Recorder of Deeds and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.

Recapture Provisions

- A. Activity Types - Recapture provisions as outlined in 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (7) shall be enforced in cases where HOME funds are provided as a direct subsidy to the homebuyer as downpayment and/or purchase- price assistance.
- B. Methods – The recapture option allows Lake County to recapture the entire HOME subsidy if the HOME recipient decides to sell the unit within the period of affordability at whatever price the market will bear. All HOME-assisted unit sales under the recapture option shall meet the following criteria:
- The homebuyer may sell the property to any willing buyer.
 - The sale of the property during the period of affordability triggers repayment of the direct HOME subsidy to Lake County that the buyer received when he/she originally purchased the home.
- C. Enforcement Mechanisms - Recapture provisions shall be enforced through mortgage documents or other similar mechanisms filed with the Lake County Recorder of Deeds, and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.
- D. Amount of Repayment – Lake County requires full repayment of the direct HOME subsidy when resale occurs during the period of affordability.
- E. Sharing of Net Proceeds – When the net proceeds (i.e., the sales price minus loan repayment, other than HOME funds, and closing costs) are insufficient to repay the direct HOME subsidy and the homebuyer’s investment, the net proceeds shall be shared according to the following formula. Recapture of the HOME funds is based on the ratio of the HOME subsidy to the sum of the homeowner’s investment (including downpayment and any capital improvement investment made by the owner since purchase), plus the HOME subsidy:
- $$\frac{\text{HOME Subsidy}}{\text{HOME Subsidy} + \text{Homeowner Investment}} \times \text{Net Proceeds} = \text{HOME Recapture}$$
- F. Mortgage Release - Upon receipt of recaptured funds, Lake County shall file a “Release” document with the Lake County Recorder of Deeds to release the original HOME-assisted homebuyer from the requirements of the mortgage or other similar mechanism.

- G. Repayments – Repayments of recaptured funds shall be remitted directly to Lake County to be utilized for HOME-eligible activities only.

Communication of Resale/Recapture Provisions

HOME Resale and Recapture provisions are conveyed to the public and potential applicants during the application process through the Housing Fund Program Guidelines. In addition, funded project sponsors who administer homebuyer programs are informed of HOME Resale and Recapture provisions verbally at a Post-Award Conference and in writing in the Housing Fund Management Guide, which is provided to each project sponsor. Finally, the applicable Resale or Recapture provisions are incorporated into all HOME contract documents for homeownership projects/programs.

Appendix C: Residential Anti-Displacement and Relocation Assistance Plan

As a pre-submission requirement when applying for funding through the Community Development Block Grant Program (CDBG) and the HOME Investment Partnerships Program (HOME), the County is required to develop, adopt, make public, and certify that it is following the Residential Anti-Displacement and Relocation Assistance Plan. Recent amendments to the Housing and Community Development Act coupled with the expansion of the Uniform Relocation Act, effective April 1989 represent the strongest anti-displacement measures yet to be applied to HUD assisted activities.

The plan must address: (1) the County's policies to minimize and avoid displacement of persons from their homes and neighborhoods; (2) the replacement of any low- and moderate- income occupiable housing units that are demolished or converted to another use utilizing CDBG and/or HOME funds and any relocation that might be associated with the loss of those housing units; and (3) any relocation or displacement as a direct result of any federally-assisted project. A Glossary of Terms and a Summary of Appeals Process are attached.

1. Steps To Be Taken To Minimize The Displacement Of Persons From Their Homes

The following general steps will be taken by the County to avoid displacement or to mitigate its adverse effects if it is deemed necessary.

- Priority will be placed on the rehabilitation of housing, where feasible, to avoid the displacement of persons from their homes.
- Property acquisition will be highly selective, targeting only those properties deemed essential to the success of the project.
- Priority will be placed on the purchase of unoccupied buildings; if a suitable, unoccupied site cannot be found, priority will be given to purchasing an occupied property from a willing seller.
- When a property must be acquired quickly, for whatever reason, efforts will be made to avoid displacement until the property is actually needed.
- The acquisition and/or demolition of properties will be timed so as to allow the maximum amount of time for tenant or owner relocations.
- Temporary displacements will be for as brief a period of time as is possible.
- Except in emergency cases, owners or tenants of properties who may be displaced will be given at least a ninety-day notice prior to being required to vacate.
- In determining whether or not temporary relocation is necessary to facilitate the rehabilitation of a dwelling, the County will consider any hardships likely to result if the person occupies the property during the rehabilitation process.
- The County shall review all claims for relocation assistance in an expeditious manner. The claimant shall be promptly notified as to any additional documentation that is required to support his/her claim. Payment of the claim will be made within 30 days following receipt of sufficient documentation to support the claim.
- If a person demonstrates the need for an advance relocation payment in order to avoid or reduce a hardship, the County will issue the payment, subject to such safeguards as the County deems are appropriate, to ensure that the objective of the payment is accomplished.
- All persons to be displaced as a result of a federally-funded activity shall be offered relocation assistance advisory services as outlined in 49 CFR 24.205 of the Uniform Act.

II. Steps To Be Taken When Low- Or Moderate-Income Housing Units Are Demolished Or Converted To Another Use, As A Direct Result Of Activities Assisted With CDBG And/Or HOME Funds

A. One-For-One Replacement Component

Lake County will replace all occupied and vacant habitable low/moderate-income dwelling units demolished or converted to a use other than as low/moderate-income housing when they are lost as a direct result of activities assisted with funds provided under the Housing and Community Development Act of 1974, as amended, as described in 24 CFR 570.606(b)(1).

The replacement dwelling units may include public housing, existing housing receiving Section 8 project-based assistance or Section 8 vouchers, and units raised to standard from substandard condition through rehabilitation. All replacement housing will be provided within three years of the commencement of the demolition or rehabilitation relating to conversion. Before obligating or expending funds that will directly result in such demolition or conversion, the County of Lake will make public and submit to the HUD Field Office the following information in writing:

1. A description of the proposed assisted activity;
2. The general location on map and actual number and addresses of dwelling units by size (number of bedrooms) that will be demolished or converted to a use other than as low/moderate-income dwelling units as a direct result of the assisted activity;
3. A time schedule for the commencement and completion of the demolition or conversion;
4. The general location on a map and actual number and addresses of dwelling units by size (number of bedrooms) that will be provided as replacement dwelling units;
5. The source of funding and a time schedule for the provision of replacement dwelling units; and;
6. The basis for concluding that each replacement dwelling unit will remain a low/moderate-income dwelling unit for at least 10 years from the date of initial occupancy.

B. Relocation Assistance Component

Lake County will provide relocation assistance, as described in 24 CFR Part 570.606 (b)(2), to each low/moderate-income household displaced by the demolition of housing or by the conversion of a low/moderate-income dwelling unit to another use as a direct result of CDBG and/or HOME-assisted activities. The low/moderate income-household may elect to receive relocation assistance as described in 24 CFR Part 42 (Uniform Relocation Act as summarized in Part III of this document) or may elect to receive the following relocation assistance.

1. Moving expenses - Subject to the limitations and definitions contained in 49 CFR. Part 24, Subpart D, a displaced owner-occupant or tenant of a dwelling unit is entitled to either:
 - a) Reimbursement of the actual, reasonable, moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.

OR

- b) A fixed moving-expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.
2. The reasonable cost of any security deposit required to rent the replacement unit, and any credit checks required to rent or purchase the replacement unit.

3. Persons are eligible to receive one of the following two forms of replacement housing assistance
 - a) Each person must be offered rental assistance equal to 60 times the amount necessary to reduce the monthly rent and estimated average monthly cost of utilities for a replacement of dwelling (comparable replacement dwelling or decent, safe, and sanitary replacement dwelling to which the person relocates, whichever costs less). All or a portion of this assistance may be offered through a certificate or housing voucher for rental assistance (if available) provided through the local Public Housing Agency (PHA) under Section 8 of the United States Housing Act of 1937. If a Section 8 certificate or housing voucher is provided to a person, the County must provide referrals to comparable replacement dwelling units where the owner is willing to participate in the Section 8 Existing Housing Program. To the extent that case assistance is provided, it may, at the discretion of the County, be in either a lump sum or in installments.

OR

- b) If the person purchases an interest in a housing cooperative or mutual housing association and occupies a decent, safe, and sanitary dwelling in the cooperative or association, the person may elect to receive a lump sum payment. This lump sum payment shall be equal to the capitalized value of 60 monthly installments of the amount that is obtained by subtracting the "Total Tenant Payment" from the monthly rent and estimated average monthly cost of utilities at a comparable replacement dwelling unit.
4. Advisory Services - All eligible displaced households shall be provided appropriate advisory services, including notification of the planned project with a description of the relocation assistance provided, counseling, and referrals to at least one suitable comparable replacement dwelling.

III. Steps To Be Taken When Relocation Occurs From Acquisition, Rehabilitation, Or Demolition Activities Utilizing CDBG And/Or HOME Program Funds (Uniform Relocation Act)

The Uniform Relocation Act applies to all federal or federally-assisted activities that involve acquisition of real property or the displacement of persons, including displacement caused by rehabilitation or demolition activities funded by the Community Development Block Grant Program, or the HOME Program.

If, as a direct result of any CDBG- or HOME-funded activity, it becomes necessary to relocate persons, they shall be eligible for relocation benefits as outlined below (49 CFR Part 24, Subpart C-E):

A. Permanent Displacement

1. Moving Expenses - Subject to the limitation and definitions contained in 49 CFR. Subpart D, a displaced owner-occupant or tenant of a dwelling is entitled to either:
 - a) Reimbursement of actual, reasonable, moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.

OR

- b) A fixed moving-expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.

2. Advisory services as outlined in 49 CFR Part 24, Subpart C including notification of the planned project with a description of the relocation assistance provided, counseling and referrals to at least one comparable replacement dwelling.
3. Replacement Housing Payment - Subject to the limitations of 49 CFR Part 24 Subpart E of the Act, a displaced owner-occupant or tenant is eligible for one of the following replacement housing payment:
 - a) 180-Day Homeowner-Occupants
 - i. If the person has actually owned and occupied the displacement dwelling for not less than 180 days prior to the initiation of negotiations to acquire the property and purchases and occupies a replacement dwelling within one year, he/she is eligible for a replacement housing payment which represents the combined cost of (1) the differential amount, (2) increased interest costs, and (3) reasonable incidental expenses as outlined in Section 24.401;
OR
 - ii. If the person is eligible for this section, but elects to rent a replacement dwelling, he/she is eligible for a rental assistance payment computed in accordance with Section 24.401(a)(2)(ii) below:
 - b) 90-Day Occupants (Homeowners and Tenants) - A displaced tenant or owner-occupant, not qualified for the above 180-day homeowner-occupant payment, is eligible for either:
 - i. A rental assistance payment computed in the following manner:
 - 1) the lesser of either the monthly cost of rent and utilities for a comparable replacement dwelling or the monthly cost of rent and utilities for a decent, safe, and sanitary unit the person actually moves into.
 - 2) the lesser of either thirty percent of the person's average gross household income or the monthly cost of rent and utilities at the displacement dwelling.
 - 3) line (1) minus line (2)
 - 4) Sixty (60) times the amount of line (3)**OR**
 - ii. A down payment assistance payment for the person electing to purchase a home in the amount the person would receive paragraph (b)(i) above, if the person received rental assistance.
4. If the County determines the replacement housing payment, as outlined above and specifically in 49 CFR Part 24 Subpart E, would not be sufficient to provide a comparable replacement dwelling on a timely basis, the County will take appropriate measures as outlined in Subpart E 49 CFR 24.404. These measures may include but are not limited to, the following:
 - Rehabilitation of and/or additions to an existing replacement dwelling:

- Construction of a new replacement dwelling;
- Payment of a replacement housing payment in excess of the limits set forth in Subpart E, 49 CFR Part 24 and outlined in Section 24.401 (a)(2)(ii) of this document.

B. Temporary Relocation

1. If the owner and the County determine that a tenant in a building being rehabilitated under this program must be temporarily relocated in order to complete the rehabilitation work, the tenant will be eligible for reasonable moving expenses and increased housing costs for the time he/she is temporarily displaced.
2. If a person is required to relocate for a temporary period because of an emergency, which is a direct result of a CDBG or HOME funded activity, the County shall:
 - a) Take whatever steps necessary to ensure that the person is temporarily relocated to a decent, safe and sanitary dwelling; and
 - b) Pay the actual reasonable out-of-pocket expenses and any increases in rent and utilities; and
 - c) Make available as soon as feasible, at least one comparable replacement dwelling (for the purpose of filing a claim for relocation payment as described in Section III (A) of this document).
3. The County will determine who will be responsible for payment of the eligible costs. An agreement will be made between the property owner and the County, prior to the start of rehabilitation, stating who is responsible for each portion of the eligible costs outlined above. If the property owner does not pay a temporarily relocated or permanently displaced tenant the money due that tenant under these guidelines there will be no further distribution of County loan money until the County determines that the money due the tenant has been paid.

Glossary of Terms

Comparable Replacement Dwelling - A dwelling unit that is:

- Decent, safe, and sanitary. The dwelling must meet the County's housing code requirements. Dwellings outside the County must meet the Section 8 Housing Quality Standards;
- Functionally equivalent to and substantially the same as the acquired dwelling with respect to the number of rooms and area of living space (but not excluding new construction nor excluding a larger dwelling necessary to comply with decent, safe and sanitary criteria stated above);
- Adequate in size to accommodate the occupants;
- Demonstrated to be available to all persons regardless of race, religion, familial status, sex or national origin in a manner consistent with the requirements of Title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et.seq.), and available without discrimination based on source of income (e.g. welfare or alimony);
- In an area not subject to unreasonable adverse environmental conditions from either natural or man-made sources and in an area not generally less desirable than that of the acquired dwelling with respect to public utilities and public and commercial facilities;
- Reasonably accessible to the place of employment of the displaced person or, if unemployed (but employable) reasonably accessible to sources of employment;

- Currently available to the displaced person on the private market, however, a comparable replacement dwelling for a person receiving government housing assistance before displacement may reflect similar government housing assistance.

Displaced Person – Any family or individual that is required to move permanently and involuntarily as a direct result of an assisted activity. The term includes a residential tenant who moves from the real property if the tenant has not been provided a reasonable opportunity to lease and occupy a decent, safe and sanitary dwelling in the same building or in a nearby building on the real property following completion of an assisted activity at a monthly rent/utility cost that does not exceed the greater of:

- 30 percent of the tenant household's average monthly gross income, or
- The tenant's monthly rent and average cost for utilities before the owner requested financial assistance.
- A residential tenant who is required to move to another unit in the property or is required to relocate temporarily, but is not reimbursed for his/her reasonable out of pocket expenses, would also be considered a "displaced person" if he/she moves from the real property permanently.

Low/Moderate-Income Dwelling - A dwelling unit with a market rent (including utility costs) that does not exceed Fair Market Rent for existing housing established under 24 CFR Part 888 (Section 8). This term does not include a unit that is owned and occupied by the same person before and after the assisted rehabilitation.

Occupiable Dwelling Unit - A dwelling unit that is in a standard condition or in a substandard condition, but suitable for rehabilitation. A "vacant occupiable low/moderate-income dwelling unit" requires replacement of units in any condition which are occupied (except by a squatter) at any time within the period beginning one year before the date of execution of the agreement covering the rehabilitation or demolition (e.g., the agreement between the subgrantee/recipient and the owner of the building to be rehabilitated).

Standard Dwelling Unit - A dwelling unit with 0-5 minor housing code violations.

Substandard Dwelling Unit - Any housing unit with more than 6 minor housing code violations or any structural systems violations.

Substandard Dwelling Unit Suitable for Rehabilitation:

- All basic structural components of the subject unit must be determined to be sound. If the building has severe structural damage and the cost of repair would exceed the fair market value of the dwelling unit after rehabilitation, the building would be considered to be not suitable for rehabilitation.
- The cost of rehabilitation should not exceed the fair market value of the dwelling unit after rehabilitation.
- The rehabilitation activities should extend the usable life of the dwelling unit for at least five years.

The HUD Inspector General's Office has agreed to use the Internal Revenue Service's definition of what constitutes substantial rehabilitation and what is considered to be new construction. Lake County will abide by this definition when attempting to determine whether or not a substantially substandard unit is suitable for rehabilitation. The IRS regulations state that in order for a unit to be considered eligible for

rehabilitation and not be considered new construction, 75% or more of the existing external walls of the structure must be retained in place as external walls in the rehabilitation process.

Summary of Appeals Process

In computing the benefits to which an individual or family is entitled, a complex set of regulations and rules must be followed. Each individual will be individually apprised of the regulations governing their claim.

Individuals, families or businesses who have received notification that they may be permanently displaced as a result of a federally-funded County CDBG or HOME project should immediately contact the County's Community Development Division for more specific information regarding their eligibility for relocation benefits. Any questions regarding Lake County's CDBG or HOME Relocation Policy or Program should be directed to:

Deputy Director – Lake County Community Development Division
Planning, Building & Development Department
18 N. County Street – 6th Floor
Waukegan, Illinois 60085
(847) 377-2150

As outlined at 24 CFR Part 42.10 of the Uniform Act, if a claimant does not agree with the County's Planning Department's determination as to eligibility, amount of relocation payments, or other relocation benefits, a written appeal to the County Administrator's office may be made within 90 days of the claimant's notification of eligibility and benefits. Lake County shall promptly make a written determination on the appeal, including an explanation of the basis on which the decision was made, and furnish the claimant with a copy. If differences still remain, he/she may file a written request for review of the County's decision to the HUD Field office. In all cases, the individual may appeal to a court of law and may be represented by legal counsel at his or her own expense.

Appendix D: Performance Measurements

Performance Measures for Lake County

1.0 Consortium Housing Goals & Strategies

CHDO Operating Funds

Project	Amount	Objective	Outcome	Proposed Indicator
Habitat for Humanity Lake County	\$21,269 HOME	Decent Housing	Availability /Accessibility	N/A
Highland Park Illinois Community Land Trust	\$21,269 HOME	Decent Housing	Availability /Accessibility	N/A
Lake County Residential Development Corporation	\$20,000 HOME	Decent Housing	Availability /Accessibility	N/A
YouthBuild Lake County	\$21,269 HOME	Decent Housing	Availability /Accessibility	N/A

1.1 Fair Housing

Project	Amount	Objective	Outcome	Proposed Indicator
Fair Housing Center of Lake County	\$65,622 CDBG	Decent Housing	Availability /Accessibility	215 Households
Total				215 Households

1.2 Rehabilitation

Project	Amount	Objective	Outcome	Proposed Indicator
Affordable Housing Corporation of Lake County – Lake County	\$171,396 CDBG	Decent Housing	Availability /Accessibility	18 Units
Artspace Projects, Inc.	\$500,000 HOME	Decent Housing	Availability /Accessibility	16 Units
City of North Chicago	\$175,995 HOME	Decent Housing	Availability /Accessibility	6 Units
City of Waukegan	\$200,526 HOME	Decent Housing	Availability /Accessibility	5 Units
Highland Park Illinois Community Land Trust	\$325,000 HOME	Decent Housing	Availability /Accessibility	5 Units
Total				50 Units

1.3 New Construction

Project	Amount	Objective	Outcome	Proposed Indicator
Habitat for Humanity Lake County	\$120,000 HOME	Decent Housing	Availability /Accessibility	4 Units

Total 4 Units**1.4 Homebuyer Assistance**

Project	Amount	Objective	Outcome	Proposed Indicator
Affordable Housing Corporation of Lake County - APM	\$100,000 HOME	Decent Housing	Affordability	15 Units
Affordable Housing Corporation of Lake County - Waukegan	\$150,000 HOME	Decent Housing	Affordability	50 Units

Total 65 Units**2.0 Homeless Goals & Strategies****2.1 Emergency Shelter**

Project	Amount	Objective	Outcome	Proposed Indicator
Catholic Charities	\$25,000	Suitable Living Environment	Availability /Accessibility	28 Beds
Lake County Haven	\$16,330	Suitable Living Environment	Availability /Accessibility	10 Beds
Most Blessed Trinity	\$16,330	Suitable Living Environment	Availability /Accessibility	24 Beds
PADS Crisis Services	\$25,000	Suitable Living Environment	Availability /Accessibility	160 Beds

Total 222 Beds**2.5 Homelessness Prevention & Outreach**

Project	Amount	Objective	Outcome	Proposed Indicator
Prairie State Legal Services	\$25,000	Suitable Living Environment	Availability /Accessibility	140 Households

Total 140 Households

3.1 Public Facilities

3.1.1 Roadway Improvements

Project	Amount	Objective	Outcome	Proposed Indicator
Antioch	\$90,698	Suitable Living Environment	Sustainability	2,392 Households (944 Low/Mod)
Beach Park	\$80,820	Suitable Living Environment	Sustainability	2,805 Households (1,248 Low/Mod)
Fox Lake	\$90,698	Suitable Living Environment	Sustainability	1,063 Households (603 Low/Mod)
Park City	\$100,576	Suitable Living Environment	Sustainability	998 Households (880 Low/Mod)
Round Lake Beach	\$80,820	Suitable Living Environment	Sustainability	5,983 Households (2,425 Low/Mod)
Wauconda	\$80,820	Suitable Living Environment	Sustainability	1,101 Households (444 Low/Mod)
Zion	\$100,576	Suitable Living Environment	Sustainability	1,332 Households (814 Low/Mod)
				15,674 Households
				Total (7,358 Low/Mod)

3.1.2 Water Supply System Improvements

Project	Amount	Objective	Outcome	Proposed Indicator
Highwood	\$119,350	Suitable Living Environment	Sustainability	1,334 Households (661 Low/Mod)
Island Lake	\$99,975	Suitable Living Environment	Sustainability	85 Households (39 Low/Mod) *Survey*
Lake County Public Works	\$80,820	Suitable Living Environment	Sustainability	1,169 Households (436 Low/Mod)
				2,588 Households
				Total (1,136 Low/Mod)

3.1.3 Sanitary Sewer System Improvements

Project	Amount	Objective	Outcome	Proposed Indicator
Round Lake Park	\$109,853	Suitable Living Environment	Sustainability	1,831 Households (1,016 Low/Mod)
				1,831 Households
				Total (1,016 Low/Mod)

3.1.4 Drainage Improvements

Project	Amount	Objective	Outcome	Proposed Indicator
Antioch Township	\$80,820	Suitable Living Environment	Sustainability	993 Households (380 Low/Mod)
Grant Township	\$63,758	Suitable Living Environment	Sustainability	2,303 Households (1,036 Low/Mod)
Mundelein	\$80,820	Suitable Living Environment	Sustainability	1,701 Households (986 Low/Mod)
Round Lake	\$99,975	Suitable Living Environment	Sustainability	1,530 Households (599 Low/Mod)
Round Lake Heights	\$99,975	Suitable Living Environment	Sustainability	2,391 Households (1,056 Low/Mod)
Total				8,918 Households (4,057 Low/Mod)

3.2 Physiological Needs

3.2.1 Basic Food & Nutrition Needs

Project	Amount	Objective	Outcome	Proposed Indicator
COOL	\$45,000	Suitable Living Environment	Availability /Accessibility	19,200 Households
Total				19,200 Households

3.3 Safety & Security Needs

3.2.1 Security of Employment

Project	Amount	Objective	Outcome	Proposed Indicator
Lake County Center for Independent Living	\$30,000	Economic Opportunity	Availability /Accessibility	80 Persons
Total				80 Persons

3.3.2 Access to Services

Project	Amount	Objective	Outcome	Proposed Indicator
ElderCARE@ChristChurch	\$25,000	Suitable Living Environment	Availability /Accessibility	130 Elderly Persons
Total				130 Elderly Persons

3.3.3 Security of Family & Social Stability

Project	Amount	Objective	Outcome	Proposed Indicator
CASA Lake County	\$30,000	Suitable Living Environment	Availability /Accessibility	540 Youth
ChildServ	\$35,000	Suitable Living Environment	Availability /Accessibility	250 Persons
Lake County Sheriff's Office	\$25,000	Suitable Living Environment	Availability /Accessibility	1,200 Persons
Lake County State's Attorney's Office	\$39,331	Suitable Living Environment	Availability /Accessibility	1,000 Persons
Tri-Con Child Care Center	\$35,000	Suitable Living Environment	Availability /Accessibility	60 Persons
Total				3,050 Youth/Persons

3.3.4 Behavioral Health

Project	Amount	Objective	Outcome	Proposed Indicator
CREW, Inc.	\$45,000	Suitable Living Environment	Availability /Accessibility	500 People
Family Service: P,E & C	\$25,000	Suitable Living Environment	Availability /Accessibility	1,000 People
Total				1,500 People

Performance Measures for the City of North Chicago

4.2 Infrastructure Improvements

4.2.1 Roadway, Water Supply, Sanitary Sewer and Drainage System Improvements

Project	Amount	Objective	Outcome	Proposed Indicator
Street & Alley Improvement Program	\$60,000	Suitable Living Environment	Sustainability	1900 LF
Sidewalk Program	\$10,000	Suitable Living Environment	Sustainability	2283 LF
Total				4183 LF

4.3 Public Services

4.3.2 Substance Abuse Services

Project	Amount	Objective	Outcome	Proposed Indicator
Nicasa	\$5,000	Suitable Living Environment	Availability	175 People
Total				175 People

4.3.3 Youth Services

Project	Amount	Objective	Outcome	Proposed Indicator
YouthBuild Lake County	\$5,000	Suitable Living Environment	Availability	40 Youth
YWCA of Lake County	\$5,000	Suitable Living Environment	Availability	175 Youth
Total				215 Youth

4.3.4 Parental Supportive Services

Project	Amount	Objective	Outcome	Proposed Indicator
Catholic Charities Family Self-Sufficiency Program	\$5,000	Suitable Living Environment	Availability	36 Households
Total				36 Households

4.3.6 Senior Services

Project	Amount	Objective	Outcome	Proposed Indicator
Waukegan Township – Home Sweet Home	\$5,000	Suitable Living Environment	Availability	61 Elderly Persons
Total				61 Elderly Persons

4.3.7 Basic Needs Assistance

Project	Amount	Objective	Outcome	Proposed Indicator
I-PLUS CO-RP Program	\$5,000	Suitable Living Environment	Availability	25 People
Northern Illinois Food Bank	\$5,000	Suitable Living Environment	Availability	400 Youth
Christian Outreach of Lutherans	\$5,000	Suitable Living Environment	Availability	19,200 People
Daisy's Resource Development Center	\$5,000	Suitable Living Environment	Availability	10 Youth
Total				19,635 Youth & People

4.3.8 Employment Assistance

Project	Amount	Objective	Outcome	Proposed Indicator
Employment Assistance Program	\$32,554	Economic Opportunity	Availability/ Accessibility	
Total				

4.4 Economic Development

4.4.1 Real Estate Development

Project	Amount	Objective	Outcome	Proposed Indicator
Façade Improvement Program	\$45,000	Economic Opportunity	Affordability	
Total				

Performance Measures for the City of Waukegan

Policy	Planning		Intervention		Impact		Accountability	
1	2	3	4	5	6	7	8	9
HUD National Objectives/ Outcomes	Problem, Need, or Situation	Waukegan Service / Activity	Benchmarks		Outcomes		Measurement, Reporting Tools	Evaluation Process
			2010-2014 Output Goal	Output Result	Outcome Goals	End Results		
Increase Sustainability to aid in the prevention or elimination of slums or blight	Need to improve the conditions, quality & availability of a verity of facilities to revitalize the Downtown area with new business and rehabbing older structures thereby improving the aesthetics of the downtown.	5.1.1 Downtown Revitalization	Promote & Assist in the revitalization effort & accept applications for CDBG funding for appropriate facilities improvement.		Provide visible, tangible & planned improvements to the condition of the downtown area to support economic revitalization. Approximately 50% of currently vacant dilapidated storefronts will be rehabilitated and occupied, along with environmental improvement over the next 5 years.		Quarterly Reports, desk monitoring and site visits	
Increase Sustainability of benefit to low and moderate income person	Improve & upgrade the condition & quality of infrastructure in aging LM section in Waukegan.	5.1.2 Infrastructure Improvements	Accept applications for CDBG funding for infrastructure improvements in low income residential areas, and support the continued use of City funds to make infrastructure improvements		Improve the conditions & safety of public infrastructure, particularly in LM areas. Approximately 40% of the unimproved infrastructure will be updated by 2010.		Quarterly Reports, desk monitoring and site visits	

Increase Sustainability of benefit to low and moderate income person	Improve the condition, quality & availability of Parks & Green Space within neighborhoods & improve the aesthetics of the community and maintain a healthy community.	5.1.3 Recreation & Service-oriented Facilities	Support & encourage public & private investment in facilities of all types & accept applications for CDBG funding for facilities that support community needs.		To provide a variety of service & recreational facilities that offers numerous options for a multitude of participatory activities & programs. Increase number of recreational & service-oriented facilities, along with additional green space to improve aesthetics in blighted neighborhoods.		Quarterly Reports, desk monitoring and site visits	
Increase Availability/Accessibility of benefit to low and moderate income person	Overcome the barrier of existing older structures built prior to the development of the Americans with Disabilities Act (ADA) guidelines, upgrade older buildings to ADA when rehabbing.	5.1.4 Accessibility for People with Disabilities	Support ADA compliant improvements to all types of structures, serve as a resource for information regarding ADA compliance, & accept applications for CDBG funds for improvements to public accommodations in compliance with ADA.		Reduce the number of barriers that prevent equal access to people with disabilities. Approximately 50% of buildings with existing barriers to the disabled will become accessible.		Quarterly Reports, desk monitoring and site visits	

Increase Availability/ Accessibility of benefit to low and moderate income person	Need to address provision for youth services & increase supervised educational & recreational activities for at- risk youth to ensure the best interest and safety of homeless, abused, neglected or other special needs are met.	5.2.1 Youth Services	Support public & private investment & volunteerism in numerous types of supportive, protective, recreational & educational activities for youth throughout the community on a year- round basis & accept applications for CDBG funding for activities that benefit youth from low- income families and those at-risk.		To have a variety of educational & recreational activities & programs available to Waukegan youth & to have services available which protects the best interests of all children, particularly those at-risk. More youth will report participating in supervised activities conducted in safe environments both after school & during the summer. Youth services agencies will report a reduction in the number & improvement in the attitude of homeless, abused and neglected youth.		Quarterly Reports, desk monitoring and site visits	
Increase Availability/ Accessibility of benefit to low and moderate income person	Need to invest & support public services & agencies that provide services that promotes self-sufficiency	5.2.2 Senior Services	Support public & private investment & volunteerism in activities available to senior citizens which help them remain self-sufficient, & accept applications for CDBG funding for activities & programs that benefit seniors, including healthcare agencies, & programs providing free or reduced prescriptions.		To have a variety of services available to seniors that will help them to remain independent, including available and accessible healthcare along with assistance for medical expenses. A larger number of seniors will have available to them assistance with healthcare needs and medical expenses. A larger number of seniors will maintain their self-sufficiency status for a longer period of time.		Quarterly Reports, desk monitoring and site visits	

Increase Availability/ Accessibility of benefit to low and moderate income person	Need to invest & support public services & agencies that provide services that promotes self-sufficiency	5.2.3 Basic Needs Assistance	Support public & private investment & volunteerism in programs & activities that help provide for the basic needs of individuals & families & accept applications for CDBG funding for activities & programs that aid & promote self-sufficiency.		Opportunities for all people to have, at a minimum, their basic needs met. Goals will also include efforts to create and retain employment opportunities to assist individuals in obtaining or maintaining self-sufficiency. The number of repeat visits to homeless shelters, food pantries, and unemployment agencies will decrease by 40%.		Quarterly Reports, desk monitoring and site visits	
Increase Availability/ Accessibility of benefit to low and moderate income person	Need to invest & support police presence to effectively approach criminal activity in LM areas where police and neighbors work together to effectively reduce crime.	5.2.4 Community Safety	Support the efforts of the Community Oriented Policing Program within the Community Services Division of WPD through planning and funding.		Have Effective Community-Oriented Policing and a pervasive feeling of safety within neighborhoods. Community Police will report a decrease in the number of neighborhood crimes being committed.		Quarterly Reports, desk monitoring and site visits	
Increase Availability/ Accessibility of benefit to low and moderate income person	Need to invest & support public services & agencies that provide services that promotes self-sufficiency	5.2.5 Other Public Services	Support & encourage public & private investment & volunteerism in services of all types that benefit people community wide & accept applications for CDBG funding for services that address the specific funding goals of CDBG programs & meet needs of LM individuals		Have services available to meet all types of needs. Elevation in self-esteem, which will be reflected through more individuals striving toward being self-sufficient, realizing their potential to be productive, and open expressions of aspirations to be contributing members of society and their community.		Quarterly Reports, desk monitoring and site visits	

Increase Availability/ Accessibility of benefit to low and moderate income person	Need to reduce blighted & safety hazards in LM areas due to older & neglected structures & properties.	5.3 Code Enforcement	Support programs that are operated by the Code Compliance division of the Waukegan Building Dept. through planning & funding.		Improve the safety and appearance of neighborhoods. Blight and safety hazards will be reduced by approximately 40% in low-income areas.		Quarterly Reports, desk monitoring and site visits	
Establish high standards of ethics, management, & accountability to benefit to low and moderate income person	Ensure effective management of CDBG funding & explore innovative methods of spending & leveraging of federal resources	5.4 Planning & Administration	Professionally manage entitlement grant funds in accordance with all applicable regulations & remain abreast of all pertinent changes & developments related to CDBG grant programs. Use Ecivic programs to aid in targeting more grants for the community.		Make the most effective use of CDBG funding and benefit the community with the greatest impact possible. More partnerships will be formed, and available funds will be channeled toward more innovative and more productive activities.		Quarterly Reports, desk monitoring and site visits	

Appendix E: Lake County Certifications



General Certifications

Specific CDBG Certifications

Optional Certification CDBG

Specific HOME Certifications

Specific ESG Certifications

Appendix to Certifications

GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

Chair, Lake County Board
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2010 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Chair, Lake County Board**Title**

Optional Certification CDBG

Submit the following certification only when one or more of the activities in the *Annual Action Plan* are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the *Annual Action Plan* includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Chair, Lake County Board
Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature/Authorized Official

Date

Chair, Lake County Board

Title

Specific ESG Certifications

The Emergency Shelter Grantee certifies that:

Major rehabilitation/conversion -- It will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 10 years. If the jurisdiction plans to use funds for rehabilitation (other than major rehabilitation or conversion), the applicant will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 3 years.

Essential Services and Operating Costs -- Where assistance involves essential services or maintenance, operation, insurance, utilities, and furnishings, it will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served.

Renovation -- Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services -- It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living, and other Federal, State, local, and private assistance.

Matching Funds -- It will obtain matching amounts required under 24 CFR 576.51.

Confidentiality -- It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement -- To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, operating facilities, and providing services assisted through this program.

Consolidated Plan -- It is following a current HUD-approved Consolidated Plan or CHAS.

Discharge Policy -- It has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

HMIS -- It will comply with HUD's standards for participation in a local Homeless Management Information System and the collection and reporting of client-level information.

Signature/Authorized Official

Date

Chair, Lake County Board

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Lake County Department of Planning, Building and Development
18 North County Street, Waukegan, Lake, Illinois, 60085

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendix F: North Chicago Certifications



General Certifications

Specific CDBG Certifications

Appendix to Certifications

GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

Mayor
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2010 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Mayor
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

City of North Chicago

1850 Lewis Avenue, North Chicago, Lake, Illinois, 60064

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendix G: Waukegan Certifications



General Certifications

Specific CDBG Certifications

Appendix to Certifications

GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

Mayor
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2010 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Mayor
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Various locations throughout the City of Waukegan, specifically its City Hall located at 100 North Martin Luther King Jr. Avenue, Waukegan, Lake, Illinois 60085-4316

Check ☐ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendix H: Cross-Reference of HUD Requirements & Lake County Consortium's 2010 Annual Action Plan

The following chart provides a cross-reference of HUD Requirements with information in the Lake County Consortium's *2010 Annual Action Plan*.

Section	HUD Requirement	Page	Description
91.105 and 91.200(b)	CITIZEN PARTICIPATION		
	Description of the development of the Action Plan and efforts to broaden local and regional public participation, including the names of organizations (institutions, businesses, developers, community, and faith-based organizations) involved in the development of the Action Plan.	21 - 22 75 - 80	Also see the April 2009 Citizen Participation Plan.
	Summary of the citizen participation and consultation process, and the public hearing comment period requirements.	21 - 22	See "Citizen Participation and Annual Action Plan Process".
	Summary of citizen comments or views included in the plan (accepted or not accepted) and if the comments were specifically and adequately addressed by the grantee (and the reasons why, if they were not accepted).	A-1 to A-6	See "Appendix A: Summary of Public Comments on the Proposed Annual Action Plan."
	Description of the lead agency or entity responsible for overseeing the development of the Action Plan.	75	See "Lake County Consortium" and "Lake County" portions of the "Institutional Structure and Coordination of Resources".
91.220	ACTION PLAN		
	Standard 424 Forms for the applicable programs included with correct dollar allocations and signed by the appropriate officials.	Front of application packet	
	DUNS Number listed in the Standard 424 Forms.	Front of application packet	
	Description of the geographic areas of the jurisdiction (including areas of low income and/or racial/minority concentration).	15 - 18	See "Lake County", "Lake County Waukegan Area Insert", "North Chicago", and "Waukegan" geographic maps.
	Description of the basis for allocating investments geographically within the jurisdiction and the rationale for assigning priorities.	22 - 24	<ul style="list-style-type: none"> See "Grant/Loan Application Process", "Grant/Loan Allocation Process", and "Geographic Distribution" sections of the Annual Action

			Plan. <ul style="list-style-type: none"> See 2010 – 2014 Consolidated Plan for the rationale for assigning priorities.
91.220 (b)	EXECUTIVE SUMMARY		
	Provision of an Executive Summary that includes objectives and outcomes identified in the Action Plan.	1 - 4	
91.220 (c1)	RESOURCES		
	Description of the Federal Resources (including Section 8 funds, Low-Income Housing Tax Credits, and McKinney-Vento Homeless Assistance Programs) and private and non-Federal public resources expected to be available to address priority needs and specific objectives identified in the Action Plan.	24, 26 - 68	See “Other Resources” section of the Annual Action Plan, along with individual Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities under Goals 1.0, 2.0, 3.0, 4.0, and 5.0.
	Description of HOME and/or ESG matching requirements.	24, 26 - 68	See “Other Resources” section of the Annual Action Plan, along with individual Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities under Goals 1.0, 2.0, 3.0, 4.0, and 5.0.
91.220 (c3) and 91.220 (d)	ACTION PLAN ACTIVITIES		
	Complete description of CDBG/HOME/ESG funded activities.	26 - 68	See Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities under Goals 1.0, 2.0, 3.0, 4.0, and 5.0.
	Identification/Summary of priorities and specific annual objectives that will be addressed.	26 - 68 D-1 to D-12	<ul style="list-style-type: none"> See individual project bullet point descriptions for bolded Consolidated Plan priorities and individual “Proposed Outcomes”. See “Appendix D: Performance Measurements”.

	Relationship to the priority needs identified/local specific objectives as listed in Consolidated Plan.	26 - 68	See individual project bullet point descriptions for bolded Consolidated Plan priorities.
	Description of proposed activities (in sufficient detail) that includes number and type of families that will benefit from the proposed activities and locations (so citizens know the degree to which they may be affected).	26 - 68	See individual Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities under Goals 1.0, 2.0, 3.0, 4.0, and 5.0.
91.220 (e) OUTCOME MEASURES			
	Identification of outcome measures for activities in accordance with Federal Register notification (of March 7, 2006) for the general objective categories (decent housing, suitable living environment, or economic opportunity) and for the general outcome categories (availability/accessibility, affordability, or sustainability).	25 26 - 68 D-1 to D-12	<ul style="list-style-type: none"> • See "Performance Measurement" section of the Annual Action Plan. • See individual "Project Outcomes" listed for each Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities under Goals 1.0, 2.0, 3.0, 4.0, and 5.0. • See "Appendix D: Performance Measurements."
EXPENDITURE LIMITS			
	Identification of the 20% administrative cap for CDBG.	Lake County (10) North Chicago (12) Waukegan (14)	See "Notes Regarding the CDBG Program Funding Recommendation Tables"
	Identification of the 15% public service cap for CDBG.	Lake County (10) North Chicago (12) Waukegan (14)	See "Notes Regarding the CDBG Program Funding Recommendation Tables"
	Identification of the 10% administrative cap for HOME.	6	See "Notes Regarding the HOME Program Funding"

			Recommendation Table"
	Identification of the 5% CHDO Operating Fund set-aside for HOME.	6	See "Notes Regarding the HOME Program Funding" Recommendation Table"
	Identification of the 15% CHDO set-aside for HOME.	6	See "Notes Regarding the HOME Program Funding Recommendation Table"
91.220 (f) GEOGRAPHIC DISTRIBUTION/ALLOCATION PRIORITIES			
	Identification of geographic areas of directed assistance (narrative, maps, or tables) including areas of low income.	15 - 18	See "Lake County", "Lake County Waukegan Area Insert", "North Chicago", and "Waukegan" geographic maps.
	Description of the areas, including areas of minority concentration, of directed assistance.	15 - 18	See "Lake County", "Lake County Waukegan Area Insert", "North Chicago", and "Waukegan" geographic maps.
	Estimate of the percentage of funds it plans to dedicate in target areas.		100% of Lake County, North Chicago, and Waukegan CDBG, HOME, and ESG funded projects benefit persons of low and moderate income.
91.220 (g) AFFORDABLE HOUSING GOALS			
	One-year goals for the number of homeless, non-homeless, and special-needs households to be provided affordable housing units.	26 - 40 D-1 to D-12	<ul style="list-style-type: none"> See Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0 and 2.0. See "Appendix D: Performance Measurements."
	One-year goals for the number of households to be provided affordable housing units through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of existing units.	26 - 36	See "Consortium Housing Activities" under Goal 1.0.
91.220 (h) PUBLIC HOUSING			
	Included actions that address the following (if applicable): <ul style="list-style-type: none"> needs of public housing, public housing improvements and resident initiatives assist troubled public housing agencies 	33 - 34 34 - 35	<ul style="list-style-type: none"> See "Public Housing Authority (PHA) Capital Fund Program" section of the Annual Action Plan. See "Public Housing Resident Initiatives" section of the Annual Action Plan.

91.220 (i) HOMELESS AND OTHER SPECIAL NEEDS ACTIVITIES			
	Homeless prevention activities proposed in the Action Plan.	37 - 40	See "Consortium Homeless Activities" under Goal 2.0.
	Emergency shelter, transitional housing, programs to assist in the transition to permanent housing and independent living proposed in the Action Plan.	37 - 40	See "Consortium Homeless Activities" under Goal 2.0.
	Supportive housing activities being undertaken to address the priority housing needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, persons with HIV/AIDS, or persons with alcohol or other substance abuse problems).	26 - 36	See "Consortium Housing Activities" under Goal 1.0.
	Identification of specific action steps to end chronic homelessness.	37 - 40	See "Consortium Homeless Activities" under Goal 2.0.
91.220 (k) OTHER ACTIONS			
	Other proposed actions which will address the following (if applicable): <ul style="list-style-type: none"> • foster and maintain affordable housing • public housing improvements and resident initiatives • evaluation and reduction of lead-based hazards • reducing the number of persons below the poverty line • developing institutional structures/enhancing coordination between public and private housing and social service agencies 	26 - 36 33 - 35 35 - 36 69 75 - 80	In addition to specific page references shown to the left, also see the Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities under Goals 1.0, 2.0, 3.0, 4.0, 5.0, and the "Anti-Poverty Strategy" and "Institutional Structure and Coordination" sections of the Annual Action Plan.
91.220 (l) PROGRAM SPECIFIC REQUIREMENTS			
CDBG			
	Total amount of funds allocated = (amount of grant entitlement + program income + reprogrammed funds).	Lake County (8 - 9) North Chicago (11 - 12) Waukegan (13 - 14)	See the CDBG Program summations for the Lake County, North Chicago, and Waukegan CDBG Recommendation Tables.
	Identification of the amount of CDBG funds that will be used for activities that benefit persons of low and moderate income.		100% of Lake County, North Chicago, and Waukegan CDBG-funded projects benefit persons of low and moderate income.
HOME			
	Description of other forms of investment and homebuyer-funded guidelines for resale and recapture funds.	24, 26 - 36	<ul style="list-style-type: none"> • Specific sources of other financial resources are identified for housing

		B-1 to B-3	<p>projects in the individual activity descriptions, as the difference between the HOME grant and the total project costs.</p> <ul style="list-style-type: none"> See "Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers".
	Refinancing guidelines (if HOME funds are used to refinance existing debt secured by HOME-funded rehabilitation of multifamily housing.	N/A	
	Description of homeownership-funded guidelines for resale and recapture funds that ensures affordability of units acquired with HOME funds.	B-1 to B-3	See "Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers".
	Description of local market conditions that led to use of HOME funds for a tenant-based rental-assistance program.	N/A	
	Description of policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units.	28	See "Section 1.1, Fair Housing/Affirmatively Furthering Fair Housing."
	Description of actions taken to establish and oversee a Minority Outreach Program.	25	See "Minority Outreach Program" paragraph.
ADDI (if applicable)			
	Description of planned use of ADDI funds.	N/A	
	Targeted Outreach Plan to residents, tenants, and families of public and manufactured housing and to other families assisted by public housing agencies.	N/A	
	Description of the actions taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as a provision of housing counseling to homebuyers.	N/A	
91.230 MONITORING			
	Description of standards and procedures to monitor activities carried out in furtherance of the Action Plan.	70 - 74	See "Monitoring Standards and Procedures" of the Annual Action Plan.
	Description of actions to be taken to monitor its performance in meeting its Consolidated Plan goals and objectives.	70 - 74	See "Monitoring Standards and Procedures" of the Annual Action Plan.
	Description of steps and actions being taken to insure compliance with program requirements, including requirements involving the timeliness of expenditures.	70 - 74	See "Monitoring Standards and Procedures" of the Annual Action Plan.
	Description of steps and actions taken to ensure long-term compliance with housing codes, including any actions or on-site inspections.	70 - 74	<ul style="list-style-type: none"> See "Monitoring Standards and Procedures" of the

		51	Annual Action Plan. • See the Affordable Housing Corporation of Lake County HOME-related inspection project.
	Description of actions taken to monitor its subrecipients (including sponsors or administering agents).	70 - 74	See "Monitoring Standards and Procedures" of the Annual Action Plan.
91.225	CERTIFICATIONS (general and specific certifications for each program)		
	General		
	Affirmatively furthering fair housing	E-2 F-2 G-2	Included In Lake County, North Chicago, and Waukegan Certifications.
	Anti-displacement and relocation plan	E-2 F-2 G-2	Included In Lake County, North Chicago, and Waukegan Certifications.
	Drug-free workplace	E-2 F-2 G-2	Included In Lake County, North Chicago, and Waukegan Certifications.
	Anti-lobbying	E-3 F-3 G-3	Included In Lake County, North Chicago, and Waukegan Certifications.
	Authority of Jurisdiction	E-3 F-3 G-3	Included In Lake County, North Chicago, and Waukegan Certifications.
	Consistency with Consolidated Plan	E-3 F-3 G-3	Included In Lake County, North Chicago, and Waukegan Certifications.
	Section 3	E-3 F-3 G-3	Included In Lake County, North Chicago, and Waukegan Certifications.
	CDBG**		
	Citizen Participation	E-4 F-4 G-4	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Community Development Plan	E-4 F-4 G-4	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Following the Plan	E-4 F-4 G-4	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Use of Funds	E-4	Included In Lake County,

		F-4 G-4	North Chicago, and Waukegan Specific CDBG Certifications.
	Excessive Force	E-5 F-5 G-5	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Compliance with anti-discrimination law	E-5 F-5 G-5	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Compliance with lead-based paint procedures	E-5 F-5 G-5	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Compliance with Laws	E-5 F-5 G-5	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	** Certification period for the CDBG program's overall benefit requirements must be consistent with the period certified in the prior certification	E-4 F-4 G-4	"2010" for Lake County, North Chicago, and Waukegan.
ESG			
	Not less than 10 years	E-8	Included in ESG Certifications
	Not less than 3 years	E-8	Included in ESG Certifications
	Essential Services/Operating Costs Provision	E-8	Included in ESG Certifications
	Renovation that is Safe and Sanitary	E-8	Included in ESG Certifications
	Supportive Services	E-8	Included in ESG Certifications
	Match Requirements	E-8	Included in ESG Certifications
	Confidentiality	E-8	Included in ESG Certifications
	Employing or Involving the Homeless	E-8	Included in ESG Certifications
	Compliance with the Consolidated Plan	E-8	Included in ESG Certifications
	Discharge Policy	E-8	Included in ESG Certifications
	HMIS Participation	E-8	Included in ESG Certifications
HOME			
	TBRA is Consistent with the Consolidated Plan	N/A	Included In Lake County's

			Specific HOME Certifications.
	Use for Eligible Activities and Costs	E-7	Included In Lake County's Specific HOME Certifications.
	Monitoring of HOME-assisted Projects, including Subsidy Layering.	70 - 74	See "Monitoring Standards and Procedures" of the Annual Action Plan, specifically the portion titled "Housing Program Procedures".

Sources

Checklist format of "Appendix H" Cross Reference Table that was originally developed by Lake County for the 2009 Annual Action Plan (originally approved March 17, 2009).

Consolidated Plan Revisions and Updates: Final Rule 24 CFR Parts 91 and 570 (Federal Register version) downloaded from www.hud.gov/offices/cpd/about/conplan/finalrule.pdf that was dated February 9, 2006 and was last updated on the web February 23, 2006.

Consolidated Plan Final Rule 24 CFR Part 91 (complete version- 41 pages) downloaded from www.hud.gov/offices/cpd/about/conplan/finalrule_bookview.pdf that was originally dated January 5, 1995, was updated through February 6, 2006, and that was last updated on the web December 12, 2006.

Consolidated Plan Review Guidance (pages 2 – 3 and pages 9 - 17) downloaded from www.hud.gov/offices/cpd/about/conplan/toolsandguidance/guidance/checklist.pdf that included a "Local Consolidated Plan Review Checklist" that was last updated on the web September 10, 2007 and then again on July 1, 2009.

Lake County, North Chicago, and Waukegan Staff attendance at the Consolidated Plan: Preparing, Performing, Succeeding Workshop (Chicago, Illinois) August 24 – 25, 2009.